DETERMINANTS OF COMMUNITY PARTICIPATION IN THE IMPLEMENTATION OF DEVELOPMENT PROJECTS: A CASE OF GARISSA SEWERAGE PROJECT

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A RESEARCH PROJECT SUBMITTED IN PARTIAL FULFILMENT OF THE REQUIREMENT FOR THE AWARD OF THE DEGREE OF MASTER OF ARTS IN PROJECT PLANNING AND MANAGEMENT OF THE UNIVERSITY OF NAIROBI.

2013
DECLARATION

This research project is my original work and has not been presented for a degree in any other university.

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DEDICATION

The research project is dedicated to my family and all those who gave me moral and financial support to undertake the project with ease.
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### ACROYNMS AND ABBREVIATIONS

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<th>Acronym</th>
<th>Full Form</th>
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<tr>
<td>CCB</td>
<td>Citizen Community Boards</td>
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<tr>
<td>CDF</td>
<td>Community Development Fund</td>
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<td>CP</td>
<td>Community participation</td>
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<tr>
<td>GOK</td>
<td>Government of Kenya</td>
</tr>
<tr>
<td>GSP</td>
<td>Garissa Sewerage Project</td>
</tr>
<tr>
<td>JICA</td>
<td>Japan international cooperation agency.</td>
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<tr>
<td>KHRC</td>
<td>Kenya Human Right Commission</td>
</tr>
<tr>
<td>NGO</td>
<td>Non-Governmental organization</td>
</tr>
<tr>
<td>NWSB</td>
<td>Northern Water Services Board</td>
</tr>
<tr>
<td>OPEC</td>
<td>Organization of petroleum exporting countries</td>
</tr>
<tr>
<td>RWSS</td>
<td>Rural Water Supply and Sanitation</td>
</tr>
<tr>
<td>SPSS</td>
<td>Statistical Package for Social Sciences</td>
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<tr>
<td>SPAN</td>
<td>Social and public accountability network</td>
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<td>WSS</td>
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ABSTRACT

The purpose of this study was to investigate the determinants of community participation in the implementation of development projects a case of Garissa Sewerage Project. Four research questions were formulated to guide the study. This study employed a descriptive survey research design. The sample comprised of 138. Data were collected through questionnaire and interview guide. Findings revealed that community members were unaware about the of the Sewerage project. The local members were of the opinion that the development projects undertaken in their locality had not been implemented through the participation of all. The study also found that even the local leaders were not involved in project planning and implementation. It was also revealed that involvement decision making affected community participation in Garissa Sewerage development project. The local members agreed that Sewerage development project was run by people from other areas. The local members had never been called for a meeting concerning Sewerage development projects. Findings also revealed that there were social factors that affected community participation in Garissa Sewerage development projects. For example local members indicated that education level influenced the participation of the community in the development projects at a high extent. Findings further showed that the local members indicated that the attitude of the community members influenced their participation on development project. It was also revealed that managerial involvement influenced community participation in Garissa Sewerage development project. For example, the local members indicated that they were not involved in the operations and running of the Sewerage project. It was also revealed that donors made decisions about developmental projects while the local members disagreed that they felt dissatisfied with the decisions made by the management of the development projects. Based on the findings, the study concluded that there were factors affecting community participation in Garissa Sewerage development projects. The community members were not aware about the of the Sewerage project. The development projects undertaken in their locality had not been implemented through participation of all. The study also concluded that members did not participate in planning of Garissa development project. The study also concluded that even the local leaders were not involved in project planning and implementation as indicated by majority. It was also concluded that community members viewed that opinions of every one were not heard and respected. The study also concluded that decision making influence community participation in Garissa Sewerage development project. The community around were not involved in the management of Sewerage development projects. The local members indicated that they were not involved in the operations and running of the Sewerage project. The study recommended that there should be measures put in place to involve the community in decision making in Garissa Sewerage development project. The local community should be empowered through education so that they fully participated in development projects and lastly that the management should be empowered so that they are able to participate in development projects. A study on the influence of community attitude on the implementation of community development projects should be conducted and lastly a study on community level of empowerment on the implementation of community development projects should be conducted.
CHAPTER ONE

INTRODUCTION

1.1 Background to the Study

People’s participation is the *sine qua non* for development, by unknown bureaucrats and technocrats” (Constantino, 1982). The notion of people's participation in their development has been gaining momentum in the process of human empowerment and development. Contemporary development scholars have been advocating the inclusion of people's participation in development projects as they believe the avowed objectives of any project cannot be fully achieved unless people meaningfully participate in it. Stone (1989) argues that people's participation in development projects may help bring effective social change rather than impose an external culture on a society. Similarly, referring to the experience of rural development programs, Shrimpton (1989) states that community participation in the design and management of a project greatly enhances the likelihood of project success due to improved goodness of fit and increased sustainability.

