# An Integrative Bioinformatic Approach for Studying Escape Mutations in Human Immunodeficiency Virus Type 1 gag in the Pumwani Sex Worker Cohort<sup>⊽</sup>

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Human immunodeficiency virus type 1 (HIV-1) is able to evade the host cytotoxic T-lymphocyte (CTL) response through a variety of escape avenues. Epitopes that are presented to CTLs are first processed in the presenting cell in several steps, including proteasomal cleavage, transport to the endoplasmic reticulum, binding by the HLA molecule, and finally presentation to the T-cell receptor. An understanding of the potential of the virus to escape CTL responses can aid in designing an effective vaccine. To investigate such a potential, we analyzed HIV-1 gag from 468 HIV-1-positive Kenyan women by using several bioinformatic approaches that allowed the identification of positively selected amino acids in the HIV-1 gag region and study of the effects that these mutations could have on the various stages of antigen processing. Correlations between positively selected residues and mean CD4 counts also allowed study of the effect of mutation on HIV disease progression. A number of mutations that could create or destroy proteasomal cleavage sites or reduce binding affinity of the transport antigen processing protein, effectively hindering epitope presentation, were identified. Many mutations correlated with the presence of specific HLA alleles and with lower or higher CD4 counts. For instance, the mutation V190I in subtype A1-infected individuals is associated with HLA-B\*5802 ( $P = 4.73 \times 10^{-4}$ ), a rapid-progression allele according to other studies, and also to a decreased mean CD4 count (P = 0.019). Thus, V190I is a possible HLA escape mutant. This method classifies many positively selected mutations across the entire gag region according to their potential for immune escape and their effect on disease progression.

Cytotoxic T lymphocytes (CTLs) play a crucial role in controlling viral replication during acute and chronic human immunodeficiency virus type 1 (HIV-1) infections (15, 29, 41, 60). It is believed that during acute HIV-1 infection the initial viremia is controlled by HIV-1-specific CTLs, and these cells may suppress viral replication throughout the chronic phase of infection (11). Therefore, CTLs likely play an important role in viral control. This anti-HIV-1 immunity is influenced by the diversity of human leukocyte antigens (HLAs) (59). Infected cells present viral peptides via HLA class I molecules to HIV-1-specific CTLs, which induces effector immune responses that destroy infected cells. The response, however, is dependent on the specificity of the different HLA class I molecules. Studies have also shown that the effects of CTL responses are not equal. The CTL responses of individuals with HLA-B\*5701 are associated with slower disease progression (53), while CTL responses of people with HLA-B\*5802 are associated with rapid progression (44).

Proteasomal cleavage and transport antigen processing

(TAP protein) transport also play crucial roles in the cellular immune response (51). Prior to peptide presentation, endogenous viral proteins undergo proteolytic cleavage into small peptides in the proteasome. There is increasing evidence that CTL-restricted epitopes are a result of C-terminal proteasomal cleavage (8, 63, 73, 82). In some epitopes, the N terminus is trimmed within the endoplasmic reticulum (ER) after the peptide has been transported by TAP, generating an 8- to 11amino-acid fragment (8, 77). Specific peptides then bind to their corresponding HLA class I molecule and are presented on the cell surface.

Through mutations, HIV-1 can rapidly adapt to the selective pressure exerted by the host immune system. Host selective pressure accumulates viral mutations that result in escape variants. Mutational escape from recognition of CTLs may carry a fitness cost (56) or be beneficial to the virus. Therefore, the genotype and fitness of the virus is highly dependent upon the host it is infecting. This study employed a bioinformatic approach to systematically identify and classify CTL escape mutations in the *gag* gene of HIV-1 proviral sequences from a Kenyan sex worker cohort and correlated them with host HLA genotype and HIV disease markers.

The *gag* region encodes the primary structural proteins of the virus and contains many well-characterized immunodominant CTL epitopes (21). The Gag precursor protein, Pr55<sup>gag</sup>, is cleaved by HIV-1 protease, resulting in mature p17 (matrix), p24 (capsid), p7 (nucleocapsid), p6, and two spacer peptides,

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p1 and p2 (22). The various *gag* proteins play important roles in viral assembly (64, 85), budding (13, 84), maturation (25), stabilizing structural integrity (36), and infectivity (83). During the early stages of infection, the proteins of *gag* are involved in localization of the preintegration complex (34, 70), and therefore the conservation of the *gag* domains is critical for proper viral function.

Positively selected amino acids were first identified by examining the individual proteins of gag from more than 1,000 proviral gag sequences from the HIV-1-positive women of a cohort from Nairobi, Kenva. Positive selection sites were then classified as beneficial or detrimental to the virus by correlation with the patients' HLA types and CD4 counts. The positively selected amino acids were further correlated with the predicted proteasomal cleavage sites (using NetChop 3.0) and transport efficiency (using a TAP affinity algorithm). This allowed us to classify the positively selected amino acids that arose and in what manner they affect each step of peptide presentation. It explains why different HLA alleles are associated with dissimilar disease outcomes and is an efficient approach to identifying and classifying escape mutations in HIV-1 gag. This information can be used in HIV vaccine development.

#### MATERIALS AND METHODS

**Study cohort.** The patients were antiretroviral treatment-naïve HIV-1-positive adult women, at various stages of disease progression, enrolled in the Pumwani Sex Worker Cohort in Nairobi, Kenya. This study has been approved by the Ethics Committee of the University of Manitoba and the Ethics and Research Committee of Kenyatta National Hospital. Informed consent was obtained from all women enrolled in the study.

HLA sequencing and typing. Genomic DNA was isolated from 468 HIV-1positive women enrolled in the Pumwani Sex Worker Cohort. HLA class I typing was conducted by amplifying HLA-A, -B and -C genes with gene-specific primers. The amplified PCR products were purified and sequenced using the ABI 3100 Genetic Analyzer. The class I genes were typed using the CodonExpress software package, which was developed based on taxonomy-based sequence analysis (55).

gag PCR and sequencing. Proviral DNA was isolated from HIV-1-positive women. Nested PCR amplification was used to amplify gag genes. PCR amplification was confirmed using 1% agarose gel electrophoresis. PCR products were purified using the Multiscreen<sub>HTS</sub> PCR plate (Millipore Corp.). BigDye Terminator v3.1 was used to sequence gag genes with specific primers. The sequencing products were purified by ethanol-sodium acetate precipitation. Purified sequencing products were analyzed with an ABI 3100 Genetic Analyzer (Applied Biosystems). Nucleotide sequences were assembled and edited with Sequencher 4.5 (Genecodes Corp.). Samples with unsuccessful sequencing results due to heterogeneous quasispecies sequence were gel purified and cloned using TOPO TA cloning kit (Invitrogen). Multiple clones were sequenced as described above.

