

**PREVALENCE OF VITAMIN D INSUFFICIENCY AND DEFICIENCY IN  
MOTHERS AND THEIR NEWBORNS AT KENYATTA NATIONAL HOSPITAL  
MATERNITY WARD**

**BY**

**DR. JOSEPHAT SAGWE ATANDI**

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REQUIREMENT OF MASTER OF MEDICINE IN PEDIATRICS AND CHILD  
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**NOVEMBER 2021**

**DECLARATION**

I declare that this thesis is my work and has not been published or presented for a degree in any other institution

Dr. Josephat Atandi.

Registrar, Department of Pediatrics and Child Health, University of Nairobi

  
.....  
Signature Date


5<sup>th</sup>/11/2021

**SUPERVISORS APPROVAL:**

This dissertation has been presented with our full approval as supervisors.

**Dr. Paul Laigong**


Pediatrician Endocrinologist, Senior Lecturer, Department of Pediatrics and Child Health,  
University of Nairobi

  
.....  
Signature Date

...11<sup>th</sup> Nov. 2021.....

**Prof. Aggrey Wasunna**

Professor A. Wasunna, Professor of Neonatal medicine and Paediatrics,  
Department of Paediatrics and Child Health, University of Nairobi

  
.....  
Signature Date

November 06 2021

**Dr. Douglas Makewa**

Paediatric Neurologist, Senior Lecturer, Head of Department of Pediatric & Child Health at  
Kenyatta National Hospital

  
.....  
Signature Date

...11.11.2021.....

## **DEDICATION**

The research is lovingly dedicated to my respective parents: Mrs. Patricia Bosibori and Mr. Charles Atandi who have been my constant source of inspiration. Without their love, prayers and support this project would not have been made possible

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## **ABBREVIATIONS**

<b>[25(OH) D]</b>	Calcitriol
<b>1,25 (OH) D</b>	Cholecalciferol
<b>25(OH)D</b>	25-hydroxyvitamin D
<b>KDOQI</b>	The Kidney Disease Outcomes Quality Initiative
<b>KNH</b>	Kenyatta National Hospital
<b>UoN</b>	University of Nairobi
<b>VD</b>	Vitamin D
<b>VDD</b>	Vitamin D Deficiency
<b>VDR</b>	Vitamin D receptor
<b>VITAMIN D2</b>	Ergocalciferol
<b>VITAMIN D3</b>	Cholecalciferol
<b>WHO</b>	World Health Organization

## **OPERATIONAL DEFINITIONS**

**Full term pregnancy-** gestation between 39 weeks, 0 days to 40 weeks, 6 days (1).

**Early term pregnancy-** gestation between 37 weeks, 0 days to 38 weeks, 6 days.

**Late term pregnancy-** gestation between 41 weeks, 0 days to 41 weeks, 6 days.

### **Vitamin D ([25(OH)D]) as per Endocrine Society Clinical Practice Guideline (2)**

Vitamin D deficiency is defined as levels  $\leq 20$ ng/ml.

Insufficiency is defined as levels between 21-29ng/ml.

Sufficient/ Adequate is defined as levels  $\geq 30$ ng/ml.

Suboptimal vitamin D levels is defined as  $< 30$ ng/ml (includes both deficiency and insufficiency).

## ABSTRACT

**Background:** Suboptimal Vitamin D level is an increasing problem in women of childbearing age and is one of the concerns that expectant mothers are vulnerable to. Sub-optimal vitamin D levels has been associated with approximately 14% of maternal deaths and other complications such as preeclampsia, perinatal mortality, low birth weight, and respiratory distress. Therefore, there is a need to understand the prevalence of Vitamin D deficiency/insufficiency in mothers and their babies and the underlying factors.

**The objective of the study:** To determine the prevalence and predictors of vitamin D insufficiency and deficiency in neonates and their mothers at the Kenyatta National Hospital Maternity.

**Methods:** The study employed a comparative cross-sectional research design. Consecutive sampling method was used to recruit 180 study respondents and their newborns from the maternity ward of Kenyatta National Hospital. A structured questionnaire was used to collect data. Blood samples were obtained from the mother, while cord blood was obtained to investigate vitamin D insufficiency and deficiency in neonates. Binary logistic regression and Pearson correlation analysis were performed using SPSS version 25. The level of significance was assessed at 0.05.

**Results:** The results from the study showed that mothers who were involved in the study had a mean age of 29.84 (SD±5.73) years, 44.4% of them had secondary level education, 40.65% were self-employed, and 79.4% were married. The mean monthly income was \$199 (SD±9.69). In investigating Vitamin D status among mothers, the prevalence of vitamin d deficiency/insufficiency among mothers was 30.5% (n =55) where 9.4% (n =17) and 21.1% (n =38) had Vitamin D Vitamin D deficiency and insufficiency, respectively. The findings from the neonates revealed that 60% were male, 81.7% had a birth weight of ≥2500g. Among neonates, the findings showed that, 12.3% of the neonates had suboptimal Vitamin D levels where 1.7% (n =3) had Vitamin D deficiency while 10.6% (n =19) had Vitamin D insufficiency. Age of the mother,  $p < 0.0001$ , AOR =5.761, 95% CI (2.689, 12.34), monthly income (less than Ksh.20,000),  $P = 0.019$ , AOR =2.514, 95%CI (1.205, 5.341), parity,  $p < 0.0001$ , AOR =3.244, 95% CI (0.564, 18.66) and gravidity,  $p = 0.008$ , AOR =2.395, 95% CI (0.934, 6.140) were independent predictors of suboptimal maternal Vitamin D levels. Neonatal birth weight, >1500g OR = 9.909, 95%CI (6.601, 13.170), and maternal Vitamin D levels, OR = 7.833, 95%CI (1.299, 47.237) were predictors of suboptimal vitamin D levels in neonates.

**Conclusion and recommendation:** The findings have shown that vitamin D insufficiency and deficiency is still a problem that needs to be effectively controlled. There is a need to ensure that expectant mothers check their Vitamin D levels to protect themselves and their unborn babies. There is a need to educate mothers and create awareness on Vitamin D and its sources. Further research is required to investigate the direct impact of sun exposure on Vitamin D deficiency among women in an urban setting.

## CHAPTER ONE: INTRODUCTION

### 1.1. Background

The prevalence of Vitamin D deficiency (VDD) has increased significantly in recent years (3). The Vitamin D status of the neonates is dependent on the mothers' status (4). Over the last decade, Vitamin D status in pregnant women and their neonates has gained increasing attention in obstetric and neonatal literature. Between the years 2014- 2018, published research from various global regions documented a high prevalence of VDD in pregnant women ranging from 20% - 90% (5) Furthermore, a strongly positive relationship between neonatal cord blood and maternal Vitamin D (VD) levels has been observed. (4). Different cut-off values could explain the large variation noted in the percentage prevalence of Vitamin D deficiency globally. The status and definition of Vitamin D deficiency is influenced by geographical location, seasonal variation at the time of the study, cultural and religious factors and dietary influences in countries with mandatory Vitamin D fortification (6). Maternal Vitamin D deficiency has been related to several offspring's comorbidities, including impaired bone development (7).

Different factors have been associated with vitamin D deficiency and insufficiency(8). Khalesi *et al.*, in a cross-sectional study, determined that there was an association between VDD and smaller gestational age, low birth weight, gestational diabetes, and pre-eclampsia. It is known that the development of these conditions can be controlled through nutrient supplementation. Sunlight remains the primary source of Vitamin D; hence there is a strong emphasis on location and residence as a critical factor in determining Vitamin D deficiency (9). A study conducted in rural North India identified that approximately 88.6% of adolescent girls and 74% of expectant mothers were Vitamin D deficient (1).

In a longitudinal study conducted in Western Kenya by Toko *et al.*, a sample population of 63 mothers was selected to assess the prevalence of VDD. The findings revealed that 51% of

mothers had VD insufficiency, while 21% had VDD. The study also identified that 74% of newborns had VD insufficiency from birth, while 30% of the newborns had sub-optimal vitamin D levels as measured in cord blood (10). Based on predictors of sub-optimal vitamin D levels, a screening tool would potentially assist clinicians in deciding whom to supplement. Ideally, a clinical screening test should address a necessary public health condition for which treatment is available; have a high sensitivity and specificity; be safe, inexpensive, widely available and lead to an improvement in health outcomes(11). Therefore, the current high prevalence of Vitamin D deficiency/insufficiency within the global setting presents a strong case on the need to study the local prevalence in order to suggest appropriate policy in regards to the use of supplements (12). Understanding the current prevalence of VDD in both mothers and neonates would assist in the implementation of interventions that would work towards improvement of infant and maternal health.

Different organizations have adopted varied reference levels for Vitamin D deficiency and insufficiency creating a highly controversial context for an appropriate definition. In this context, the analysis and interpretation of 25(OH)D was made using the Endocrine Society Clinical Practice Guideline which illustrate levels  $\leq 20\text{ng/ml}$  as deficiency, 21-29ng/ml defines insufficiency and levels above 30ng/ml denote sufficiency (2). The Kidney Disease Outcomes Quality Initiative (KDOQI) have also adopted these reference levels in investigating Vitamin D levels in patients with Chronic Kidney Disease (CKD)(13).

## **CHAPTER TWO: LITERATURE REVIEW**

### **2.1. The prevalence of Vitamin D insufficiency and deficiency**

Past studies on global rates of Vitamin D deficiency are useful in present attempts at studying current patterns of VDD. A study conducted by Misra et al., found that there was an increasing level of VDD across the globe, which presented the need to implement better measures targeting the etiology of VDD (14). In the United States, statistics show that approximately 45% of African Americans have high VDD (15). Al-Mahroos *et al.*, in a study conducted in Bahrain where 403 mothers and 403 newborns were assessed, determined that the overall prevalence of vitamin D deficiency and insufficiency was 88.8% and 90.3% in mothers and newborns, respectively. The study identified an association between sub-optimal vitamin D levels and delivery in the hospital, low education levels, gravida of more than four, and not using VD supplements (16). As a result of this and other similar studies, there is better knowledge of child development and its relationship with low Vitamin D status (17).