Participation is a rich concept that varies with its application and definition. The way participation is defined also depends on the context in which it occurs. For some, it is a matter of principle; for others, practice; for still others, an end in itself (World Bank, 1995). The most popular and widely adopted strategy for ensuring people’s participation in local development is identified as decentralization. There is perhaps no other institution like local government bodies to provide a wide scope for people’s participation in development projects. In Bangladesh for example, ever since decentralization has
become a major policy concern, the political masters have exploited it as a means of gaining their political goals. As a result, despite numerous reform initiatives in this field by the successive governments, Local Government Institutions (LGIs) have not yet emerged as autonomous and self-governing units. This, in turn, limited the scope of mass people’s participation in the local decision-making process as well as development process of rural Bangladesh.

The concept of community participation originated about 40 years ago from the community development movement of the late colonial era in parts of Africa and Asia. To colonial administrators, community development was a means of improving local welfare, training people in local administration and extending government control through local self-help activities (McCommon, 1993). Today, it has developed as one of the major models of development especially related to grassroots community development initiatives and viewed as a basis for project success. It has also received wide acclaim, but also criticism. The World Bank (2004) considers participation as “a process through which stakeholders influence and share control over development initiatives, and the decisions and resources which affects them. While Cleaver (2006) is critical that, “Participation has become an act of faith in development: something we believe in and rarely question.”

Even though governments throughout the world are now accepting community participation as a main tool to solve the community problems, communities rarely own the projects fully. According to Abraham (1996) in community-based projects the community controls a project and makes important decisions, although professionals such as engineers may provide expertise, and finance may be provided by external financial
sources. For a community to control projects, it must acquire administrative and management skills which most of the local people lack.

About 80% of Kenya is arid and semi-arid land, which includes Garissa, the area of study. Demand for water and sanitation services has been increasing rapidly in this area because of increases in population, yet without a clear solution. Degradation of water resources and poor sanitation in the country are linked to financial and technological resource constraints. The decline in water resources and poor sanitary services have serious consequences for water allocations, enforcement of the Water Act, management of water resources and improving the quality of the water and sanitation.

This study therefore, will try to review the practice of people’s participation and the challenges that they faced in the project. So far, research has yet to be carried out to establish the limitation of community participation and indeed its effects on the implementation of the project. While the project has been funded by the Government of Kenya and donors and necessary contract drawn, the role of the community remains implicit. In many developing countries like Kenya, projects are the backbone of local development. Nevertheless with limited research, it has been hard to unearth the role of the community in project participation. In as much as development projects are undertaken to improve the livelihood of the community, the dearth of research continue to limit understanding. The practices of community work today are clear that- whether funded externally by donors or initiated by the government, community involvement is integral. The proposed research begs to answer outstandingly under-researched question: What constraints are faced by the community in project planning phase? How has community been involved in deciding on the project sites where land is an issue? The
project has run into numerous logical and implementation challenges which has been characterized by conflict between the implementing agency & the local community, contentious issues have ranged from environmental concerns, landownership issues which have ended up in the court awaiting expeditors determination. The project has overshot its implementation timelines by more than one year resulting delays in its completion and incurring extra cost. The study aims at identifying the community participations challenges in the project with the aim of providing suggestions to address these challenges. But it cannot precisely be concluded, this is the basis of the stalled project- and therefore more reason to research on the issue. While a local level development project like Sewerage management is usually implemented by Local Authorities and has socioeconomic aspect on labour, related literature shows that there is very little scope of participation for common people in decision making, management and supervision of local projects for instance the study by KHRC and SPAN (2010) ascertained that citizen awareness of CDF was very high (96%) but involvement very low (39%).