**Phylogenetic analysis.** Phylogenetic analysis with MEGA (Molecular Evolutionary Genetics Analysis) v3.1 was used to classify viral subtypes. All of the sequences were aligned using Clustal W (49), along with reference sequences obtained from the Los Alamos HIV database (47). Phylogenetic trees were constructed using neighbor-joining algorithms with bootstrap testing of 1,000 replicates. RIP (Intersubtype Recombination Analysis) v2.0 (47) was used to identify intersubtype recombinations.

Shannon's entropy was used to score the sequence variability in Gag protein alignments using the procedure described by Korber et al. (48). The score considers both the number of amino acid variants and their frequencies for each position, providing a quantitative measure for comparisons of *gag* sequences.

**Positive selection analysis.** QUASI, a selection-mapping algorithm (80), was used to identify the positively selected amino acids of viral proteins. This selection-mapping program identifies replacement mutations that are overabundant compared to silent mutations at each codon, recognizing them as positively selected.

Proteasomal cleavage prediction. NetChop C-term 3.0 calculated cleavage values for Gag residues and identified potential proteasomal cleavage sites (38,

TABLE 1. Study population HLA distribution

HLA-A alleles (frequency [%])	HLA-B alleles (frequency [%])	HLA-C alleles (frequency [%])
$\begin{array}{c} A^{*701} (9.12) \\ A^{*0201} (8.48) \\ A^{*3001} (8.37) \\ A^{*3002} (7.83) \\ A^{*2301} (6.87) \\ A^{*6602} (6.76) \\ A^{*0202} (6.01) \\ A^{*6601} (5.47) \\ A^{*0101} (5.15) \\ A^{*0301} (4.72) \\ A^{*2902} (3.76) \\ A^{*3001} (2.90) \\ A^{*3001} (2.90) \\ A^{*2402} (2.36) \\ A^{*3402} (2.36) \\ A^{*3402} (2.36) \\ A^{*3004} (1.18) \\ A^{*3009} (1.07) \\ Other^{a} (12.01) \end{array}$	$B*5802 (10.62) \\ B*1503 (9.87) \\ B*4201 (7.73) \\ B*5301 (7.40) \\ B*5801 (5.47) \\ B*5801 (5.47) \\ B*4501 (4.94) \\ B*5703 (4.40) \\ B*1510 (4.29) \\ B*8101 (4.29) \\ B*8001 (2.47) \\ B*0801 (2.47) \\ B*0801 (2.47) \\ B*4403 (2.47) \\ B*1801 (2.36) \\ B*3501 (2.25) \\ B*1302 (1.93) \\ B*1406 (1.82) \\ B*4415 (1.61) \\ B*5702 (1.39) \\ B*1402 (1.18) \\ B*5101 (1.18) \\ B*3910 (1.07) \\ B*100 (1.0$	Cw*0602 (17.17) Cw*0401 (12.98) Cw*0701 (12.02) Cw*1701 (10.62) Cw*1701 (10.62) Cw*1801 (6.44) Cw*1601 (5.58) Cw*0304 (5.47) Cw*0802 (4.72) Cw*0702 (3.11) Cw*0302 (1.72) Cw*0407 (1.72) Cw*0704 (1.72) Cw*0704 (1.39) Cw*1505 (1.07) Other <sup>a</sup> (5.26)
	Other" (13.11)	

 $^a$  Frequencies of HLA alleles that were below 1.0% were grouped into the "Other" category.

43). A low cleavage value indicates a low probability of proteasomal cleavage; a high value suggests a high probability of cleavage.

**TAP affinity prediction.** Prediction of the TAP affinity, and therefore the TAP transport efficiency, was performed using the consensus scoring methods described by Peters et al. (74). The TAP affinity score is the sum of the matrix elements of the C terminus and three N-terminal residues, for any arbitrary length, represented by log 50% inhibitory concentration ( $IC_{50}$ ) values. This equation optimally applies to nonamers; however, it was also highly correlated to peptides with 10 to 18 amino acids. A low TAP score corresponds to a peptide well-suited for TAP binding, and a high TAP score corresponds to low TAP affinity.

HLA and CD4 correlations. All statistical analyses were done using SPSS 11.0. To reduce the number of HLA correlations, a  $Z_{\rm max}$  test was done for each positively selected residue to perform a global test of association using a Webbased program (http://www.msbi.nl/ScoreTest/) (17, 78). At each positively selected residue, all three HLA class I loci were separately tested. This statistic is designed to detect departures from the multinomial assumptions caused by the clustering of the observations in one or a few categories (50). A corresponding *P* value was estimated by simulation (10,000); however, this could only detect *P* values above  $1.00 \times 10^{-4}$ . The significant results were then followed up with individual allelic assessments, in which the Fisher's exact test was used in cases where an expected count of any cell in a two-by-two table was less than 10, and all other cases employed Pearson's chi-square test. Comparison of mean CD4 counts between positively selected amino acids and the consensus was conducted using an independent samples *t* test.

**Nucleotide sequence accession numbers.** All p17, p24, p7, and p6 sequences analyzed in this study were submitted to the National Center for Biotechnology Information GenBank database and can be found under accession numbers EF160141 to EF164802. The p1 nucleotide sequences was also determined (data not shown).

### RESULTS

HLA serotype and allele distribution. All 468 HIV-1-positive women were typed for their HLA-A, -B, and -C alleles. Nineteen HLA-A, 22 HLA-B, and 15 HLA-C alleles were present at a frequency greater than 1%, while rare alleles altogether were present at 12.01% (n = 28), 13.11% (n = 51), and 5.26% (n = 14), respectively. Refer to Table 1 for

TABLE 2. Clade distribution of gag proviral sequences

Clade	Frequency (%) of indicated protein in clade				
	p17	p24	p7	p6	
A1	71.1	64.9	67.1	62.9	
D	13.6	22.1	22.8	20.4	
С	6.3	5.4	6.1	3.1	
Other recombinant subtypes	8.7	7.6	4.0	13.6	

the specific distribution of HLA alleles within this subpopulation.

Phylogenetic analysis and sequence variability in HIV-1 gag. A total of 1,552, 1,346, 780, 1,224, and 984 sequences were generated from p17, p24, p7, p1, and p6, respectively, from 468 patients. Phylogenetic analysis, using MEGA 3.1, classified the viral subtypes based on clustering patterns with known reference sequences. To determine recombination, classification was conducted separately for each protein. The cohort is predominantly infected by clade A1 at 71%, 65%, 67%, and 63%, followed by clade D at 14%, 22%, 23%, and 20% for p17, p24, p7, and p6, respectively (Table 2). The p1 region is too short (16 residues) to use in phylogenetics with a reasonable degree of certainty and so was omitted from this analysis. Although clade C viruses are prevalent in the southern region of sub-Saharan Africa (9, 57, 71), in this East African population they are identified in only 10% of the viral population. Recombinant subtypes ranged from 4 to 13.6% in each individual Gag protein (Table 2). For statistical purposes, this study concentrated on subtypes A1 and D for each of the Gag proteins.