### **2.2. Predictors of Vitamin D deficiency and insufficiency in mothers and infants**

Different factors predict the presence of vitamin D deficiency and insufficiency among mothers and their newborns. Mothers who have VDD are more likely to give birth to VDD infants. There was a positive linear relationship between maternal and neonate serum VD and calcium levels (18). However, increased use of VD supplements or exposure to direct sunlight results in an enhanced newborn immune system. VD has become a substantial challenge for pregnant mothers and their neonates across different settings.

According to a study conducted in Pakistan ,45% of the mothers and 55% of neonates had VDD. The study identified that low economic status, the wearing of traditional attire and reduced exposure to sunlight were associated with increased VDD (19). Song et al. found

lower level serum levels of Vitamin D in mothers and their infants who were from the upper socio-economic group and mostly preferred staying indoors, meaning there was reduced exposure to sunlight(20). Downie et al. also assessed the determinants of VDD among mothers and found that that age, socio-economic factors, parity, and education level significantly influenced VD outcomes (21). In a prevalence study conducted in Saudi Arabia on mother-infant pairs in 2020, 201 mother-infant pairs were enrolled. The findings revealed that vitamin D deficiency and insufficiency in mothers was 90.5% compared to their newborns, (86%). The study did not find an association between employment status, parity, age, skin color, and body mass index with sub-optimal vitamin D levels in mothers (22). The findings however indicated a high prevalence of vitamin D deficiency and insufficiency as being associated with dress code, gravida, and hospital type. Similarly, Ajmani et al., in a prospective study conducted in India, identified that 81% of mothers and 35.8% of babies had VDD. A different study conducted in Turkey revealed that women wearing traditional dresses outdoors have lower serum levels because of the inability to obtain VD from sunlight exposure (23). Based on the findings from Lebanon and Saudi Arabia, the rate of VDD is estimated between 26% - 84% based on different risk factors associated with VDD development, including exposure to sunlight, dress code, and level of education (24), (25).

The mode of delivery is considered a critical factor in the development and well-being of a neonate, primarily based on the mother's ability to take care of the infant (26), though from a study conducted by Naseh et al., it was identified that there was no relationship between the number of pregnancies and VDD (27). Dave et al. revealed that there was an association between VDD and Caesarian section (CS) deliveries, where only 3.5% of women who delivered through CS had VDD (4)(28). Similarly, Merewood et al., in a study including 253 mothers, results showed that the presence of VDD in mothers increased the chance of CS by four times. Therefore, it is vital to understand the level of VD, which is essential for mothers'



and neonates' wellbeing. The knowledge leads to creation of measures that ensure VD supplements are made available (29).

High VD levels have been associated with increased birth weight and reduced birth complications among neonates. A population with a sub-optimal level of VD and calcium intake in the diet is likely to have a higher incidence of risky pregnancies. Children born under such circumstances mainly have low birth weight and a weak immune system (30). Prasad et al. showed that the incidence of pre-eclampsia and hypertensive disorder with pregnancy was considerably more amongst patients whose serum level of VD was <20ng/dl. This study also identified that VD supplements reduced the risk of preeclampsia (31).

Research has also shown that inadequate intake of VD during pregnancy is also linked with sequelae such as defective intrauterine bone growth and shorter gestation. Preterm neonates are at an increased risk of metabolic bone disease, hence the need to ensure that there are sufficient Vitamin D stores for use by both the mother and the newborn. (32). Metabolic bone disease of prematurity has a prevalence of approximately 55% among infants weighing below 1000 grams and 23% among neonates weighing less than 1500 grams. Overall, the prevalence of metabolic bone disease is estimated to be between 15 - 58%(33)(30). The presence of severe VDD was also found to be associated with the development of osteopenia of prematurity (26)(34), mainly due to VD activation's immature development (35).

The requirement of Vitamin D by the body is highly influenced by different factors such as body stores during pregnancy and at birth. The human fetus is largely dependent on the mother as a source of nutrients and vitamins. It is estimated that the optimal VD content in the diet must be 400IU/day. Therefore, supplementation with Vitamin D is a significant

factor in improving neonates' health, characterized by increased birth weight and appropriate immunity in new borns. (36).

### **2.3. Vitamin D insufficiency and deficiency in mothers**

Sunlight exposure remains the leading source of vitamin D; hence the need to introduce measures which ensure that pregnant mothers have a high level of exposure to sunlight.

The increasing VDD has been associated with lower levels of VD intake in women within the age-bearing age and newborn babies. VDD in newborn babies is associated with the mothers' VD levels (37). Different guidelines are used across different parts of the world. However, there is consensus that levels below 50 - 80 nmol/l are considered insufficient for effective new born health (5).

Maternal VDD has also been a significant predictor of newborn VDD, as documented in different past studies. In the United Kingdom, approximately 18% of pregnant women had VDD, while 25% in the UAE had VDD. New Zealand recorded approximately 60% VDD among pregnant mothers. A high level of VDD was present in Muslim women who normally have a particular type of dressing that covers their body, sufficiently limiting sunlight exposure (38)(7)(39). The studies have shown that newborns are at increased risk of VDD based on the high prevalence in pregnant mothers. The high prevalence of VD shows that it is essential to consider VD supplements to improve the nutrient levels among pregnant mothers to give birth to healthy children. Studies have shown that children who are born by VD-repleted mothers tend to develop VD insufficiency within eight weeks if there is no use of VD supplements (8)(4)(40). The underlying importance of maternal deficiency of VD is that the fetus develops a hypovitaminosis state that has significant adverse outcomes on innate immune function and slows down fetal and childhood bone development (41)(42). Therefore

a high level of maternal deficiency has been linked to the development of rickets in utero, which can be easily manifested at birth (43).

Understanding the physiology of VD is also important. The vitamin D content in human milk is mainly associated with lactating mothers' VD status (44). The supplementation of lactating mothers with vitamin D supplements therefore presents an important platform. The use of supplements reduces the risk of rickets since the mother has sufficient VD stores (43).

Settings where exposure to sunlight is limited and there is no emphasis on VD supplementation limits the quality of maternal health and of their newborns (45)(43).

#### **2.4. Vitamin D insufficiency and deficiency in Neonates**

Recent studies have shown that there is a significant deficiency of VD across different regions in the world. According to Tayel et al., there is an increased VD deficiency in neonates from mothers who exhibited VDD (35). According to Taylor et al., mothers of rachitic newborns have shown a high prevalence of VDD. In a similar study, Stessman and Peebles highlighted high VDD in mothers of rachitic compared to mothers of non-rachitic children (34).

Owie and Afolabi determined a strong relationship between maternal VDD and newborn VDD, which provides the need to focus on building strong nutrient blocks that can help child growth (46). Gupta also identifies that VD and rickets present a very strong relationship, which helps maintain a positive context and change in improving newborn health (47).

Supplementation given to nursing mothers maintains both the mother and child's positive health and well-being. The supplementation of nursing mothers is estimated at approximately 2000 IU rather than the normal 1000 IU. The increase in the amount of supplements has a strong positive influence on the wellbeing of both the mother and the baby in terms of controlling VDD (38)(44)(45). To understand the importance of supplements to lactating

mothers, different studies have been conducted which have sought to help understand the impact of an increased number of supplements on a nursing mother. Ozdemir et al. conducted a prospective study that sought to investigate the impact of a high VD dose of up to 6400 IU/1 for six months. The findings showed that the content of VD increases significantly to 873 IU/1 without any evidence of toxicity (26). However, it is also known that VD can be increased in the milk of nursing mothers through taking VD supplements, such high doses must be effectively controlled by a healthcare provider and proved to be used safely among nursing mothers (22).

The infant risk of developing VDD is associated with limited exposure to sunlight and a suboptimal vitamin D level in breast milk. The levels of Vitamin D content in breast milk are based on the nutritional status of mothers. Promoting strong Vitamin D status among mothers is essential in improving the development of newborns and avoiding VDD-related negative outcomes (47).

## **2.5. Maternal vitamin D status and birth weight**

Research has been conducted to understand the underlying relationship between maternal VD status and birth weight. According to Zamal et al., mothers with VDD give birth to low-weight newborns. The results from the study also determined that there was a relationship between mother and neonate VD levels. The neonate birth was also highly associated with maternal VD levels (48). These findings are similar to Kaur, who determined that birth weight was associated with VD levels in mothers (49).

Zamal et al. found a positive correlation between maternal vitamin D status and residence, duration of sun exposure season of the year, and calcium supplement intake (51). Studies by Prasad et al. and Sathish et al. identified that maternal education level, age, parity, and occupation were not associated with VDD levels (52).

## 2.6. Summary of Literature review

Table 1: Summary of Literature Review

Author, study title, setting, year	Research design	Sample size	Findings
Toko et al. Western Kenya (2016)	Mixed methods cross-sectional	n = 63 pairs	51% of patients had VD insufficiency, 21% had VDD at birth, 74% of newborns had VD insufficiency, while 30% of newborns had VDD. The results also showed that gestational age at delivery, maternal age, and BMI were significantly associated with VDD.
Khalessi et al. Tehran and Iran (2015).	Cross-sectional Descriptive analytical study	102 pairs	Around 48% of mothers had VDD with average levels of 31.46 nmol/L
Owie, E., & Afolabi, Lagos, Nigeria. (2018).	Cross-sectional study	166 pairs	The prevalence of VDD in mothers was 4.8% and 29.5% in newborns. Insufficiency was 28.3% in mothers and 46.1% in neonates. VD supplements use in pregnancy, sunlight exposure, and the mother's dressing style were associated with VD levels.
Shrestha et al. Bhaktapur, Nepal. (2019).	Cross-sectional study	79 pairs	81% of mothers and 35.8% in their newborns had VDD. There was a linear relationship between the mother and neonate's VD levels ( $p < 0.001$ ).

