



Sensitization of the UoN Procurement Department Staff on Anti-corruption Strategies and the Implementation of the Integrity Testing Programme

A Presentation by the Chief Legal Officer,
Ms. Rebecca Waigwe Ngondo



The University of Nairobi



* **Vision:**

A world-class university committed to scholarly excellence



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



The Public Service Integrity Programme

The Public Service Integrity Programme (P.S.I.P.) has been implemented for the last six years. The modest gains already made by some organisations indicate its potent ability to transform our institutions when fully and properly implemented. It is also now clear that other public sector reforms will not achieve their full impact unless the various elements of this programme are successfully implemented to restore transparency, accountability and integrity in public servants.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



The time has never been better for vigorously pursuing this line of action. Public Institutions under the Public Service Performance Contracting are expected to sign and implement the “Corruption Eradicator” indicator. All public institutions must therefore take immediate steps to ensure the P.S.I.P. is urgently and fully implemented to lay the basis for the implementation of the “corruption eradication” indicator.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- Thus, this programme's objectives are to:
 - Sensitise all public servants on the causes and consequences of corruption;
 - Encourage development of positive attitudes and behaviour by all public servants;
 - Ensure public officers are willing and effective anti-corruption change agents at all times;
 - Ensure improved revenue collection, and rational/equitable resource allocation;



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- Ensure elimination of loss of public resources incurred through mismanagement, corruption, wastefulness and theft;
- Ensure enforcement and adherence to service regulations and the development or improvement and enforcement of codes of conduct and ethics;
- Strengthen management standards and practices at all levels;
- Limit and regulate application of discretion by all public officers;
- Involve the public in determining the manner in which they are served by the public servants;



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- Ensure rational planning, implementation and resource utilization;
- Enhance efficiency, quality and cost-effectiveness in delivery of services;
- Enhance intellectual and oral capacity of public servants to handle corruption and ethical matters’;
- Restore servant hood in and confidence of the public servant; and
- Restore the public’s confidence in the public service and public servants.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- Consequently, in the current Performance Contract eradication of corruption is given a massive weight of 5%. To realise this goal, all units, staff and students have to make extra effort to understand the phenomenal of corruption and the importance of its prevention.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Performance Contracting

- a) Implement Corruption Prevention Strategies coordinated by the Corruption Prevention/Integrity Committees.
- b) Establish Mechanisms to reduce Corruption related audit queries.
- c) Conduct Integrity training/sensitisation of staff to build capacity on Corruption Prevention.
- d) Undertake Integrity Tests.
- e) Submit Quarterly Reports using the prescribed format to Kenya Anti-Corruption Commission.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Implement Corruption Prevention Strategies coordinated by the Corruption Prevention/Integrity Committees.

- * On June 22 2010 the nine (9) University of Nairobi Corruption Prevention Committees were officially launched at the Central Catering Unit by the then Acting Director, Kenya Anti Corruption Commission, Dr. John Mutonyi.
- a) University Central Corruption Prevention Committee
- b) Six (6) Colleges Corruption Committees
- c) Students Welfare Authority Corruption Prevention Committee
- d) Central Units Corruption Prevention Committee.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- * The nine corruption prevention committees have had monthly and quarterly meetings on the sensitization of anti-corruption strategies and the integrity testing program.
- * The University has over sixty one (61) Corruption/ Complaints Reporting boxes strategically placed throughout the colleges, departments and units.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



The University has telephones/ mobile phones through which anti-corruption complaints can be reported as listed below: -

- The College of Humanities and Social Sciences; **0703 322 666**
- The College of Agriculture and Veterinary Sciences; **0704 655 262, 0704 655 276 and 0704 655 263.**
- The Central Administration; **0727 686 300.**
- The Student Welfare Authority; **020-232 351 1.**
- The College of Biological and Physical Sciences; **020-266 711 4.**
- The College of Architecture and Engineering; **020-231885 5.**
- The College of Education and External Studies; **020-252 716 1 and 0703 634 415.**
- The College of Health Sciences; **0788 262 407**



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Establish Mechanisms to reduce Corruption related audit queries.