Viral escape mutations can occur at each step of antigen presentation, including antigen processing, antigen presentation, and T-cell receptor (TCR) binding. To systematically investigate viral escape mutations of HIV-1 in the Pumwani Sex Worker Cohort, an approach that identifies and classifies escape mutations following each step of antigen processing and presentation was adopted.

The viral protein sequence variability was first used to identify regions within gag that are indicative of viral evolution. Shannon's entropy score is a commonly used tool for establishing sequence variability by quantitatively measuring the presence of variants while filtering out random genetic drift (79). A high entropy score implies that many variants are present at significant frequencies, while a low score implies relative conservation. A comparison of average entropy for each gag gene product using an independent sample t test (p17, p24, p7, p1, and p6 individually tested against the rest of the Gag region) showed that p24 has the lowest score for both the A1 (2.4-fold less;  $P = 2.98 \times 10^{-8}$ ) and D (2.8-fold less; P = $3.95 \times 10^{-10}$ ) clades. This confirms the relative conservation of p24 (Table 3). This observation suggests that p24's structural constraints limit its diversity. Further analysis of p7-p1-p6 showed higher average entropy for both A1 and D clades (p7 < p1 < p6), implying that fewer structural constraints exist in p1 and p6 compared with the structural components of gag (p17, p24, and p7).

In HIV infection the persistent generation of variants in immune epitopes ultimately leads to a reduction of immune control. However, not all escape mutations are advantageous

TABLE 3. Shannon's average entropy of gag for clades A1 and D

G	Avg entropy for clade:			
Gag	A1	D		
p17	0.0963	0.0966		
p24	0.0443	0.0357		
p7	0.0617	0.0764		
p1	0.1025	0.1025		
p6	0.1682	0.1200		

to the virus, as some can severely hinder viral fitness (33). Investigation of individual residue entropies across Gag reveals that regions in epitopes tend to occupy areas of lower entropy (P = 0.013, independent samples t test) (Fig. 1 and 2), an observation consistent with the findings of Yusim et al. (88). Amino acid variants in CTL epitopes often hinder or abolish the CTL response (18) and can point to locations of potential immune escape.

Identification of positively selected amino acids using QUASI analysis. Positively selected amino acids identified by QUASI were used to characterize the selection landscape of p17, p24, p7, p1, and p6 (Fig. 3). Because of the significant genetic distance and complications of interclade variability between clades A1 and D, we conducted QUASI analyses separately for each clade. Clade A1 and D subtypes differed in the number of positively selected sites, number amino acid variants, and frequencies of variants. A positive selection map across *gag* was generated (Fig. 3). The positively selected amino acids were then analyzed for selection pressures that may drive viral evolution at the various avenues of escape.

Figure 4 shows a comparison between conserved and nonconserved mutations in the individual Gag protein products from both clades A1 and D. Both types of mutations were classified using the BLOSUM62 amino acid substitution matrix (35). Since p17 and p24 have more structural constraints than the other Gag proteins, fewer nonconserved positively selected mutations were observed. In contrast, p6 contains the most nonconserved mutations in clades A1 ( $P = 7.46 \times 10^{-8}$ ) and D ( $P = 3.57 \times 10^{-4}$ ).



FIG. 1. Comparison of individual residue entropies between those areas in Gag that lie in known epitopes and those that do not (P = 0.013, independent sample t test).



FIG. 2. Calculation of the average Shannon's entropy value across a 9-residue window at each position of Gag. (A) Clade A1 plots (black); (B) clade D (grey). p2 was not analyzed.

**Determining proteasomal escape mutations.** NetChop 3.0 at a threshold value of 0.5 was utilized to assess selections that may abrogate viral peptide processing for HLA class I presentation. However, this prediction algorithm only determines C-terminal cleavage sites, because the determination of Nterminal cleavage sites is more complicated (43). Positively selected mutations that occur on the C-terminal cleavage sites may abolish proteasomal processing, and any such mutations that flank the C-terminal cleavage site and occur within 14 amino acid residues may also affect cleavage. Therefore, positively selected mutations that occur at the C terminus of the epitope and residues within, or flanking the epitope, were analyzed. Positively selected mutations affecting the proteasomal cleavage are marked in Fig. 3.

In p17 of subtype A1, the HLA-A3-restricted RK9 epitope contained a positive selection at the C-terminal anchor residue K28Q (Fig. 3). Conserved Lys-to-Gln mutations at this site abolish proteasomal cleavage, as indicated by the dramatically reduced NetChop score (from 0.625 to 0.093). The K28Q mutation at the C-terminal proteasome cleavage site is not affected by other mutations within a 14-residue sequence range. This suggests that the K28Q mutation is a proteasome escape variant. Patients carrying the K28Q mutation tend to have a lower CD4 count; however, the difference is not significant (data not shown). This observation is consistent with a previous

study that suggested the K28Q mutation might impair HLA-A3-restricted epitope processing and reduce binding (2).

The extended peptide generated from proteasomal cleavage requires N-terminal trimming in the ER, an essential step for some epitopes in peptide processing (8, 77). For instance, in p24, the positively selected amino acid A146P flanking the immunodominant HLA-B57-restricted epitope IW9 (p24, 147 to 155) was found previously to prevent N-terminal trimming by the ER aminopeptidase I (15). In this Kenyan HIV-1-infected population, the A146P mutation in clade D was associated with a lower mean CD4 count (from 347.69 to 283.52 cells/ml), although it was not statistically significant (P = 0.20). Another positively selected amino acid, I147L, located within the same epitope was significantly associated with a decrease in the mean CD4 count (from 356.36 to 283.52 cells/ml) (P =0.014; see Table 7, below). Goulder et al. (29) found that A146P and I147L occurred at the same time in HLA-B57 progressors. A146P and I147L simultaneously occurred in ~11% of the Kenyan patients and were associated with HLA-B\*5703 ( $P = 4.41 \times 10^{-5}$  and  $4.02 \times 10^{-2}$ , respectively).

Analyses of Kenyan p7 sequences revealed no positively selected mutations that can alter proteasomal cleavage sites within the two C-terminal residues containing the conserved zinc fingers and the basic linker peptide (10, 76). Kenyan A1 clade viruses only have changes to the predicted cleavage sites



FIG. 3. A map of positively selected mutations across *gag* that were generated by QUASI for clade A1 and D viruses. The consensus sequence is shown as a single line of residues with positively (upper case) and neutral (lower case) selected residues shown underneath the consensus for each site. Predicted proteasomal cleavage sites of the consensus sequence are shown as asterisks at an  $IC_{50}$  threshold score of 0.5. Mutations that affect proteasomal cleavage are shown in red, and those cleavage sites that are abolished through mutations are shown as red asterisks. Mutations that affect predicted TAP transport are shown in green; mutations that affect N-terminal trimming are in pink; mutations that may result in reduction of HLA binding are in blue; mutations that may reduce TCR recognition are in yellow. Mutations that correlate with differences in CD4 counts are boxed.