## 2.7. Conceptual framework

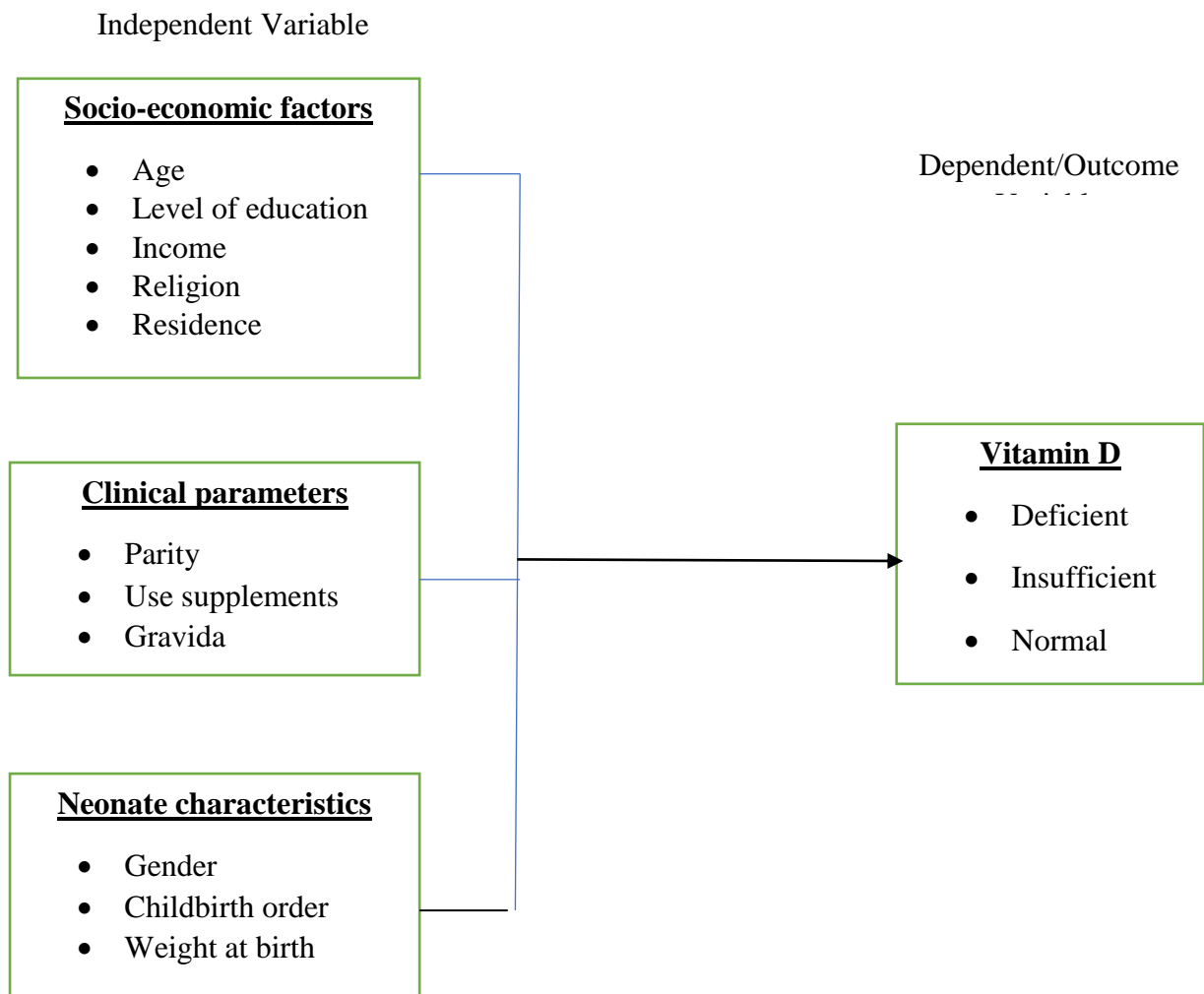


Figure 1: Conceptual Framework

## **2.8. Problem statement**

Vitamin D deficiency has a detrimental effect on the growth of fetal bones which become extremely weak and prone to rickets (5). Vitamin D is also associated with osteomalacia in pregnancy hence the need to ensure it is of adequate quantity amongst expectant and lactating mothers in order to help in neonate mineral bone acquisition(50). It has also been associated with increased onset of neonatal sepsis and low birth weight. Expectant mothers with VDD tend to have weaker immune systems, which is detrimental to the well-being of the unborn child's immune system (4). Therefore, determination of the mother's and newborn status regarding VDD helps decide whether VD supplementation is necessary to prevent sepsis in newborns (41). The underlying risk factors that have been identified from past literature include lifestyle, skin color, access to sunlight, low intake of fortified food, compliance with supplements, premature birth, and insufficient vitamin intake (51).

The VDD status amongst mothers and their newborns has not been conclusively documented in Africa, although malnutrition is a major problem in Kenya and many other sub-Saharan countries. There is limited published data on VDD in Kenya, which prompts the need to understand the trend and the development of VDD and associated adverse outcomes in both mothers and newborns. KNH is the largest referral hospital in Kenya, which provides a better platform for assessing VDD prevalence in mothers and newborns.

## **2.9. Justification of the study**

Despite the association between Vitamin D deficiency in pregnancy and adverse outcomes in both mothers and newborns, Vitamin D status is not routinely monitored during the antenatal visits. Overall, there has also been less focus on the prevalence of Vitamin D deficiency in Kenya, making it difficult to realize any implications of deficiency in mothers and new borns. This study was intended to approximate the current level of Vitamin D deficiency in mothers and neonates and determine associated factors.

Vitamin D status is a fundamental pillar of maternal and newborn health. Findings of VDD deficiency amongst a population creates the need to adopt strategic measures, which can reduce the threat posed by Vitamin D deficiency and insufficiency. This could include easily accessible remedies such as ensuring high exposure to the sun among expectant mothers as this activates Vitamin D in the body.

Sun exposure was not investigated in the study based on prior knowledge of inability of sun exposure questionnaire depicting the actual status of respondent actual sun exposure. Similarly, sun exposure was not also investigated based on the assumption that there were no significant differences in income and religion, which would have prompted significant variation in sun exposure levels among respondents.

The study's findings provide a better basis for understanding the implication of Vitamin D deficiency in expectant mothers and their newborns. The study also seeks to apprise all stakeholders, including the hospital and the Ministry of Health of the need to implement favorable policies aimed at improving Vitamin D levels especially in expectant mothers.

## **Research question**

What is the prevalence of vitamin D insufficiency and deficiency in neonates and their mothers at Kenyatta National Hospital Maternity unit?

### **2.10. Objectives**

#### **2.10.1. Primary Objectives**

To determine the prevalence and predictors of vitamin D insufficiency and deficiency in Neonates and their mothers at Kenyatta National Hospital maternity ward.



### **2.10.2. Secondary Objectives**

1. To determine the prevalence of vitamin D insufficiency and deficiency in mothers and their neonates at Kenyatta National Hospital maternity ward.
2. To determine the predictors of vitamin D insufficiency and deficiency in mothers of the neonates at Kenyatta National Hospital maternity ward.
3. To determine the relationship between vitamin D insufficiency and deficiency in mothers and that of their neonates at Kenyatta National Hospital maternity ward.

## **CHAPTER THREE: METHODOLOGY**

### **3.1. Study design**

The study adopted a cross-sectional research design. Inferences were made based on a specific understanding of independent and dependent variables. The researcher's focus was on engaging the respondents at a single point in time.

### **3.2. Study area**

The study was performed at the Kenyatta National Hospital maternity ward. Kenyatta National Hospital is the largest Teaching and Referral public health institution for the College of Health Sciences, University of Nairobi. The hospital sits in a vast land covering about 5 hectares and has about 50 in-patient wards with hospital bed-capacity of 2000 (52). Maternity ward is divided into two sections, which include a general wing and a private wing. In 2019, there were 12944 deliveries in General wards and 862 in private wing. The number of admissions was 13722 in both General and private wards. The average number of deliveries daily were 35 in the general wing and three in the Private section.

### **3.3. Study population**

The study population included mothers and their neonates in Kenyatta National Hospital Maternity Ward. Expectant mothers in the maternity ward mainly follow three main routes. One of the routes is the mother and child clinic where mothers who are due are directed to maternity ward, mothers who come from home or as referrals from other hospitals and are brought for specialized delivery and mothers on elective caesarian section delivery. Once the mothers are admitted following any of the discussed routes, they are eligible to be recruited into the study. First, expectant mothers who meet the inclusion criteria were identified in labor or before labor when delivery is due. Once the baby is born, blood samples were

collected: both maternal blood and Cord blood was collected, which enabled the assessment of both maternal and neonatal Vitamin D levels.

### **3.4. Eligibility criteria**

#### **3.4.1. Inclusion**

Inclusion criteria consist of:

- all new admissions to the maternity ward.
- mothers who are at full term in the maternity ward.
- mothers scheduled for elective caesarean section delivery.
- those who consent to the study.