1.2 Statement of the Problem

In the community participation discourse, where the project failed to involve community, the likelihood of project functioning to logical end is limited; is this reality in Garissa Sewerage project delay. In June 2007, the Government of Kenya (GOK) successfully got a loan & grant of approximately US$10 million from BADEA (BANK) & OPEC fund respectively (DFID, 2012). The loan became effective in September 2007. The contract was signed by the Wanjohi Consulting Engineers / Shora Consult consultants and the board on 21st July, 2008 (Northern water service board). The main work involved the
construction of: Primary trunk sewers (about 20km), primary sewers manholes, pumping station, new wastewater stabilization ponds and purchase of operation and maintenance equipments. The contract was signed on 30th April, 2009 between the Board and A. A. Bayusuf& Sons Ltd / Arab-Korean Enterprises at a cost of KShs. 711,782,486.76 and duration of 24 months. The commencement date for the project was 12th June, 2009 (Northern Water service Board). Although all the required steps were followed to acquire the land for sewerage treatment works, various groups of farmers have been complaining that their farms have been encroached on. Yet, another group of people have gone to court to restrain the board from the construction. It is evident that, despite huge external and internal pressure, the elusive phenomenon of people’s participation in local development projects is largely ignored, and this has clearly been exemplified in the case of Garisa Sewerage project, which has been on-going since 2007, but has yet to be completed. The present study attempted to investigate the determinants of community participation in the implementation of development projects: a case of Garissa Sewerage Project.

1.3 Purpose of the Study

The purpose of this study was to investigate the determinants of community participation in the implementation of development projects: a case of Garissa Sewerage Project.

1.4 Objectives of the Study

The following were the objectives of the study

i. Establish how involvement in decision making influence community participation in Garissa Sewerage development project.
ii. Determine how social factors affect community participation in Garissa Sewerage development projects.

iii. Establish the influence of managerial involvement on community participation in Garissa Sewerage development projects.

iv. Establish challenges faced by the community in participating in Garissa Sewerage development projects.

1.5 Research Questions

This research examined the following questions.

i. How does involvement in decision making influence community participation in Garissa Sewerage development project?

ii. In what ways do social factors affect community participation in Garissa Sewerage development projects?

iii. What is the influence of managerial involvement on community participation in Garissa Sewerage development projects?

iv. What challenges does the community face in the participation in Garissa Sewerage development projects?

1.6 Significance of the Study

The study is based on the determinants of community participation in the implementation Garissa Sewerage Project. Participatory development at local level has been an increasing concern for policy-makers as well as practitioners hence this study will be of great importance to different stakeholders. The study may help in providing a proposal for addressing the problems facing the community in planning for the delivery of a good sanitation as a way improving the health of the community at large. Study findings and
detailed analysis, may help to bring out the latest scenario of development governance at the grassroots level. The study may come up with significant policy guidelines for policymakers. It may further help the policymakers identify the loopholes, if any, in the present system and thereby assist them to formulate proper policies in future.

1.7 Limitation of the study

Being a case study of an ongoing project in Garissa County the findings of this study may not be generalized to the other regions that might not be undertaking Sewerage project. But that does not negate its role to inform on the community participation model

1.8 Delimitations of the Study

This study was carried out within Garissa Township; it will focus only on the determinants of community participation in the implementation of development project with special reference to Garissa Sewerage Project.

1.9 Definition of Terms

A community refers to a group of users of a service who live in the same area and have access to, and use, the same service.

A neighborhood refers to a geographical and/or administrative entity, in which a community lives.

ASAL (Arid and Semi-Arid Lands): These are areas that receive annual rainfall ranging from 0-300 mm and 300-600 mm respectively

Community participation may comprise varying degrees of involvement of the local community. It may range from the contribution of cash and labour to consultation, changes in behavior, involvement in administration, management and decision-making.
Community-based projects refer to projects whose operation is limited to a particular neighborhood.

Decision making refers to the ability of the participants to give ideas that are incorporated in the project.

Implementation refers to the way the project is rolled out.

Participation refers to involvement of community members in the development initiatives that concern and affects them. It implies that the community has the ability to initiate and implement development endeavors that reflect its own needs.

Performance refers to the extent to which projects achieve their goals.