FIG. 4. Comparison of conserved and nonconserved positively selected mutations in p17, p24, p1, p7, and p6 from both clades A1 and D. Conserved (grey) and nonconserved (black) mutations are shown with their respective P values. Classifications of amino acid substitution were based on the BLOSUM62 amino acid substitution matrix (35).

within the first 12 residues of the protein. The R380K mutation is predicted to result in a removal of one of these sites at residue 387 with the concomitant formation of a new site at residue 380. Similarly, among the D clade viruses, positively selected mutations from residues 386 through 389 resulted in a number of proteasomal cleavage sites either being created or destroyed. However, as was the case with A1 clade viruses, these mutations all occurred within the first 12 residues. There are no known epitopes that have been identified in this region of p7. The I401T and R418K mutations in the D clade viruses resulted in the creation of cleavage sites at residues 397 and 418, respectively; however, these new sites occur outside of documented epitopes and are not likely to influence the processing of the known epitopes.

**Determining TAP escape mutations.** A TAP affinity algorithm was used to determine TAP-peptide binding log  $IC_{50}$  scores (74) (Table 4) and predict TAP escape mutations. A

TABLE 4. TAP affinity scores for RK9, SL9, MV9, and LARK10 of gag

Clade	gag	Epitope	Sequence <sup>a</sup>	Log IC <sub>50</sub> $(nM)^b$
A1	p17	RK9	RLRPGGKKK	-2.12
			QLRPGGKKK	-1.55 -0.31
			<b>Q</b> LRPGGKK <b>Q</b>	0.26
A1		SL9	SLFNTVATL	-2.46
_			SEINIVAIE	5.21
D	p24	MV9	MTSNPPIPV MTNNPPIPV	-0.61 0.29
D	n7	LARK10	LARNCRAPRK	-1.62
2	P'	1.1.1.1.1.1.0	IAKNCRAPRK	0.09
			IAKNUKAPKK	-1.23

<sup>*a*</sup> The consensus sequence for each epitope is listed first, followed by the mutant sequence(s) found. The sites of variants are shown in boldface, with the corresponding TAP score (log  $IC_{50}$ ).

<sup>b</sup> The log  $IC_{50}$  values were calculated by summing the values of the consensus scoring matrix described by Peters et al. (74) of the three N-terminal residues and the single C-terminal residue. See reference 74 for a complete description.

higher log IC<sub>50</sub> value indicates a lower TAP-peptide binding affinity. Positive selection was observed in the A3-restricted epitope RK9 at sites 1 and 9 (R20Q and K28Q, respectively). The change from RK9 to RQ9 resulted in an increase of the log IC<sub>50</sub> value from -2.12 nM to -1.55 nM, while RK9 to QK9 resulted in a greater increase of the log IC<sub>50</sub> value from -2.12 nM to -0.31. These mutations show that TAP binding affinity is affected by certain mutational variants and suggest that TAP escape mutations may occur.

Positive selection in p24 that was indicative of a TAP escape mutation was also observed. In clade D, a change in amino acid residue 252 from Ser to Asn within the HLA-A\*0201-restricted epitope increased the log IC<sub>50</sub> value from -0.61 to 0.29 nM, implying a decrease in binding affinity.

The p7 of the D clade contains a positively selected mutation that seems to affect TAP binding. The HIV-1 database lists the region  $_{401}$ LARNCRAPRK $_{410}$  (LARK10) as an epitope presented by HLA-A3. In this Kenyan cohort, the D clade consensus sequence at this site is  $_{401}$ IAKNCRAPRK $_{410}$ (IAKK10). The mutation, K403R, correlates with HLA-A\*0301 ( $P = 8.07 \times 10^{-4}$ ), indicating that this sequence is also potentially under selection pressure by HLA-A3. The TAP binding score (log IC<sub>50</sub>) for the LARK10 epitope is -1.62 nM, a score that indicates excellent affinity for TAP. IAKK10 increased the TAP score by almost 2 logs to 0.09, indicating a substantial decrease in TAP affinity. This suggests that adaptation has occurred at this site for clade D virus in this cohort.

**Determining HLA escape mutations.** Mutations in the anchor positions may lead to a reduction in HLA binding and abolish peptide presentation (42). This was shown by correlating HIV-1 positively selected mutations identified using QUASI with the host HLA alleles. To reduce the number of HLA correlations, a  $Z_{max}$  test was first used to globally detect associated HLA alleles at each positively selected residue (50). For each significant  $Z_{max}$  test, a cross-tabulation (Fisher's exact test and Pearson's chi-square test) was then used to correlate the positively selected amino acids with the host HLA alleles (Tables 5 and 6; note that p1 was not included in phylogenetic analysis due to its short length, and thus the p1 correlations

TABLE 5. HLA correlations to positively selected amino acids in clade A1 Gag proteins