#### **3.4.2. Exclusion criteria**

- The study excluded preterm neonates or deliveries and postdates. Preterm neonates and post dates are special groups who have special characteristics hence likely to have influence on Vitamin D level determination.
- Mothers on anticonvulsants were excluded from the study. Anticonvulsants lower vitamin D levels which had detrimental influence on the efficacy of this study.
- Mothers who were unstable mentally were excluded mainly because they were unable to understand the purpose of the study and make poor judgement regarding participation.
- Mothers who declined to consent were excluded.

### **3.5. Sample size determination**

According to Shrestha et al. (2019), the prevalence of VDD in mothers and neonates was 35.8% (65). Therefore, the sample was calculated using Fischer's formulae.

$$n = z^2 pq/e^2$$

Where n is the sample population

$Z^2$  is the abscissa of the normal curve (1.96)

p is the estimated prevalence in the population (0.358)

q is (1-p) the proportion of an attribute that is absent in the population (0.642)

e is the margin of error included in the study (5%)

Therefore, the sample size was

$$n = Z^2Pq/e^2$$

$$n = (1.96^2) (0.358*0.642)/0.0025)$$

$$= 0.45047/0.05^2$$

$$= 180$$

The sample size included a minimum of 180 mothers and 180 newborns.

### **3.6. Sampling technique**

The study employed consecutive sampling where all new admissions into the maternity ward and consent were recruited. Consecutive sampling is efficient, considering that the researcher identified respondents as they come until the sample size was attained. The recruitment included 180 mothers in the maternity wards who understood the study (53).

### **3.7. Research tool**

A questionnaire was used to attain better research outcomes. The questionnaire included questions related to the study outcomes.

### **3.8. Recruitment of Research assistants and Training**

The researcher recruited four research assistants. The research assistants comprised of qualified clinical officers who have experience in dealing with expectant mothers in an organized and interactive way to ensure effective communication of the study's purpose to the target respondents.

### **3.9. Recruitment and consenting of study participants**

The recruitment process began after approval from UoN-KNH ERC and KNH Administration. The research assistants assisted in recruitment of study participants. The women who satisfied the inclusion criteria formed the sampling frame from the maternity ward. The recruitment was done consecutively daily until the sample size was attained. Written informed consent was obtained from each of the participants. With further help from the research assistants, the researcher explained the purpose of the study to potential participants with emphasis on confidentiality of their information and the use of the study findings for academic purposes only. Only those mothers who consented were included in the study. Those who did not consent were excluded from the study. Upon recruitment in the study, a unique registration number were given to each of the participants to help in identification during the data collection process. This was done to ensure a high level of confidentiality and privacy of the respondent information.

### **3.10. Data collection procedure**

With the assistance of the research assistants, the researcher administered the structured questionnaire to the expectant mothers upon their understanding of the purpose of the study and satisfaction with the privacy plan outlined by the researcher. The respondents were required to provide demographic information, including age, level of education, residency, and income. Clinical data was captured. The information that was captured included but was not limited to Vitamin D supplementation, gravida, and parity. Blood samples were taken for the measurement of vitamin D levels [25(OH)D]. The research assistants recruited at least 5 mothers in the study daily. The whole data collection process took approximately 4 weeks.

### **3.10.1. Neonate information**

The information that was assessed in the study was obtained during delivery. The neonate information captured included gender, weight at birth and childbirth order. The cord blood was also taken to the laboratory for testing the presence of Vitamin D deficiency.

### **3.10.2. Laboratory methods**

#### **3.10.2.1. Sample collection and storage**

On wearing clean gloves, the research assistants drew a clean venipuncture using a spirit swab. Six mls of venous blood was drawn using a disposable syringe. Blood collected was put in one plain vacutainer tube for Vitamin D analysis.

After delivery, 6 mls of cord blood was collected to investigate Vitamin D deficiency. Each tube consisting of a sample drawn from mother and newborn was coded with a serial number indicating mother sample and neonate sample. The mother's sample were serialized following the questionnaire, such as "M01" while the subsequent newborn serialization was "N01".

#### **3.10.2.2. Sample transportation.**

The collected specimen was accurately sealed and placed in a rack in a cool box. The samples were taken to the KNH laboratory 16 within 1-2 hours of sample collection.

#### **3.10.2.3. Storage of samples**

The samples were refrigerated at a temperature of  $-70^{\circ}\text{C}$  pending the measuring of 25-hydroxy VD.

#### **3.10.2.4. Laboratory**

ELISA method was used to measure 25-hydroxy VD. Deficiency criteria of 25-hydroxy VD was defined in a similar manner in both mothers and neonates. The samples were centrifuged and the serum obtained and stored in the vials and then analyzed by the Cobas 6000 Roche machine. The Cobas 6000 machine uses the bridging principle of antigen antibody reaction

that is then measured electronically. Vitamin D's measurement were assessed and defined as deficiency being <20ng/mL, insufficient as 20-30 ng/mL while >30 being considered as VD sufficient.

### **3.11. Validity and Reliability of the study**

#### **3.11.1. Reliability**

Reliability is a measure of the consistency of an assessment tool (54). A measure is considered being reliable when it produces the same results for different participants. Reliability provides a focus on the consistency of a given measure. A professional pediatrician and a statistician evaluated the research tool

#### **3.11.2. Validity**

Validity is the extent to which a given measurement can provide accurate results that correspond to the real world (55). A tool is assessed to determine whether it produced the results intended based on the methodology adopted. Heale and Twycross identified that the degree of accuracy in evaluation varies significantly and thus provides a strong focus on essential concepts that help determine whether a given treatment method that has been suggested can achieve the desired outcomes (56). This was achieved through the pre-test of the tool. External validity was achieved using randomly selected participants.

#### **3.11.3. Quality control of the laboratory**

Quality control measures and standard operating procedures were always adhered to during the research. Specimens collected during this period were collected while maintaining proper collection methods and storage using appropriate and sterile containers. The samples collected were clearly labeled immediately after collection. Specimens were then stored at recommended temperatures. Internal and external quality is undertaken at the laboratory.

Internal quality control was done once daily. For external quality, Huqas reagents are used, and external quality runs 3 times in a year.

As part of quality assurance, the Principal Investigator took 1 in every 10 samples to an external referral lab (Pathologist Lancet Kenya) for comparison. Levels within one standard deviation were deemed satisfactory.

### **3.12. Data Collection**

The data collection was conducted from the patient records based on the inclusion criteria. Data collection was involved selecting patient files based on the inclusion criteria, which is essential in defining an improved understanding of specific measures that help obtain accurate results. The data was collected systematically to ensure that the findings are accurate. With the help of the research assistants, the principal investigator obtained all patient's files within the study period and divided by sample size to obtain the desired sampling interval, which ensured that the results are accurate and less biased from a statistical point of view.

### **3.13. Data Cleaning and Entry**

The data collected using questionnaires and forms was checked daily for completeness by the researcher. Collected data was entered in Epi-Data 3.1 database and then analyzed using SPSS computer package, version 25 (57).

### **3.14. Data storage**

The consent forms, questionnaires, and forms did not contain personal identifiers. Once they were filled, they were locked up in a safe cupboard. The laptop that was used in the analysis of the data had a password that limited access to authorized personnel only. The consent forms and questionnaires will be safely stored for five years, after which they will be destroyed.



### **3.15. Data analysis and presentation**

Before analysis, quantitative data was coded, cleaned, and edited to ensure that it was accurate. Data was analyzed through SPSS version 25.0.

#### **Descriptive analysis**

Descriptive statistics was used to describe the socio-demographic characteristics of the sample population. Among the variables used for this included but was not limited to gender, age, level of education, occupation, and marital status. To present the outcome of this analysis tables and graphs were used which formed part of the report writing. The tables generated were given mean, modes, frequencies, and percentages based on the data measurement level.

#### **Objective 1: The prevalence of vitamin D insufficiency and VDD**

Prevalence of vitamin D insufficiency and VDD in mothers and neonates at KNH maternity ward was calculated based on the formula

$$\% \text{ Prevalence} = \frac{a}{b} * 100$$

Where a = Number of mothers/ neonates with vitamin D insufficiency/VDD

b = Number of mothers/neonates without vitamin D insufficiency/VDD

#### **Objective 2: Relationship between vitamin D insufficiency and VDD in mothers and their neonates**

A correlation analysis was conducted to help understand the strength, direction, and significance of the relationship between Maternal Vitamin D levels and Neonate Vitamin D levels.

#### **Objective 2: Determinants of vitamin D insufficiency and VDD in mothers and neonates**

A binary logistic regression analysis was conducted to determine independent factors that predict VDD among mothers and neonates attending Kenyatta National Hospital maternity ward.

The analysis was done using SPSSv25. The hypothesis was tested at 95% confidence level.

### **3.16. Ethical Considerations**

The nature of the study limits the underlying ethical issues which need to be exhaustively developed. Approval to carry out the study was sought from KNH-UoN ERC and PCMH Ethics. Permission was also sought from the hospital management which allowed access to the patient files. All stakeholders were informed about the study. Confidentiality, anonymity, and privacy was fully guaranteed throughout the study. Because of Covid 19, the researcher put guidelines that helped protect both the participants and the research assistants. The four research assistants underwent Covid-19 testing before the onset of the data collection process. The researcher also ensured that surgical masks were available for both the research assistants and participants to control infection. The researcher provided hand sanitizer for use before the collection of data. The research assistants endeavored to maintain a social distance of 1.5 meters when engaging the participants.

### **3.17. Study strengths and Limitations**

The study's strengths include a focus on cord blood, which allows for a direct measure of VD that the fetus received in utero.

The focus on both mother and newborn provides a broader perspective of VDD in reproductive health and making a meaningful comparison.

The study's limitations included the inability to measure sun exposure to the mother directly. Another limitation was that we did not have the corresponding PTH, calcium, and phosphorus values, which could have helped us understand the physiology of Vitamin D and its effect on various parameters in a better way. Dietary intake and sun exposure during the antenatal period was also not recorded.