Managerial involvement refers to public participation and involvement in the highest decision making organ.

Sewerage refers to discarded liquid materials from households, industrial and commercial establishments, institutions, and streets that do not have value any more in the eyes of the first generator or user.

Social factors refers to those societal norms that society holds firm to and which affects their participation in community participation in development projects.
CHAPTER TWO
LITERATURE REVIEW

2.1 Introduction

Concept of community participation, community participation and development, factors affecting community participation and sustainability of projects, influence of decision making on community participation in development projects, effects of social factors on community participation in education, influence of managerial involvement on community participating in development projects, review of empirical literature

2.2 Concept of community participation

Often the term participation is modified with adjectives, resulting in terms such as community participation, citizen participation, people’s participation, public participation, and popular participation. The Oxford English Dictionary defines participation as “to have a share in” or “to take part in,” thereby emphasizing the rights of individuals and the choices that they make in order to participate. Brager, Specht, and Torczyner (1987) defined participation as a means to educate citizens and to increase their competence. It is a vehicle for influencing decisions that affect the lives of citizens and an avenue for transferring political power. However, it can also be a method to co-opt dissent, a mechanism for ensuring the receptivity, sensitivity, and even accountability of social services to the consumers.

Armitage (1988) defined citizen participation as a process by which citizens act in response to public concerns, voice their opinions about decisions that affect them, and
take responsibility for changes to their community. Pran Manga and Wendy Muckle (Chappel, 1997) suggest that community participation may also be a response to the traditional sense of powerlessness felt by the general public when it comes to influencing government decisions: “people often feel that health and social services are beyond their control because the decisions are made outside their community. Involvement or community participation has become one of the important conditions and is essential for the implementation of programmes and projects and also a fundamental condition to attract projects and programmes. It is also considered as a method capable of solving problems of maintenance of essential services that some of our communities meet like inadequate access to water and sanitation and lack of public funds.

2.3 Community participation and development

The community development approach emphasizes self-help, the democratic process, and local leadership in community revitalization (Barker, 1991). Most community development work involves the participation of the communities or beneficiaries involved (Smith, 1998). Thus, community participation is an important component of community development and reflects a grassroots or bottom-up approach to problem solving. In social work, community participation refers to “. The active voluntary engagement of individuals and groups to change problematic conditions and to influence policies and programs that affect the quality of their lives or the lives of others” (Gamble and Weil, 1995).

One of the major aims of community development is to encourage participation of the community as a whole. Indeed, community development has been defined as a social
process resulting from citizen participation (UN, 1963; Vaughan, 1972; Darby and Morris, 1975; Christenson and Robinson, 1980; Rahman, 1990 in Smith, 1998). Through citizen participation, a broad cross-section of the community is encouraged to identify and articulate their own goals, design their own methods of change, and pool their resources in the problem-solving process (Harrison, 1995). It is widely recognized that participation in government schemes often means no more than using the service offered or providing inputs to support the project (Smith, 1998). This is contrasted with stronger forms of participation, involving control over decisions, priorities, plans, and implementation; or the spontaneous, induced, or assisted formation of groups to achieve collective goals (Arnstein, 1969; Cohen and Uphoff, 1980; Rifkin, 1990; WHO, 1991; Rahman, 1993; Smith, 1998).

The most important and complicated issue bearing on local level planning and development is community participation. Effective community participation may lead to social and personal empowerment, economic development, and sociopolitical transformation (Kaufman and Alfonso, 1997). Yet there are obstacles: the power of central bureaucracies, the lack of local skills and organizational experience, social divisions, and the impact of national and transnational structures (Kaufman and Alfonso, 1997). There is no clear-cut agreement in the literature of community development on the nature of community participation or on a prescription to ensure it. The need for community participation in development and management is nonetheless accepted and recognized in the professional literature.

Part of the principles of participation is the belief that the prospect for success in any attempt to change people’s behavior depends on two factors. One is the readiness or
otherwise of the target group to change and two, the method or an approval that the latter believe will enable them to change (Young and Kingle, 1996). The most obvious interpretation one can give to this is that participation is an important principle of behavior change. No principle of behavior has greater recognize ability than the principle of participation.