Gag	Martatian	T		$Z_{\rm max}$ test	TTT A _11_1_	Completion		Odds ratio	$\mathbf{D}$ -former of (a) $\ell$
protein	Mutation	Locus	Score	P value <sup>a</sup>	HLA allele	Correlation	Odds ratio (95% CI)	P value <sup>d</sup>	Reference(s)
p17	K12Q	HLA-A	40.97	$1.51 \times 10^{-2}$	A*7401	+	7.95 (5.95–10.62)	$6.37 \times 10^{-7}$	Undocumented
-	D14E	HLA-A	24.21	$1.88 \times 10^{-2}$	A*7401	+	10.46 (3.75-29.17)	$9.05 \times 10^{-5}$	Undocumented
	R20Q	HLA-A	35.03	$2.80 \times 10^{-3}$	A*7401	+	11.83 (4.31-32.46)	$1.71 \times 10^{-6}$	Undocumented
	K26R	HLA-C	12.84	$1.27 \times 10^{-2}$	Cw*0602	+	3.73 (1.88–7.40)	$8.81 \times 10^{-5}$	Undocumented
	K28Q	HLA-A	57.60	${<}1.00 \times 10^{-4}$	A*0301	+	19.58 (8.06-47.55)	$3.97 \times 10^{-12}$	29, 31, 65
					A*3001	+	4.27 (2.20-8.27)	$3.25 \times 10^{-5}$	29
	E42D	HLA-B	33.12	${<}1.00 \times 10^{-4}$	B*3502	+	10.82 (2.33-50.35)	$4.74 \times 10^{-3}$	Undocumented
		HLA-C	44.02	${<}1.00 \times 10^{-4}$	Cw*0407	+	3.99 (1.14–13.92)	$4.28 \times 10^{-2}$	Undocumented
	R43K	HLA-C	17.96	$1.00 \times 10^{-2}$	Cw*0304	+	8.20 (2.78–24.18)	$4.66 \times 10^{-4}$	Undocumented
	S49G	HLA-B	15.90	$1.90 \times 10^{-3}$	B*1402	+	34.74 (1.96-614.38)	$2.78 \times 10^{-4}$	Undocumented
	L75I	HLA-A	22.24	$< 1.00 \times 10^{-4}$	A*0202	+	5.62 (2.67–11.83)	$4.59  imes 10^{-6}$	40
	F79Y	HLA-A	17.22	$5.00 \times 10^{-4}$	A*0101	_	0.16(0.06-0.42)	$4.02 \times 10^{-5}$	40, 62
					A*0202	+	5.94 (2.39–14.79)	$2.26 \times 10^{-5}$	29, 40
					A*3002	_	0.23 (0.11-0.51)	$8.38 \times 10^{-5}$	28, 40, 69
					A*3601	_	0.10(0.02-0.42)	$1.55 \times 10^{-4}$	Undocumented
	T81A	HLA-A	32.93	$5.50 \times 10^{-3}$	A*0201	_	0.06 (0.00-0.96)	$1.50 \times 10^{-3}$	3, 14, 40, 72
	V82I	HLA-A	16.32	$3.38 \times 10^{-2}$	A*0240	+	19.37 (3.08–121.82)	$4.11 \times 10^{-3}$	Undocumented
	V88I	HLA-C	17.83	$3.57 \times 10^{-2}$	Cw*1601	+	11.13 (2.84-43.58)	$1.30 \times 10^{-3}$	Undocumented
	I92M	HLA-A	36.81	$1.83 \times 10^{-2}$	A*0204	+	42.00 (5.71–308.92)	$3.87 \times 10^{-3}$	Undocumented
	D93E	HLA-C	22.52	$1.00 \times 10^{-4}$	Cw*0602	_	0.20(0.11-0.37)	$6.18 \times 10^{-8}$	Undocumented
	T115A	HLA-A	40.83	$< 1.00 \times 10^{-4}$	A*3001	+	8.66 (4.17–17.9)	$1.87 \times 10^{-8}$	Undocumented
p24	A163G	HLA-B	38.05	$< 1.00 \times 10^{-4}$	B*5703	+	12.71 (4.97–32.49)	$3.21 \times 10^{-8}$	29, 32
r	I190V	HLA-B	14.77	$4.38 \times 10^{-2}$	B*5802	+	4.06 (1.95-8.44)	$4.73 \times 10^{-4}$	26
		HLA-C	27.24	$2.00 \times 10^{-4}$	Cw*0701	_	0.33(0.10-1.11)	$4.14 \times 10^{-2}$	Undocumented
	P243T	HLA-B	17.12	$1.76 \times 10^{-2}$	B*5702	+	7.59 (1.92–29.95)	$9.17 \times 10^{-3}$	6. 61
					B*5703	+	4.19(1.58-11.10)	$7.42 \times 10^{-3}$	6
	I247L	HLA-B	17.52	$1.88 \times 10^{-2}$	B*5702	+	10.95 (2.78-43.08)	$1.47 \times 10^{-3}$	30, 54, 61
	T303A/I	HLA-C	21.91	$2.00 \times 10^{-3}$	Cw*0304	+	575(2.60-12.70)	$3.94 \times 10^{-5}$	68
	T310S	HI A-B	28.96	$<1.00 \times 10^{-4}$	B*4415	+	10 19 (2 45 - 42 31)	$1.22 \times 10^{-3}$	65
	10100	TIL: T D	20.70	1.00 / 10	B*4901	+	10.19(2.15(12.51)) 10.58(2.55-43.85)	$2.47 \times 10^{-6}$	Undocumented
	F312D	HI A-B	23 37	$1.00 \times 10^{-4}$	B*4901	+	8.09(3.01-21.70)	$1.38 \times 10^{-5}$	Undocumented
	LUILD	TIL: T D	20.07	1.00 / 10	B*5802	_	0.03(0.0121.70) 0.31(0.13-0.71)	$3.90 \times 10^{-3}$	65
		HI A-C	13.60	$7.70 \times 10^{-3}$	Cw*0701	+	3 18 (1 69 - 5 99)	$1.29 \times 10^{-4}$	Undocumented
	E310D	HI A-B	18.00	$2.10 \times 10^{-3}$	B*4501	+	$5.10(1.09 \ 5.99)$ $5.73(2.49 \ 13.21)$	$6.65 \times 10^{-5}$	Undocumented
	T342S	HLA-D	10.55	$1.60 \times 10^{-3}$	Cw*0804	+	11.07(2.4) - 13.21)	$3.50 \times 10^{-4}$	Undocumented
	G357S	HLA-C	21.99	$1.00 \times 10^{-4}$ $1.00 \times 10^{-4}$	Cw*1601	+	6.07 (2.78–13.27)	$5.63 \times 10^{-6}$	Undocumented
n7	K387R	ΗΙ Δ.Δ	18 22	$4.80 \times 10^{-3}$	<b>A</b> *7401	+	3 32 (1 11_9 95)	$1.57 \times 10^{-4}$	Undocumented
P7	R402K	HLA-A HLA-B	12.98	$3.72 \times 10^{-2}$	B*1405	+	13.85 (1.41–136.11)	$2.14 \times 10^{-2}$	Undocumented
n1	K435R	HI A-B	15 71	$1.01 \times 10^{-2}$	B*1302	+	10.09 (2.54_40.02)	$7.83 \times 10^{-4}$	Undocumented
hī	S440N	HLA-A	12.24	$1.86 \times 10^{-2}$	A*7401	+	3.12 (1.66–5.87)	$2.46 \times 10^{-4}$	Undocumented
nh	P472I O	HLA-C	10.86	$2.79 \times 10^{-2}$	Cw*0202	+	8 26 (2 00-34 08)	$339 \times 10^{-3}$	Undocumented
Po	K489R	HI A-C	43.40	$5.80 \times 10^{-3}$	Cw*0304	+	8 93 (1 60-49 91)	$3.03 \times 10^{-2}$	Undocumented
	1110/11	IILA-C	7,777	J.00 A 10	Cw*1701	+	5.45 (1.67–17.82)	$5.79 \times 10^{-3}$	Undocumented

<sup>*a*</sup> The *P* value for the  $Z_{\text{max}}$  test was estimated by 10,000 simulations. The Web-based program cannot detect *P* values below  $1.00 \times 10^{-4}$ . <sup>*b*</sup> Positive (+) and negative (-) HLA correlations are shown.

<sup>c</sup> CI, confidence interval.

<sup>d</sup> P values shown in bold denote results obtained from a Pearson chi-square analysis.