## **CHAPTER FOUR: RESULTS**

### **4.1. Introduction**

The study was conducted to determine the prevalence, predictors and relationship between maternal and neonatal vitamin D deficiency. The study included a sample of 180 mother-neonate pairs. All the questionnaires were filled and returned for analysis representing a 100% response rate.

### **4.2. Maternal socio-demographic characteristics of the study participants**

The findings showed that, mean age was 29.84 (SD±5.73), almost half of the respondents, 44.4% (n =80) had secondary level education, 40.65 (n =73) were self-employed, 79.4% (n = 143) were married. In assessing residence of the respondents, 86.1% (n =155) resided in urban areas. Almost all of the respondents, 95.6% (n =172) were Christians. The mean monthly income was \$199 (SD±9.69) as shown in Table 2 below.

Table 2: Maternal socio-demographic characteristics

		Frequency (n)	Percentage (%)
Age	Mean (SD)	29.84(5.73)	
	Median (IQR)	30(25 - 34)	
	≤30 Years	117	65
	>30 Years	63	35
Level of education	Never attended	1	0.6
	Primary	33	18.3
	Secondary	80	44.4
	Tertiary	66	36.7
Occupation	Salaried	35	19.4
	Self employed	73	40.6
	Unemployed	72	40
Marital status	Single	35	19.4
	Married	143	79.4
	Divorced or separated	2	1.1
Residence	Rural	25	13.9
	Urban	155	86.1
Religion	Christian	172	95.6
	Muslim	8	4.4
Monthly income	Mean (\$)	\$199 (9.69)	
	Median (\$)	\$200(100 - 295)	
	≤\$200	66	36.7
	>\$200	114	63.3

#### 4.2.1. Maternal clinical characteristics

Maternal clinical characteristics were also assessed, and the findings as shown in Table 3 revealed that the median parity among respondents was 2 (IQR: 1 – 3). The median gravida was 3 (IQR: 2 – 4). Majority of the respondents; 97.2% (n = 175) did not report use of Vitamin D supplements such as osteocare (n =3) and zedcal (n =2).

Table 3: Maternal clinical characteristics of the respondents

		Frequency (n)	Percentage (%)
Parity	Median (IQR)	2 (1 -3)	
	≤2	155	86.1
	> 2	37	13.9
Gravida	Median (IQR)	3 (2 – 4)	
	≤3	117	65.0
	> 3	63	35.0
Use of Vitamin supplements	Yes	5	2.8
	No	175	97.2

#### 4.2.2. Biographic characteristics of neonates

The results as showed in Table 4 found that, 60% (n =108) of the neonates were male, 81.7% (n =147) had birth weight of more than 2500g while 29.4% (n =53) were second born, 28.8% (n =52) were firstborns.

Table 4: Biographic characteristic of neonates

Characteristics	Frequency	Percentage
<b>Gender</b>		
Male	108	60
Female	72	38.9
<b>Weight at birth</b>		
Less than 1500g	8	4.4
1500 - 2500	25	13.9
above 2500g	147	81.7
<b>Birth order</b>		
Firstborn	52	28.8
Second born	53	29.4
Third born	37	20.6
Fourth born	19	10.6
5th and above	19	6.1

### 4.3. The prevalence of vitamin D deficiency in mothers and neonates at Kenyatta National Hospital maternity ward

The study also sought to investigate the prevalence of vitamin D deficiency in mothers and neonates. The Cobas 6000 machine, which uses the bridging principle, did the analysis: antigen antibody reaction that is then measured electronically. Vitamin D deficiency was evaluated based on the Endocrine Society Clinical Practice Guideline which illustrate  $\leq 20\text{ng/ml}$  for deficiency,  $21\text{-}29\text{ng/ml}$  for insufficiency and above  $30\text{ng/ml}$  as sufficiency (2).

#### 4.3.1. Maternal Vitamin D levels

The prevalence of vitamin D deficiency was 9.4% (n =17) and insufficiency was 21.1% (n =38) among mothers as shown in Figure 2.

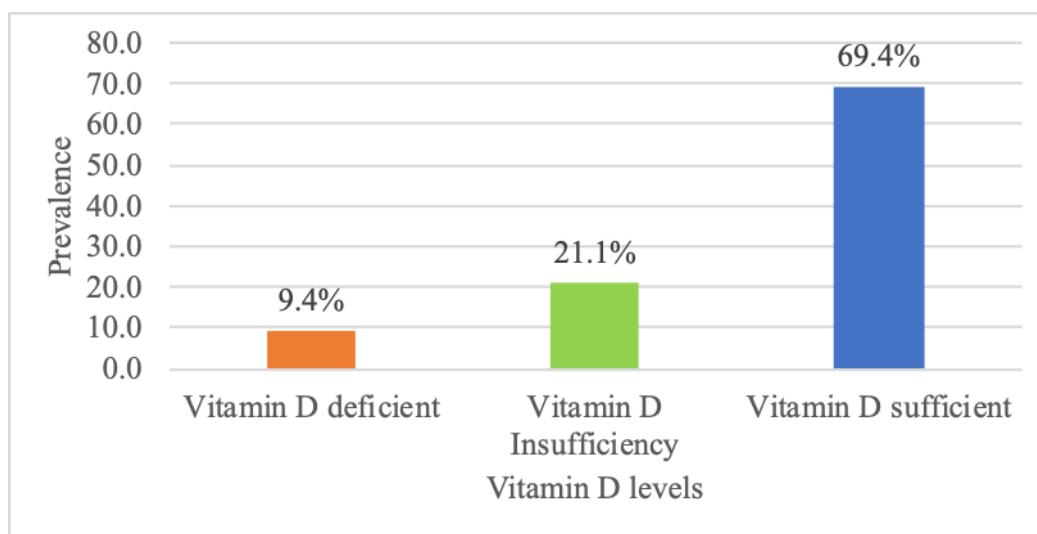


Figure 2:Prevalence of vitamin D deficiency among mothers

### 4.3.2. Neonatal Vitamin D levels

The study also sought to investigate neonatal vitamin D levels as shown in Figure 3. The findings showed 1.7% (n =3) had Vitamin D deficiency while 10.6% (n =19) had Vitamin D insufficiency.

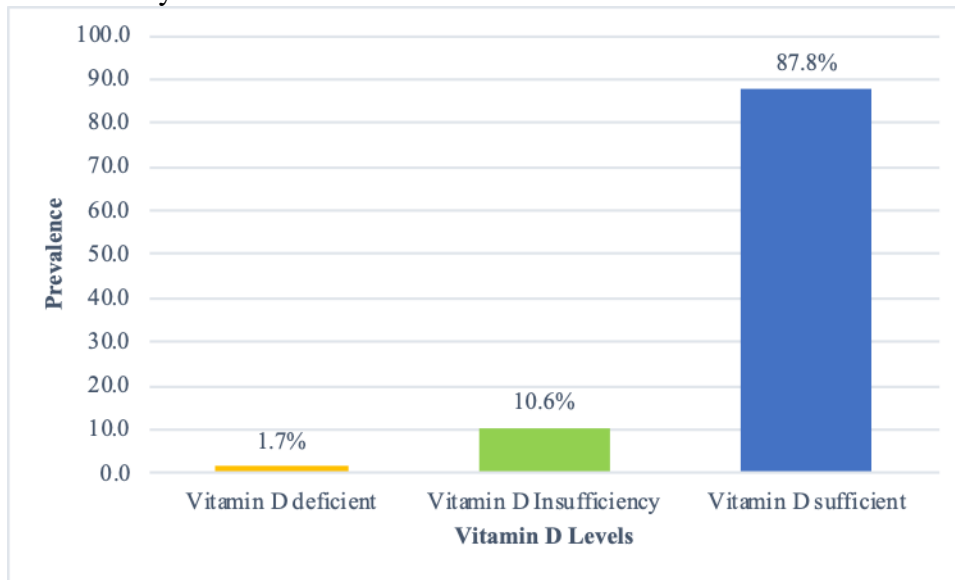


Figure 3: Prevalence of vitamin D Insufficiency and deficiency among neonates

### 4.4. The predictors of vitamin D insufficiency and deficiency in mothers of neonates at Kenyatta National Hospital maternity ward

A binary logistic regression analysis was conducted to identify predictors of vitamin D deficiency in mothers of the neonates at Kenyatta National Hospital as shown in Table 5. The findings revealed that, age (greater than 30 years), Monthly income (Less than \$200), parity (less than 2) and gravida (Less than 3) were significant predictors of suboptimal maternal Vitamin D levels. The results revealed that, mothers who were aged 30 years or below were 0.19 times less likely to have suboptimal Vitamin D levels, OR = 0.187, 95%CI (0.094, 0.370) compared to those aged above 30 years. Mothers who reported to earn less than \$200 were 4.2 times more likely to have suboptimal Vitamin D levels, OR =4.182, 95% CI (2.139, 8.174) compared to those who earn more than \$200. Mothers with a parity of less than 2 were 6 times more likely to have suboptimal vitamin D levels, OR =5.975, 95%CI (1.357, 26.316)

compared to mothers with parity of more than 3. Additionally, mothers with gravida of less than 3 were 3 times more likely to have suboptimal Vitamin D levels, OR =2.757, 95%CI (1.301, 5.841) compared to those with gravida of more than 3. Mothers who gave birth to children weighting less than 2500g at birth were 4 times likely to have suboptimal vitamin D levels, OR = 3.924, 95%CI (1.637 – 9.410) compared to those weighing above 2500g.