- The University of Nairobi Corruption Prevention Plan was drawn and adopted in the year 2004. A number of risk assessment reviews have been carried out culminating in the current 2012/2013 Plan.
- The Corruption Prevention Committees have conceptualised their activities within the University Corruption Prevention Plan which was first drafted in 2003 and was last updated in 2010 and should be an on-going process as changes take place e.g. we have a new constitution – What Changes have taken place that will affect the operations of your units, departments, Faculty/School/College/University? A Corruption Prevention Plan is not static – emerging issues could lead to Corruption risks and loopholes that will require sealing.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- The University of Nairobi adheres to the stipulations of the Anti-Corruption Policy and Economics Crimes Act, 2003 and other corruption related statutes, the Public Officer Ethics Act, 2004 and has developed the following policies which are in operation: -
 - a) The University of Nairobi Anti Corruption Policy,
 - b) The Code of Conduct and Ethics for Public Universities,
 - c) The University of Nairobi Code of Conduct and Ethics.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Conduct Integrity training/sensitisation of staff to build capacity on Corruption Prevention.

- The University has endeavoured to build capacity and anti-corruption awareness through workshops that were held throughout the year for cadres of staff both in the central administration units and at the colleges as attached. **Over 1,700 staff members sensitised/trained in the financial year 2010/2011.**
- Copies of the Resource Manual on Corruption Prevention in the Public Service have been provided to all members of the Corruption Prevention Committees to assist in the sensitization and training of staff.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- The University has commenced the Internal Integrity Testing program and members of staff are aware of the continuous exercise.
- All cadres of staff through their respective colleges/units corruption prevention committees have watched the Integrity Testing Program video.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Undertake Integrity Tests.

- The University commenced the Internal Integrity Testing program and members of staff are aware of the continuous exercise.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Submit Quarterly Reports using the prescribed format to Kenya Anti-Corruption Commission.

- While using the format prescribed by the Ethics Anti Corruption Commission, the University has already submitted Three (3) Quarterly Reports as required under the Performance Contract – 2012/2013 FY.
- In addition, responsible authorities at all levels in the University shall ensure that any public officer suspected of corrupt practices steps down, to allow room for investigations.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Integrity Assurance Officers

- The University has Sixty One (61) trained Integrity Assurance Officers drawn from all the colleges and departments and who continuously sensitize other members of staff and provide technical guidance to the corruption prevention committees.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



* Department Risk Assessment

- The corruption prevention committees have carried out risk assessment whereby corruption prone areas in their respective areas were identified and the prescribed appropriate strategies to eliminate corruption in such areas put in place.
- The University carried out risk assessment in relation to the management of the University farms and put in place strategies to curb corrupt activities as follows;
 - *Farm Produce:* The risk of losing farm produce has been curbed by carrying out increased surveillance of the farm produce by the College of Agriculture and Veterinary Sciences Principal, the Farm Manager, the College Registrar, Internal Auditor and the College Security Officer.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- *Stores Management:* The University through the Farm Manager, College Registrar, Internal Auditor and the College Security Officer ensure that stock movement is recorded and that incoming stock is formally accepted by an Inspection and Acceptance Committee.
- *Sale of Horticultural Produce:* The University through the Farm Manager, the College Registrar, the Internal Auditor and the College Security Officer ensure that all the produce is weighed upon harvest and recorded in the Farm and Kiosk register.
- *Accounting for Milk:* The University through the Farm Manager, the College Registrar, the Internal Auditor and the College Security Officer ensure that milk records for both production and sales are kept.
- *Disposal of dead/ deceased farm animals:* The University through the Farm Manager ensures that post mortem reports are kept for all deceased farm animals.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Operations date for the Committees

- On August 2 2010 the Central Corruption Prevention Committee under the chairmanship of the Vice-Chancellor had its first meeting which set the agenda and strategies to fight corruption at the University of Nairobi. A schedule for monthly and quarterly meetings has already been prepared and will be forwarded to all Corruption Prevention Committees.