<sup>e</sup> A reference that is "undocumented" corresponds to a potential novel epitope.

presented in Tables 5 and 6 are the same). This results in a total of 2,338 tests for clades A1 and D. If several sequences were available for a single patient, those sequences were consolidated and each residue was examined for the presence of a positively selected mutation. This ensured that each host (and their corresponding HLA allele) was only counted once. This analysis makes it possible to identify potential epitopes for rare HLA alleles and undocumented epitopes. Although HLA alleles are codominantly expressed, we assumed a dominant genotype model in the analysis, since one copy of a specific HLA allele is enough to exert a sufficiently strong selective pressure

on the virus (32, 44). This means that an escape variant will occur whether there are one or two copies of a specific HLA allele. Overall, 36 positive and 7 negative HLA correlations were observed in clade A1, while 16 positive and 1 negative HLA correlation were found in clade D. Eighty-three percent of the identified correlations with P values less than 0.01 have been documented by previous studies. Thirty-five of the correlations have not been documented by previous studies, and among them, 80% have P values less than 0.01. While controlling for multiple comparisons is important, it should be understood that this analysis was used as a preliminary screening for

Gag Mutation		Logue	$Z_{\rm max}$ test <sup>a</sup>			Correlation <sup>b</sup>	Odds ratio (05% CI) <sup>c</sup>	D volued	Deference (a) <sup>e</sup>
protein	Wittation	Locus	Score	P value	TILA allele	Correlation		1 value	Kelefence(s)
p17	K28R	HLA-A	11.45	$8.40 \times 10^{-3}$	A*3001	+	8.68 (2.33-32.37)	$1.02 \times 10^{-3}$	29
1	K30R	HLA-A	9.88	$2.21 \times 10^{-2}$	A*2301	+	9.00 (2.13–37.96)	$1.96 \times 10^{-3}$	44, 45
					A*2402	+	18.72 (0.93–377.67)	$2.37 \times 10^{-2}$	38
	G62E/A	HLA-B	13.02	$1.50 \times 10^{-3}$	B*5301	+	24.63 (2.92–207.63)	$2.02 \times 10^{-4}$	Undocumented
p24	A146P	HLA-B	25.65	$5.00 \times 10^{-4}$	B*5703	+	47.50 (5.26–428.91)	$4.41 \times 10^{-5}$	66
•	I147L	HLA-B	10.09	$2.14 \times 10^{-2}$	B*5703	+	5.61 (1.03-30.39)	$4.02 \times 10^{-2}$	5,40
	T242N	HLA-B	33.02	$1.00 \times 10^{-4}$	B*5703	+	47.50 (5.26-428.91)	$7.30 \times 10^{-4}$	6
	P255A	HLA-A	18.27	$2.36 \times 10^{-2}$	A*0201	+	8.95 (1.81–44.29)	$2.33 \times 10^{-2}$	58
	N315T	HLA-B	53.97	$3.00 \times 10^{-4}$	B*4415	+	217.00 (6.11-5,056.94)	$2.76 \times 10^{-3}$	1, 2, 21, 65
	V323I	HLA-B	17.91	$2.22 \times 10^{-2}$	B*5101	+	26.50 (2.94–239.07)	$1.33 \times 10^{-2}$	Undocumented
	S357G	HLA-B	13.49	$1.10 \times 10^{-3}$	B*0702	+	21.21 (2.61–172.68)	$7.67 \times 10^{-5}$	33, 45
p7	K403R	HLA-A	10.84	$4.60 \times 10^{-3}$	A*0301	+	18.36 (2.20–153.06)	$8.07 \times 10^{-4}$	12
•					A*3001	+	4.32 (1.21–15.43)	$2.89 \times 10^{-2}$	Undocumented
					A*6802	_	0.10 (0.13-0.82)	$1.26 \times 10^{-2}$	Undocumented
p1	K436R	HLA-B	15.71	$1.01 \times 10^{-2}$	B*1302	+	10.09 (2.54-40.02)	$7.83  imes 10^{-4}$	Undocumented
•	S441N	HLA-A	12.24	$1.86 \times 10^{-2}$	A*7401	+	3.12 (1.66–5.87)	$2.46 \times 10^{-4}$	Undocumented
p6	S487A	HLA-A	29.76	$2.40 \times 10^{-3}$	A*6802	+	22.50 (4.07–124.39)	$1.97  imes 10^{-4}$	Undocumented

TABLE 6. HLA correlations to positively selected amino acids in clade D Gag proteins

<sup>a</sup> The P value for the  $Z_{max}$  test was estimated by 10,000 simulations. The Web-based program cannot detect P values below  $1.00 \times 10^{-4}$ .

<sup>b</sup> Positive (+) and negative (-) HLA correlations are shown.

<sup>c</sup> CI, confidence interval.

<sup>d</sup> P values shown in bold denote results obtained from a Pearson chi-square analysis.

<sup>e</sup> A reference that is "undocumented" corresponds to a potential novel epitope.

potential HLA epitopes, and for this reason, all results have been included and the P values have not been corrected, and we acknowledge the possibility of type I error. If one desires, different methods of multiple comparison corrections can be applied directly from Tables 5 and 6 with the total number of tests provided above.

Figure 5 shows an epitope map based on previous studies, as listed by Frahm et al. (21) and the Los Alamos Database (47).

Clusters of positive selections within defined epitopes are evident in p24; however, selections are more uniformly distributed among p17 and p6. There is also evidence that epitopes restricted by several HLA class I molecules cluster in some regions, suggesting that some parts of individual proteins are more immunogenic than others.

K28Q, in p17 A1, is located within the  $\beta$ -sheet basic domain between helices 1 and 2. It is significantly associated with the



FIG. 5. Epitope map of Gag, based on the optimal HIV-1 CTL epitopes defined by previous studies found in Table I-A-1 of Frahm et al. 2005 (21). The locations of the positively selected amino acids in the Kenyan cohort are shown in red (clade A1) and green (clade D).

TABLE 7. Comparison of mean CD4 counts between HIV-1positive individuals with consensus versus positively selected amino acids

Clade	Gag protein	Mutation	$\Delta CD4^{a}$	P value <sup>b</sup>	Escape
А	p17	E42D	-75.25	$1.16 \times 10^{-2}$	HLA
	1	T81A	-89.08	$7.30 \times 10^{-3}$	HLA
	p24	A163G	88.04	$3.77 \times 10^{-2}$	HLA
	1	I190V	-92.31	$1.76 \times 10^{-2}$	HLA
	p7	R384K	-70.21	$1.60 \times 10^{-2}$	HLA
D	p17	G62E/A	-140.79	$1.13 \times 10^{-3}$	HLA
	p24	I147L	-72.83	$1.38 \times 10^{-2}$	HLA
	1	I223n	228.06	$7.31 \times 10^{-4}$	HLA
		P255A	-167.70	$1.92 \times 10^{-3}$	TCR
		V323I	-125.93	$1.62 \times 10^{-2}$	Proteasome
	p6	D479E	-139.55	$1.60 \times 10^{-2}$	Proteasome

<sup>*a*</sup> The  $\Delta$ CD4 count was calculated as the difference in CD4 counts for the mutant versus consensus sequence.