Table 5: The predictors of vitamin D deficiency in mothers at Kenyatta National Hospital

		Vitamin D Levels		P-value	OR	95% C.I.for OR	
		Suboptimal	Adequate			Lower	Upper
Age	Less or equal 30 years	21(17.9)	96 (82.1%)		Ref		
	More than 30 years	34(54)	29(46%)	<b>P&lt;0.001</b>	0.187	0.094	0.37
Education	Never attended	0	1 (100)	0.454	Ref		
	Primary	7(21.2)	26(78.8%)	1	0	0	
	Secondary	29(36.3)	51(63.8)	0.421	0.666	0.247	1.793
	Tertiary	47(71.2)	19(28.8)	0.34	1.407	0.698	2.836
Occupation	Salaried	14(40)	21(60)	0.403	Ref		
	Self employed	21(28.8)	52(71.2)	0.205	1.733	0.741	4.057
	Unemployed	20(27.8)	52(72.2)	0.895	1.05	0.51	2.164
Marital status	Single	12(34.3)	23(65.7)	0.89	Ref		
	Married	43(30.1)	100(69.9)	0.999	2.351	0	0
	Separated	0	2(100)	0.999	10.12	0	0
Monthly income	Less than 20000	35(53)	31(47)	<b>P&lt;0.001</b>	4.182	2.139	8.174
	Greater than 20000	22(19.3)	92(80.7)		Ref		
Residence	Rural	8(32)	17(68)		Ref		
	Urban	47(30.3)	108(69.7)	0.463	0.866	1.081	0.436
Parity	≤2	53(34.2)	102(65.8)	<b>0.018</b>	5.975	1.357	26.316
	>2	2(8)	23(92)		Ref		
Gravidity	≤3	43(36.8)	74(63.2)	<b>0.008</b>	2.757	1.301	5.841
	>3	12(19)	51(81)		Ref		
Vit D supplements	Yes	1(20)	4(80)	0.608	0.56	0.061	5.13
	No	54(30.9)	121(69.1)		Ref		
Birth weight	Above 2500g	2(25.0)	6(75.0)		Ref		
	Below 2500g	14(56.0)	11(44)	<b>0.031</b>	3.924	1.637	9.41

Dependent variable: Maternal Vitamin D



#### 4.5. Multivariate analysis

A multivariate analysis was conducted controlling for not significant variables in the bivariate model Table 6. The findings revealed that, Age of the mother,  $p < 0.0001$ , AOR = 5.761, 95% CI (2.689, 12.34), Monthly income (less than Ksh.20,000),  $P = 0.019$ , AOR = 2.514, 95% CI (1.205, 5.341), parity,  $p < 0.0001$ , AOR = 3.244, 95% CI (0.564, 18.66) and gravidity,  $p = 0.008$ , AOR = 2.395, 95% CI (0.934, 6.140) were independent predictors of suboptimal maternal Vitamin D levels.

Table 6: Multivariate analysis

		P-value	AOR	95%CI (AOR)	
				Lower	Upper
Age	Age of the mother	0.000	5.761	2.689	12.341
Monthly income	< Ksh.20,000	0.019	2.514	1.205	5.341
	$\geq$ Ksh., 20,000		Ref		
Parity	$\leq 2$	$P < 0.0001$	3.244	0.564	18.658
	$> 2$		Ref		
Gravidity	$\leq 3$	0.008	2.395	0.934	6.140
	$> 3$		Ref		

#### 4.6. The predictors of vitamin D insufficiency and deficiency in neonates at Kenyatta National Hospital maternity ward

The study also sought to determine predictors of vitamin D deficiency in neonates. A binary logistic regression was performed as shown in Table 7. The results revealed that birth weight and maternal vitamin D status were independent predictors of suboptimal Vitamin D levels in neonates. Neonates who born weighing less than 1500g were 10 times likely to have suboptimal vitamin D levels, OR = 9.909, 95%CI (6.601, 13.170) compared to those born

weighing above 2500g. Similarly, neonates born weighing 1500g to 2500g were 8 times more likely to have suboptimal vitamin D levels, OR = 7.833, 95%CI (1.299, 47.237) compared to those born weighing above 2500g. Neonates born of mothers who had suboptimal vitamin D levels were 2 times likely to have suboptimal vitamin D levels, OR = 2.158, 95%CI (1.060 – 4.416).

Table 7: The predictors of vitamin D insufficiency and deficiency in neonates at Kenyatta National Hospital maternity ward

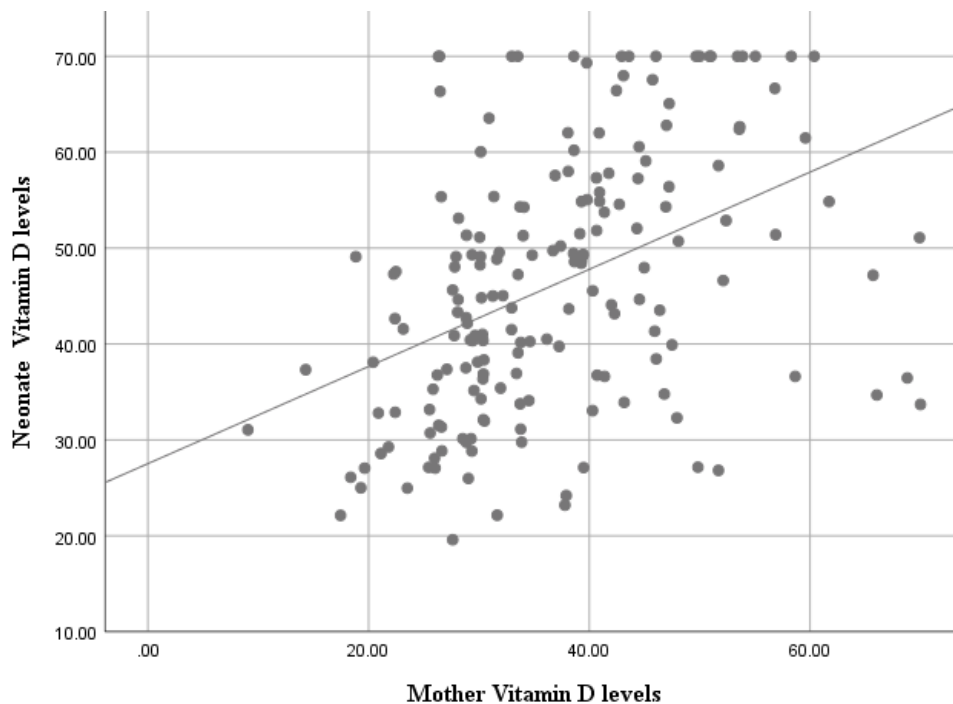
		Neonatal Vitamin D levels			Sig.	OR	95% C.I. for OR	
		Suboptimal	Adequate	Lower			Upper	
Mother's age	Less or equal to 30 years	12(10.3%)	105(89.7%)	0.276	0.606	0.246	1.493	
	Greater than 30 years	10(15.9)	53(84.1%)					
Birth order	1st born	8(14.5)	47(85.5)	0.293	Ref	0.472	12.024	
	2nd born	11(19.3)	46(80.7)					
	3rd born	1(3)	32(97)					
	4th born or higher	2(6.7)	28(93.3)					
Gender of the child	Male	13(12.0)	95(88)	0.902	1.061	0.415	2.707	
	Female	8(11.4)	62(88.6)					
Birth weight	Above 2500g	2(25.0)	6(75.0)	<b>0.025</b>	Ref	1.299	47.237	
	1500 to 2500g	14(56.0)	11(44)					
	Less than 1500g	6(4.1)	141(95.9)					<b>p&lt;0.0001</b>
Maternal Vitamin D	Sufficient	7(5.6)	118(94.4)	<b>0.001</b>	2.158	1.060	4.416	
	Deficient	15(27.3)	40(72.7)					

Dependent variable: Neonatal Vitamin D

#### 4.7. The relationship between vitamin D insufficiency and deficiency in mothers and neonates

Pearson correlation analysis was conducted to determine whether there was a significant relationship between maternal and neonatal vitamin D. The findings show that there was a significant positive relationship between maternal and neonatal vitamin D levels ( $r = 0.427$ ,  $p < 0.001$ ).

Figure 4: Relationship between Vitamin D insufficiency and Deficiency in mothers and their neonates



#### 4.8. Association between maternal vitamin D levels and neonatal birthweight

The study also sought to investigate whether maternal Vitamin D levels predict low birthweight in neonates. The results found that maternal vitamin D levels predict neonatal birthweight ( $p < 0.05$ ). An increase in maternal vitamin levels was associated with 1.04 times increase in neonatal birth weight, OR = 1.040, 95% CI (1.040, 1.141).

Table 8: Association between maternal Vitamin D levels and neonatal birthweight

		P-value	OR	95% C.I.for OR	
				Lower	Upper
Step 1 <sup>a</sup>	Maternal Vitamin D levels	P<0.0001	1.090	1.040	1.141

a. Variable(s) entered on step 1: maternal Vit D levels.

## **CHAPTER FIVE: DISCUSSION**

The study's focus was on the prevalence of vitamin D deficiency in mothers and in neonates. Sixty five percent of mothers who were enrolled were aged 30 years or below. Forty four percent of the mothers had secondary level education, and more than two-thirds of the mothers were married. These findings are comparable to Al-Mahroos et al. (2013) whose study conducted in Bahrain found that the average age of mothers who participated in the study was 29 years. (16).

The prevalence of sub-optimal maternal vitamin D levels was found to be 30.5% while the neonatal prevalence was 12.3%. This is much lower compared to a study conducted in Western Kenya which found that 51% of mothers had vitamin D insufficiency while 21% had vitamin D deficiency(10). This could be explained by study design. In our present study we used a cross sectional study design while the study in Western Kenya utilized the longitudinal study design approach.