Participation in a greater sense therefore, is the involvement of members of a particular community in the formulation of public policy or its implementation and its usage. That is, it is the participation of local people in the development process as a whole (Green 1986, Huff and Kline 1999). Looking towards the prevailing trends, governments throughout the world are now accepting community/people’s participation as a main tool to solve the sewerage related problems of people. Conyers (1982) have described three main reasons due to which community participation is important, i.e. firstly, due to this the needs and local conditions of the people can well be judged. Secondly, every individual considered itself important in plan making process and thus own the project. Thirdly, everyone has the democratic right to be involved in the plan preparation and implementation process. This is also called as a Bottom up Planning where people are placed at the center and then the decision making is made. By doing so, the people own a project and thus sustainability of projects increases. Carrel, Thomas F. (1992) as well emphasized that to achieve sustainability of any project, community participation is an important tool.

Active community participation is considered as the single most important determinant of overall quality of Rural Water Supply and Sanitation (RWSS) projects implementation. There are so many success stories worldwide to prove the value of community
participation. However, the level of community participation varies depending on the project implementation mechanism adopted by the project. Extent and effectiveness of community participation is also depend on other factors such as prevailing socioeconomic situation, local institutional support arrangement, level of project support staff intervention and existing WSS situation (Dahanayake, 2004).

Water and sanitation facilities provided without the active participation of the beneficiaries in planning and management are often not properly operated and maintained and hence are unsustainable” (NWP, 2002:21). Ownership of the facilities including water wells is neither perceived to be, nor legally vested in user communities. These factors lead to a lack of commitment to maintenance of the facilities by the users. Communities should be empowered to initiate, own and manage their water schemes including water wells.

2.4 Factors affecting community participation and sustainability of projects

Participation is an indispensable ingredient of development and development administration in all countries and occupies an institutional basis in the total process of developmental change. It is the way to improve on traditional ways of making decisions, setting agendas, and devising policy (Rowe and Frewer, 2004:513). But participation is not easy to achieve. People’s participation in development programs is not only affected by the environment in which participatory practices take place but also conditioned by the institutional framework, socio-economic as well as political backgrounds of the participants (Samad, 2002 and Gupte, 2004).
Parameswaran (1999) argues that a range of characteristics such as technology used to implement project activities can be effective to CP. The more complex the technology, the less participation. The question of technology has direct link with sustainability of project services especially when operational and maintenance costs are to be met by the beneficiary communities. Another factor according to Parameswaran is on human and financial resources, as they are vital when it comes to meeting operational and maintenance costs. Furthermore, transparency accounts for the degree of CP. For this matter community members will actively participate if benefits are clearly articulated and obtained immediately at the beginning of the project design. There are a number of problems that emerge in the cause of participatory approach, such as conflicts of interest among different social groups, cultural, and political constraints (Mbugua et al., 1993). Moreover, suggested that too much mass involvement in decision-making impedes development growth of the ongoing project.

The argument is that it delays decision-making. Thus, participatory planning needs to be facilitated by appropriate expertise so as to determine who should participate, how, what will be the scope of participation and also how much weight should be given to wishes and demands expressed as compared to priorities already set by official authorities (Martinusen, 1999). David and Joseph (2001) also had the view that participation does not mean that all views from people should be taken into account when setting project activities.
2.5 Influence of decision making on community participation in development projects

The project should encourage a maximum number of people in the participation of development projects. Such involvement should give the participants full inclusion in designing, organizing, and implementing activities and workshops in order to create consensus, ownership, and action in support of development projects in specific areas. It should include people and groups rather than exclude any individuals. Public involvement is a process for involving the public in the decision making of an organization (Becker, 1997, p. 155). Participation actually brings the public into the decision-making process. White (1989) stressed community involvement in management of marine protected areas. Community public involvement can take place at several stages in the establishment and management of marine protected areas. These stages are: (1) the recognition of a need; (2) discussions with interested parties and integration with the community; (3) baseline studies and monitoring; (4) education; (5) core group building and formalization of reserves; and (6) enforcement.