<sup>b</sup> The P value was derived from an independent samples t test.

HLA-A\*0301 genotype ( $P = 3.97 \times 10^{-12}$ ) (Table 5), which is known to bind the epitopes <sub>18</sub>KIRLRPGGK<sub>26</sub> (KK9) (39) and <sub>20</sub>RLRPGGKKK<sub>28</sub> (RK9) (7, 87). Since K28Q is located in the C terminal of the epitope RK9, it is possible that this mutation may also result in a reduction in HLA-A\*0301 recognition.

The HLA-A2-restricted epitope SL9 had several positively selected mutations, including F79Y and T81A in the A1 clade. Site F79Y had significant positive correlations with HLA-A\*0202 ( $P = 2.26 \times 10^{-5}$ ). This site also had significant negative associations with HLA-A\*0101 ( $P = 4.02 \times 10^{-5}$ ), A\*3002 ( $P = 8.38 \times 10^{-5}$ ), and A\*3601 ( $P = 1.55 \times 10^{-4}$ ) (Table 5). HLA-A\*0101 (71GSEELRSLY79), HLA-A\*0201 (SL9), and HLA-A\*3002 (76RSLYNTVATLY86) epitopes were previously identified in this region (16). These negative correlations suggest that the consensus phenylalanine is an escape mutation that has become fixed in the population (52). The mutation T81A is also negatively associated with A\*0201  $(P = 1.50 \times 10^{-3})$ , which is correlated with a lower mean CD4 count ( $P = 7.30 \times 10^{-3}$ ) (Table 7). Also, positively selected mutations flanking SL9, such as L75I and I92M, that are positively correlated to HLA-A2 (particularly A\*0202,  $P = 4.59 \times$  $10^{-6}$ ; A\*0204,  $P = 3.87 \times 10^{-3}$ ) are possible escape mutations that may prevent both peptide processing and recognition of the SL9 epitope.

The effect of HLA alleles associated with long-term nonprogression on HIV-1 evolution was examined by analyzing and classifying positive selection in Gag proteins of this Kenyan study population's HLA alleles, such as B\*5701 and B\*5703. These particular alleles have been strongly associated with slow progression to disease and thus theoretically would exert a strong selective pressure on the virus (53). Five immunodominant epitopes restricted by HLA-B57 have previously been located in the p24 region: 147 ISPRTLNAW155 (IS9), 162 KAFS PEVIPMF<sub>172</sub> (KAF11), 162KAFSPEVI169 (KAF8), 240TSTLQ EQIAW<sub>249</sub> (TW10), and <sub>308</sub>QASQEVKNW<sub>316</sub> (QW9) (52, 56, 61, 81). The positively selected mutation in clade A1, A163G at the P2 anchor position of the KAF11 and KAF8 epitopes, is highly correlated with patients expressing HLA-B\*5703 (P = $3.21 \times 10^{-8}$ ). This mutation is also associated with an increased mean CD4 count from 337.52 cells/ml to 425.56 cells/ml (P = 0.038) (Table 7). It has been shown that HLA-

B\*5703 can still present the A163G epitope variant efficiently (27). This could be a basis for the association of HLA-B\*5703 with slower disease progression.

This method also identified viral sequences correlated with HLA alleles associated with rapid disease progression, such as HLA-B\*5802 (44). HLA-B\*5802 in this Kenyan population is highly correlated with the positively selected mutation at residue 190 from Ile to Val ( $P = 4.73 \times 10^{-4}$ ) in subtype A1-infected individuals. This mutation correlates to a decrease in mean CD4 count from 364.04 to 271.73 CD4 cells/ml (P = 0.018), suggesting that patients harboring viral strains with V190 progress faster than those who harbor strains with I190. This mutation is also negatively correlated with HLA-Cw\*0701 ( $P = 1.94 \times 10^{-3}$ ), implying selective pressure toward consensus in its presence. This is expected, since Cw\*0701 is associated with a reduced susceptibility to HIV infection (20).

Viral mutations that spare the anchoring residues of an epitope should not affect HLA binding; however, viral evasion might still occur due to impaired recognition of the HLA-peptide complex by the TCR (61). In this study population, the V82I mutation within the SL9 epitope restricted by HLA-A\*0201 correlated with a decrease in mean CD4 count from 351.13 cells/ml to 277.48 cells/ml, although this result was not significant (P = 0.142). It has been reported in a longitudinal study that V82I escape mutations arose within 2 weeks of selection pressure from a Gag-specific CTL clone (86).

An indication of a loss of CTL recognition is seen in the p24 clade D sequences. The mutation occurs in amino acid 255, which is located in the P6 position within the HLA-A\*0201-resticted epitope. This Pro-to-Ala mutation also correlates with a decrease in mean CD4 count from 334.03 to 116.33 CD4 cells/ml (P = 0.002), implying that this mutation results in faster disease progression.

## DISCUSSION

HIV-1 evolution is a result of the combined effects of errorprone reverse transcriptase, viral fitness restrictions, and host selective pressure. Many selection algorithms have been developed to predict viral variants that portray selective advantages. In this study, we used QUASI to illustrate the selection landscape in *gag* by calculating the overabundance of replacement mutations relative to silent mutations. The selection map generated was used to study the potential effects that these positive selections have on various steps in antigen presentation. A brief summary of these findings can be found in Fig. 3.

In this report, an integrated approach to identify suspected CTL escape mutations in HIV-1 *gag* was taken. Although many studies have shown that HLA binding and CTL recognition contribute the most specificity in cellular-mediated immune responses, there has been increasing evidence that both proteasomal cleavage and TAP affinity may contribute as well (8, 63, 67, 73, 74, 82). Therefore, integrated predictions of C-terminal proteasomal cleavage, TAP affinity, and HLA class I associations were correlated with CD4 counts.

QUASI is similar to other selection algorithms, such as dN/dS and DataMonkey (75, 80), in identifying selections. However, our sample sizes were greater than 1,000 sequences; hence, QUASI was better suited, as other selection algorithms have a 100-sequence limitation. QUASI, like other programs,

only considers single-nucleotide mutations within the consensus codon; hence, it disregards variants in codons that contain two point mutations from consensus and classifies those as undefined mutations. This is problematic, since some undefined variants were overabundant. For example, in p24 clade A1 (Fig. 3), QUASI reported that residue 315 contains Gly (n =715) as the consensus. However, in the same codon, there exists an abundance of double-point mutations coding for Asn (n = 243), but Asn is classified as an undefined variant by QUASI. If Asn were generated through a single-point mutation from the consensus, it would most likely be categorized by QUASI as positively selected. Considering that reverse transcriptase generates about one mutation out of 10 kb, two mutations occurring within a codon is highly improbable. It is possible that Asn did not arise from a mutation but exists as an established variant that coexists with Gly, as is shown in clade D (Fig. 3); Asn and Gly exist as the consensus and an undefined variant, respectively, at residue 315. Furthermore, in other clades, the consensus of amino acid 315 is Gly in clades F1 and G and Asn in clades B and C (47).