In another study conducted in United States , Vitamin D deficiency and insufficiency was found to be 38.9% in mothers and 29.8% in neonates (58). Similarly, the prevalence was also lower than Al-Mahroos et al. (2013) which found that the prevalence of VDD was 88% among mothers and 90% among neonates based on a sample of 403 pairs that were investigated (16). Likewise, Shreetha et al., in a prospective study conducted in Nepal, identified that 81% of the mothers and 35.8% of the babies had VDD. The difference could be attributed to the climate in Kenya, a tropical country located at the equator with lengthy periods of sun exposure. In contrast, these other countries have long winter seasons thus people stay indoors or use heavy clothing leading to a high VDD prevalence.

The study further showed a positive linear relationship between maternal and neonatal serum VD and calcium (59).

The independent predictors of maternal suboptimal vitamin D levels include age, monthly income, socio-economic status, education level, parity and gravida. The findings revealed that mothers aged more than 30 years were less likely to have suboptimal vitamin D levels. This could be because of more knowledge and ease of seeking quality healthcare services as compared to young mothers. In our study, education level was not significant predictor of maternal suboptimal vitamin D levels (21). Similar findings were obtained in studies conducted by Prasad et al. and Sathish et al. which identified that maternal education level and occupation were not associated with VDD levels (52).

The results from our study also showed that mothers who gave birth to children weighing less than 2500g were likely to have sub-optimal vitamin D levels. These findings are comparable to Khalessi who found that Maternal Vitamin D deficiency may increase the risk of low birth weight neonates and modifying maternal nutrition behavior to increase their vitamin D intake could be beneficial on pregnancy outcomes (6). Similarly, Chen et al in a meta analytic review including studies conducted in Asia found that the incidence of low birth weight infants was significantly associated with maternal suboptimal vitamin D levels (60).

The study also assessed predictors of sub-optimal vitamin D levels in neonates. The findings revealed that low birth weight below 2500g and sub-optimal maternal vitamin D levels were determinants of sub-optimal vitamin D levels in neonates. Thus, children born weighing less than 2500g were likely to have sub-optimal vitamin D levels. These findings are comparable to Sauder et al in a study conducted in United States which found that, mothers with sub-optimal vitamin D levels gave birth to low weight new-borns.

The findings also revealed that there was a statistically significant relationship between maternal and neonatal vitamin D deficiency. Mothers who had suboptimal vitamin D levels were likely to give birth to neonates with suboptimal vitamin D levels. Owie and Afolabi

determined a strong relationship between maternal and new-born vitamin D levels, which necessitates the need to build strong nutrient blocks that will promote child growth and development. (46).

## **CHAPTER SIX: CONCLUSION AND RECOMMENDATION**

### **6.1. Conclusion**

The study results showed the prevalence of vitamin D deficiency and insufficiency to be 9.4% and 21.1% respectively.

Among neonates who were investigated in the study, the prevalence of vitamin D deficiency and insufficiency was 1.7% and 10.6% respectively.

Age, monthly income, parity, gravida and low birth weight were significant independent predictors of maternal Vitamin D deficiency. In addition, the results showed that low birth weight and Vitamin D deficiency in mothers were independent predictors of Vitamin D deficiency in neonates.

### **6.2. Recommendations**

1. The introduction of policies that promote routine checkup of vitamin D levels among expectant mothers.
2. Future studies to investigate the effect of sun exposure on Vitamin D deficiency.



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## **APPENDICES**

### **Appendix I: Consent form**

#### **Introduction**

My name is Dr. Josephat Atandi, I am a post graduate student and a pediatrics register at Kenyatta National Hospital undertaking a master's degree in Paediatrics and child health, in the school of Medicine, Department of Pediatrics and Child Health, University of Nairobi.

This study is being conducted with the permission of Kenyatta National Hospital- University of Nairobi and Ethics and research committee (KNH-UON ERC Protocol no.....).

#### **Study background**

The prevalence of Vitamin D deficiency (VDD) has been increasing significantly in recent years. The Vitamin D status of the neonates is dependent on the mother status. Recently, Vitamin D status in pregnant women and their neonates has gained increasing attention in the obstetric and neonatal literature. Published research from various regions in the world have documented a high prevalence of VDD in pregnant women ranging from 20% - 90%.

#### **The study objective**

To determine the prevalence and predictors of vitamin D deficiency in Neonates and their mothers at Kenyatta National Hospital Maternity unit.

#### **Study procedures**

Upon consent to participate in the study, you will be given a unique registration number which will help in identification during the data collection process. This will be done to ensure that there is high level of confidentiality and privacy of the respondent information. With the help of a research assistant, your demographics as well as those of the newborn will be used to fill the data collection form. Six mls of venous blood will be drawn using a disposable syringe. Blood collected will be put in one plain vacutainers tube for Vitamin D analysis. After delivery, 6 mls of cord blood will be collected to investigate the presence of Vitamin D deficiency. These results will be taken to the lab to investigate presence of Vitamin D deficiency.



### **Voluntariness of participation**

Participant into the study is purely on voluntary basis. You will not be coerced into participating into the study against your will. The research assistant will guide you in understanding your right to participate or not participate.

### **Confidentiality**

All responses will be treated as strictly confidential, and no participant's results will individually but only in aggregate form.

Participation in this study is voluntary, and there will be no monetary compensation.

The participants also reserve all the rights to withdraw themselves and their data from the study at any time.

### **Benefits**

- Free evaluation of vitamin D.
- A copy of the results will be provided to the primary physician and yourself.
- The results will assist in improving management and follow up of the neonates and mothers.
- If the findings indicate Vitamin D deficiency, you will be advised to get Vitamin D supplements.

### **Risks, stress and discomfort**

- Slight Pain at the puncture site following specimen collection.
- Swelling may appear at the site of the venipuncture.
- Note: should any of the above occur, feel free to contact Dr. Josephat Atandi

### **The right to withdraw**

Remember, your participation is entirely voluntarily. Should you consider changing your mind midway, you have the right to do so, and you shall not suffer any consequence whatsoever.

**Sharing of results**

The results of this study may be presented during scientific and academic forums and may be published in scientific medical journals and academic papers.

**Participants consent**

I confirm that the organizer has explained fully the nature of the study and the extent of activities which I will be asked to undertake and that I have received an information sheet. I confirm that I have had adequate opportunity to questions about this study. I understand that my participation is voluntary ‘and that I may withdraw at any time during the study, without having to give a reason. I agree to take part in this study by filling in the questionnaire.

Participant:

Name.....sign..... Date.....

Researcher/Research Assistant:

Name..... sign.....Date.....

In case of any issues or challenges related to this study, please contact me on **0728135102** or Dr. Paul Laigong on **0735 769615** or KNH/UON ERC Secretariat on Tel.2726300 ext 44102, [uonknherc@uonbi.ac.ke](mailto:uonknherc@uonbi.ac.ke).

Thank you for sparing your precious time dedicated to participating in this study exercise.

## Appendix II: Study questionnaire

Questionnaire Code.....Date of Data Collection.....

### Directions for completing the questionnaire

- i. Please do not write your name in any of the pages of the questionnaire.
- ii. Please carefully read the instructions at the beginning of each section of the questionnaire before answering the questions in that section.
- iii. Please answer all the questions in each section if possible.

### Section A: Socio-Demographic characteristics of the parents/ caregivers

1. What is your age .....
2. What is your highest level of education?

Never attended [ ]

Primary [ ]

Secondary [ ]

Tertiary/ University [ ]

3. What is your occupation?

Salaried employee [ ]

Self-employed [ ]

Unemployed [ ]

Others specify.....

4. What is your marital status?

Single [ ]

Married [ ]

Divorced/separated [ ]

Widow [ ]

5. What is your average monthly income? (in Kshs) .....

6. Your Residence

Rural [ ]      Urban [ ]

7. What is your religion

Christian [ ]    Muslim [ ]    Hindu [ ]

Others .....

**Section B: Clinical parameters**

- 8. Parity
- 9. Gravida
- 10. Have you used Vitamin D supplements?  
Yes  No

**Section C: Laboratory results**

<b>11. Serum Vitamin D (calcitriol) (ng/ml)</b>	<b>12. Vitamin D [25(OH)D]ng/ml</b>

**Section B: Biographic Data of the neonate (Choose One Response Only)**

- 13. Gender of the child.  
Male  Female
- 14. Weight at birth (In grams) .....
- 15. What is the Birth order of the child?  
First   
Second   
Third   
Others specify.....
- 16. Do you have other children? Yes  No
- 17. If yes, how many.....
- 18. Is there another child that had similar problem? Yes  No

<b>19. Cord blood Vitamin D (calcitriol) (ng/ml)</b>	<b>20. Vitamin D [25(OH)D]ng/ml</b>

## **Kiambatanisho I: kibali cha fomu**

### **Utangulizi**

Jina langu ni Dkt. Josephat Atandi, mimi ni mwanafunzi wa baada ya kuhitimu na kujiandikisha pediatrics katika hospitali ya Taifa ya Kenyatta na kufanya shahada ya uzamili katika pediatrics na afya ya watoto, katika shule ya tiba, Idara ya pediatrics na afya ya watoto, Chuo Kikuu cha Nairobi.

Utafiti huu unafanywa kwa idhini ya hospitali ya Taifa ya Kenyatta-Chuo Kikuu cha Nairobi na kamati ya utafiti (KNH-UON Itifaki ya ERC No ... ..).

### **Masomo ya msingi**

Kuenea kwa upungufu wa vitamini D (VDD) imekuwa ikiongezeka kwa kiasi kikubwa katika miaka ya hivi karibuni. Hali ya vitamini D ya watoto hutegemea hali ya mama. Hivi karibuni, hali ya vitamini D katika wanawake wajawazito na watoto yao imepata umakini mkubwa katika fasihi ya ukunga na watoto wachanga. Kuchapishwa tafiti kutoka mikoa mbalimbali duniani na kumbukumbu ya kuenea kwa wanawake wajawazito kuanzia 20% - 90%.