University of Nairobi Anti-Corruption Policy

- The policy has been approved and uploaded in the University of Nairobi's Website. Most Staff members have been sensitised on the same.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Departmental Champions of Anti-Corruption Activities

- Schedule of sensitisation training for Corruption Prevention Committees and Integrity Assurance Officers:
 - February 14 2011 and February 15 2011 – Central Corruption Prevention Committee’s members;
 - February 21 2011 to February 25 2011 – Integrity Assurance Officers
 - March 14 2011 to March 31 2011 – Corruption Prevention Committees’ members



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Integrity Testing Training – September 28 2010

- The Integrity Testing Programme is designed as an oversight tool to ensure that particular processes, procedures and policies have been adhered to and implemented fully to ensure that controls put in place are really working and effective in ensuring compliance.
- The Chief Legal Officer together with two analysts attended training by the Kenya Anti-Corruption Commission on the Integrity Testing Programme on **June 27 2011** and **June 28 2011**. The Integrity Testing Programme is geared towards testing internal systems against the procedures and processes to ensure that the same are compliant to the University Corruption Plan and the relevant policies in place as checks and balances. It is only complicated matters that are to be referred to the Kenya Anti-Corruption Commission for further deliberations and for appropriate cause of action to be taken.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- The Vice-Chancellor appointed the University Oversight Committee which is comprised of; all the Deputy Vice-Chancellors, all the College Principals and Deputy Principals, the Chief Legal Officer who also sits on the Committee as the Program Officer and two Program Analysts.

- The Integrity Testing Programme Oversight Committee will formulate tests that create realistic situations to ensure that the relevant processes and procedures are adhered to strictly and also to test whether the controls put in place are operational. The identified five (5) areas prone to corrupt activities by their respective nature of operation are as listed below: -
 - a) Procurement
 - b) Human Resource
 - c) Finance
 - d) Records Management
 - e) ICT



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- Additionally, the Corruption Prevention Committees will be required on monthly and quarterly basis to make returns on activities in the five areas which returns form the basis of Integrity Testing and contraventions dealt with in line with the existing policy and guidelines.

Corruption / Complaints Reporting Boxes

- The University has over sixty one (61) Corruption/ Complaints Reporting boxes strategically placed throughout the colleges, departments and units.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Sensitisation training for staff and students

- **40** Central Corruption Prevention Committee (EACC)
February 14-15 2011
- **61** Integrity Assurance Officers (trained by EACC)
February 21-24 2011
- **88** University Senate - **Wednesday, April 13 2011**
- **73** Integrity Assurance Officers - **Friday, May 6 2011**
- **120** Executive Secretaries - **Saturday, May 14 2011**



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



- **111 Middle Level Secretaries, Clerks and Messengers - Saturday, May 28 2011**
- **145 Administrators - Thursday, June 9 2011**
- **150 Accountants - Friday, June 10 2011**
- **124 Secretaries - Saturday, June 11 2011**
- **258 Technicians - June 16 2011 & June 17 2011**
- **115 Internal Auditors, Technicians and Secretaries - Saturday, June 18 2011**
- **275 Technicians - June 24 2011 & June 25 2011**



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



Program of work going forward

Outstanding Departments/Units scheduled for training in the
financial year 2012/2013

- a) Construction and Maintenance
- b) Estates Department
- c) Transport Section
- d) Security Department
- e) Departments under College of Health Sciences i.e. Doctors, Nurses e.t.c.



OVERVIEW OF THE CORRUPTION ERADICATION EXERCISE IN THE UNIVERSITY OF NAIROBI



THANK YOU.