Proteasomal escape variants (those that abolish C-terminal cleavage sites upon mutations that occur in the C terminus of the epitope, within the epitope, or flanking the epitope) were identified. Strong internal cleavage sites that could destroy potential epitopes were not considered possible escape variants, since the QUASI output is generated from a population with different HLA-restricted epitopes. It may be possible, therefore, to observe multiple cleavage sites within a single epitope. Other studies have tested the predictions of internal cleavage sites on its contribution to epitope identification when combined with predictions of major histocompatibility complex (MHC) class I binding; however, it was found that none of the internal sites could improve the ability to identify epitopes (51). Still, it is reasonable that the virus uses this mechanism to escape. For example, in a previous study the binding motifs of HLA-B57 usually carry Phe, Trp, Ile, or Val as its C-terminal residue (4). However, NetChop 3.0 predicts an internal cleavage site on P10 Met as the C terminus of the HLA-B57restricted epitope KAF11 in p24. This will impair the many interactions between the P11 Phe (the wild-type C terminus) and the contact residues of HLA-B\*5703 (81).

MHC affinity prediction algorithms were difficult to integrate into this study because most have limited numbers of HLA class I alleles. In addition, the alleles available in most algorithms were mainly non-African. Furthermore, many dealt exclusively with 9-mers and a few with 10-mers, but HLArestricted epitopes in gag vary from 8-mers to 12-mers. Likewise, the TAP affinity prediction algorithm was also designed for 9-mers; nevertheless, it was applied to peptides with more than nine residues in this study. As mentioned in Materials and Methods, the algorithm was applied in a previous study on peptides with lengths between 10 and 18 amino acids (74). Peters et al. found that the correlation between predicted and measured affinity values for the 10- to 18-mers was lower than for the 9-mers, but it was still significant. Another interesting study also integrated predictions of C-terminal proteasomal cleavage and TAP transport efficiency as well as MHC class I binding affinity to identify CTL epitopes (51); however, their predictions only generated 9-mers, and thus it was difficult to compare their findings with ours.

Negative correlations with HLA alleles and positive selection were frequently observed in our analysis. These negative associations are thought to be a result of successful transmission of positively selected escape mutations to the point of fixation (52). An escape variant that becomes abundant in the population will be lost as a potential target for the immune system. For example, HLA-Cw\*0701 is negatively correlated to the I190V mutation in p24 clade A1 sequences. Ile-190 is suspected to be an escape mutation when the epitope is driven by HLA-Cw\*0701, a common allele in this East African population. This V190I mutation may have been transmitted and subsequently accumulated within the population, which led to a replacement of Val by Ile as the consensus sequence (52). The increase in CD4 count associated with this replacement may explain one of the reasons why HLA-Cw\*0701 is associated with women with reduced susceptibility to HIV-1 infection (20). Previous studies have shown that ultimately not all escape mutations accumulate in a population. Escape mutations that result in a fitness cost usually revert back to the wild type when transmitted to individuals lacking the particular HLA allele that exerted the selection (23, 53).

The p24 capsid is a highly conserved protein and seems to be less tolerant of mutations in comparison to the other residues of Gag. Changes in critical regions may abolish important functions and therefore be detrimental to the virus (56). This study investigated the cyclophilin A binding loop in p24 (residues 217 to 225) (24) and identified a positively selected amino acid substitution at residue 223 from Ile to Val (n = 89) in clade D-infected individuals. However, this amino acid change did not correlate with any change in mean CD4 count (data not shown) and is restricted by HLA-B7 (44). Another amino acid, Asn, in the same residue is also very common (n =56) but is defined as a neutral drift by QUASI. This mutation is correlated with HLA-B35 (P = 0.004) (data not shown), and it is correlated with a higher mean CD4 count (280.56 compared to 508.62 CD4 cells/ml  $[P = 7.31 \times 10^{-4}]$  (Table 7). Because it resides in a region critical to cyclophilin A binding (which is thought to be important in virion disassembly), an As mutation at this site may hinder the hydrophobic and van der Waals interactions between these proteins and consequently reduce incorporation of cypA. This could have a negative effect on viral fitness (24).

p6 gag is critical in incorporating the accessory protein Vpr into the mature virion, and several studies have attempted to establish the region of the protein that is important in Vpr binding (13, 37). The  $(LXX)_4$  region has been implicated in Vpr binding (46); however, mutational analysis indicates that the  $_{462}$ FRFG region is most important (89). The A1 clade consensus of this study population at this region is 462FGMG, a sequence that differs notably from the aforementioned 462FRFG (a sequence which did not occur in A1 clade sequences from this study). Interestingly, the mutation G465R was strongly associated with higher CD4 counts (502.74 cells/ml compared to the consensus 355.85 cells/ml;  $P = 4.00 \times$  $10^{-3}$ ), indicating that the glycine at position 465 could be functionally important. Similarly, the D clade viruses (the consensus is 463FGFG) that contain the G464R mutation are associated with a mean CD4 count of 420.82 cells/ml, which is much higher than the CD4 counts for the consensus average of 292.82 cell/ml, although this was not statistically significant

(P = 0.149; n = 98; the variances between the two were unequal [P = 0.38], and this rendered the means comparison insignificant). The interaction between Vpr and p6 has not been clearly established, nor has that between Vpr and the nuclear pore complex. There are suggestions that Vpr preferentially interacts with FG repeats in nuclear pore proteins (19), but there has not been a clear consensus reached on this topic. This study suggests the importance for the Gly residues at these locations; however, further functional analysis would need to be conducted to establish its importance in Vpr interaction.

In conclusion, a comprehensive understanding of the relationship between host-restricted selection and immune escape will greatly help in designing a vaccine. Ultimately, an efficient approach to understanding viral evolution would require largescale sequence analysis. The method outlined in this study is one such approach. The method of identifying positively selected amino acids and studying their relationships with the host (in the context of CTL pressure and their effects on disease progression) plays no small role in increasing our means of identifying potential CTL epitopes and viral adaptation. With this knowledge, detailed mechanisms of immune escape can be inferred and used to identify where the virus may be vulnerable. While this method is a sound and productive informatic approach to studying suspected escape mutations, the predictive algorithms ultimately need to be confirmed with further in vitro functional studies.

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