### **Lengo la utafiti**

Ili kubaini maambukizi na Uabiri kwa upungufu wa vitamini D katika watoto na mama zao katika kitengo cha uzazi wa hospitali ya Kenyatta.

### **Hatua za kujifunza**

Baada ya idhini ya kushiriki katika utafiti, watapewa nambari ya kipekee ya usajili ambayo itasaidia katika utambuzi wakati wa mchakato wa ukusanyaji deta. Hii itafanyika ili kuhakikisha kwamba kuna kiwango cha juu cha usiri na faragha ya taarifa ya mhojiwa. Kwa msaada wa Msaidizi wa utafiti, demografia yako pamoja na wale wa mtoto mzawa itatumika kujaza fomu ya ukusanyaji wa data. MLS sita ya damu ya vena itakuwa inayotolewa kwa kutumia sindano ziada. Damu zilizokusanywa itakuwa kuweka katika moja ya wazi ya viputainers tube kwa ajili ya uchambuzi wa vitamini D. Baada ya kuzaa, 6 MLS ya damu ya kambakitovu itakuwa zilizokusanywa ili kuchunguza uwepo wa vitamini D upungufu. Matokeo haya itakuwa kuchukuliwa kwa maabara ya kuchunguza uwepo wa vitamini D upungufu.

### **Wito wa ushiriki**

Mshiriki katika utafiti ni rena kwa msingi wa kujitolea. Huwezi kuwa na wakati kushiriki katika kujifunza juu ya mapenzi yako. Msaidizi wa utafiti atawaongoza katika kuelewa haki yako ya kushiriki au sio kushiriki.

### **Usiri**

Majibu yote yatakuwa ya siri sana, na hakuna matokeo ya mshiriki peke yake isipokuwa tu kwa aina ya jumla.

Ushiriki katika utafiti huu ni wa kujitolea, na hakutakuwa na fidia ya fedha.

Washiriki pia huhifadhi haki zote za kujitolea wenyewe na data zao kutoka katika masomo wakati wowote.

### **Faida**

- Bure tathmini ya vitamini D.
- Nakala ya matokeo itakuwa zinazotolewa kwa daktari msingi na wewe mwenyewe.
- Matokeo itasaidia katika kuboresha usimamizi na kufuatilia watoto na mama.
- Kama matokeo yanaonyesha vitamini D upungufu, utakuwa wanashauriwa kupata vitamini D virutubisho.

### **Hatari, dhiki na usumbufu**

- Kidogo maumivu katika tovuti panongaji kufuatia ukusanyaji kigongo cha specimen.
- Uvimbe inaweza kuonekana katika tovuti ya venipuncture.
- Kumbuka: lazima yoyote ya kutokea hapo juu, kujisikia huru kuwasiliana na Dkt. Josephat Atandi

### **Haki ya kuondoa**

Kumbuka, ushiriki wako ni wa hiari kabisa. Unapaswa kufikiria kubadilisha mawazo yako Midway, una haki ya kufanya hivyo na hamtakubali matokeo yoyote.

### **Kushiriki matokeo**

Matokeo ya utafiti huu yanaweza kuwasilishwa wakati wa vikao vya kisayansi na kitaaluma na inaweza kuchapishwa katika shajara za matibabu ya kisayansi na karatasi za kitaaluma.

### **Ridhaa ya washiriki**

Mimi kuthibitisha kwamba Mratibu ina alielezea kikamilifu asili ya utafiti na kiwango cha shughuli ambayo mimi itakuwa aliuliza kufanya na kwamba mimi kupokea karatasi ya habari. Mimi kuthibitisha kwamba nimekuwa na fursa ya kutosha kwa maswali juu ya utafiti huu. Ninaelewa kwamba ushiriki wangu ni wa kujitolea na kwamba ninaweza kutoa wakati wowote wakati wa masomo, bila ya kutoa sababu. Ninakubaliana na kushiriki katika utafiti huu kwa kujaza Dodoso.

Imesainiwa na mshiriki..... Tarehe.....

Katika hali ya masuala yoyote au changamoto zinazohusiana na utafiti huu, tafadhali wasiliana nami mnamo **0728 135 102** au Dkt. Paul laigong **0735 769615** au KNH/uon sekretarieti ERC juu ya Tel. 2726300 atini 44102, [uonknherc@uonbi.ac.ke](mailto:uonknherc@uonbi.ac.ke).

Asante kwa kiume wakawawacha hai muda wako wa thamani kujitolea kushiriki katika zoezi hili la utafiti.

## **Kiambatisho II: maswali ya kujifunza**

Msimbo wa maswali..... Tarehe ya ukusanyaji data.....

### **Maelekezo ya kukamilisha Dodoso**

- iv. Tafadhali usiandike jina lako katika kurasa zozote za Dodoso.
- v. Tafadhali soma kwa makini maelekezo mwanzoni mwa kila sehemu ya Dodoso kabla ya kujibu maswali katika sehemu hiyo.
- vi. Tafadhali jibu maswali yote katika kila sehemu ikiwa inawezekana.

### **Sehemu ya: tabia za kijamii kwa watu wa wazazi/walezi**

21. Una umri gani.....?

22. Je, kiwango chako cha elimu ya juu ni kipi?

Usiwahi kuhudhuria

Msingi

Sekondari

Elimu ya juu/Chuo Kikuu

23. Je, kazi yako ni ipi?

Mfanyakazi wa salaried

Kujiajiri

Ajira

Wengine Taja.....

24. Je, hali yako ya ndoa ni ipi?

Single

Ndoa

Iliyoachwa/kugawanywa

Mjane

25. Mapato yako wastani wa kila mwezi ni nini?

Chini ya 10, shilingi 000

10001-20000 shilingi

shilingi 20001-30000

Shilingi za juu-30,000

26. Makazi

Miji ya vijijini



27. Dini  
Mkristo  Mwislamu

Wengine.....

**Sehemu ya B: vigezo vya kliniki**

28. Usawa   
29. Ujauzito  
30. Tumia virutubisho  
Ndiyo Hapana

**Sehemu ya C: matokeo ya maabara**

<b>31. Serum vitamini D (calcidiol) (ng/ml)</b>	<b>32. Vitamini D [25 (OH) D] ng/ml</b>

**Sehemu ya B: data ya Biographia ya mtoto (Chagua Jibu moja tu)**

33. Jinsia ya mtoto.  
Mwanaume  mwanamke

34. Uzito wa kuzaliwa (katika gramu)  
Chini ya 1500  1500 – 2500  2600-3500  juu ya 3500

35. Je, mpango wa uzazi wa mtoto ni upi?  
Kwanza

Pili

Tatu

Wengine Taja.....

36. Una watoto wengine? Ndiyo Hapana

37. Kama ndiyo, ni wangapi .....

38. Je, kuna mtoto mwingine ambaye alikuwa na tatizo sawa? Ndiyo Hapana

<b>39. CORD damu vitamini D (calcidiol) (ng/ml)</b>	<b>40. Vitamini D [25 (OH) D] ng/ml</b>

### Appendix III: Workplan

	Dec-2019 -Feb 2020	Feb 2020 July 2020	Oct/ Nov 2020	Dec/Jan 2020	Jan 2020 Feb 2021	March 2021	April 2021
Development of Proposal							
Proposal submission to ethics							
Data collection							
Data analysis							
Thesis Writing							
Poster Presentation							
Thesis Submission							

## Appendix IV: Study Budget

The following is the expected budget for the study:

Study Title: PREVALENCE OF VITAMIN D DEFICIENCY IN MOTHERS AND NEWBORNS

AT KENYATTA NATIONAL HOSPITAL MATERNAL AND CHILD UNIT, (P205/03/2020).

### Study Budget

Components	Unit of Measure	Duration/ Number	Unit Cost (Kshs)	Total Cost (Kshs)
<b>Personnel</b>				
Research Assistant		3	15000	45000
Statistician		1	30000	30000
Participants		64	100	6400
Transcribing Fee				
<b>Printing</b>				
Consent Form	copies	1	100	100
Assent Form				
Questionnaires	copies	1	100	100
Interview Guide				
Final Report		3	500	1500
<b>Photocopying</b>				
Consent Form	copies	150	50	7500
Assent Form				
Questionnaires	copies	150	50	7500
Interview Guide				
Final Report		6	250	1500
Final Report Binding		3	100	300
<b>Diagnostic Services</b>				
Vitamin D kits/reagent	kits	4	63000	252000
consumables		7	-	15750
LAB ASSSISTANT		1	30000	30000
<b>Other costs</b>				
ERC Fees	1	1	2000	2000
Records Access Fee		1	500	500
Poster Printing				
<b>Total</b>				<b>398,650</b>

### Budget items Justification:

1. The budget is necessary as outlined: including facilitation of the research assistants; participants will need Masks for them for protection during this covid19 as a measure to protect them from cross-infection

2. The other budget lines will include cost of reagents; test tubes and storage costs and lab assistant facilitation; and, facilitation for research statistician.
3. Vitamin D kits: 63000 per kit \* kits: Kshs. 252,000

4. Consumables:15750

- I. Gloves: 3boxes: @ 200 per piece: = Kshs. 600
- II. Spirits swabs: 2boxs @300 per box = Kshs. 600
- III. Scalp veins 3box 300pcs @ Kshs 8 = Kshs. 2400
- IV. Plains tubes with gel 400pc @1200 = Kshs: 4800
- V. Serum tubes 400pcs @8 per pc = kshs.3200