Recent decades have seen a dramatic increase in public participation in decision-making conducted by government agencies. This increase has been driven both by citizens who demand a greater role in shaping the decisions that affect their well-being, and by agencies that recognize the benefits of involving citizens in their decision making processes. It is now widely believed that members of the public should participate in decision making (Webler et al., 2001), and there are many laws, regulations, and policies that call for public participation in environmental decision-making (ELI, 1999). Evidence
suggests that involving stakeholders results in better quality decisions (Beierle and Cayford, 2002). The forms and processes of public participation in environmental decision-making by government agencies are highly variable. There is a rich literature of case studies that describe these many forms and processes, assess their relative merits, and provide insights about what works and what doesn’t (see for example Beierle, 2000; Conley and Moote, 2003; Chess and Purcell, 1999; Renn et al., 1995; Zarger, 2003 for reviews). Agencies now have much to guide them in developing environmental public participation programs that can meet their needs and circumstances.

Community dissatisfaction with agency characterizations of risk and with agency cleanup decisions in relation to hazardous waste sites, has created public demand for more community involvement in decision-making about these sites (Ashford and Rest, 1999). Many people argue for the importance of involving the public in the process of gathering scientific data for risk assessment, and in making decisions about managing environmental and health risks associated with the cleanup of hazardous waste sites. These advocates see public participation as a basic human right.

They also believe that participation can help increase trust in government, and in the legitimacy, credibility, and acceptability of risk management decisions (G. Charnley, 2000; Folk, 1991; Rowe and Frewer, 2000). Public participation also contributes valuable local knowledge and experience that supplements that of ‘technical experts’, aiding in the ecological risk assessment process, and in more effective risk management decisions (e.g. Goldstein et al., 2000). However, other people criticize the public participation process, asserting that it increases rather than decreases conflict between agencies and the public, increases rather than decreases the costs of making and implementing policy decisions,
and is unduly time consuming (English, 1996). In addition, some people believe that involvement processes are counter-democratic, claiming that they increase the influence of special interest groups. Moreover, some people believe that decisions involving complex technical and scientific issues should be made by experts, viewing members of the general public as being unqualified to address them, and too emotionally involved in the problems to be solved (Folk, 1991).

In light of these kinds of concerns, agency managers may only support public participation programs if it can be demonstrated through evaluation that they are useful for improving decisions or reducing conflicts, and worth the commitment of resources. Evaluation is also the best way to learn how public participation programs can become more effective. Furthermore, evaluation makes it possible to see how well government policies regarding public participation correspond to government practices for involving citizens in environmental decision-making.

The requirement of decision making applies to all parties involved in the project, such as project management, external organizers, and traditional leaders, as well as any emergent leadership from the ranks of the poor and the disadvantaged (Adnan, Barrett, Alam, and Brustinow, 1992, p. 32). The authors also note that the agencies involved in project management and implementation are procedurally and periodically answerable to the people in the project area, as well as the citizens of the country in general. All people should be aware of their roles in the project and the planning of activities of the project. Accountability of concerned community members must be ensured, particularly after the decision is taken.
Participation plays a major role in people’s management of their own affairs. Ownership and control of resources have a profound impact on participation in development projects (Mathbor, 1990b). Ferrer (1988) emphasized four areas to be worked toward in a participatory coastal resource management program: greater economic and social equality, better access to services for all, greater participation in decision making, and deeper involvement in the organizing process resulting from the empowerment of people.

2.6 Effects of social factors on community participation in education

A study by Angba et al., in 2009 evaluating the effect of socioeconomic characteristics of rural youths on their attitude towards participation in community development projects in Rivers State, Nigeria. Data were collected with the aid of structured questionnaire administered to 210 youths in 27 communities. A multi stage random sampling technique was employed in the selection and data analysis was by the use of Pearson Correlation. Findings revealed that some relationship exist significantly between socio-demographic characteristics such as educational level and the attitude of youths towards community development projects.

Educational level correlates significantly and positively with age. The implication of this finding is that as one attains a higher level of education attitude towards participating in community development projects is likely to be more favourable. In essence the higher the educational level attained the more favourable the attitude towards participating in community development projects. Ovwigho and Ifie (2004) reiterated the importance of education when they noted youth’s involvement in cooperative endeavours. Also,
Onweagba (1990) in a study found that education was linked to attitude towards participation.

Similarly, Ekong (2003) reported that age is more often used as a tenable criterion for some social status than education. According to Nelson et al (1960) educational levels are highly significant in the extent, intensity and pattern of participation. They further stated that participation increases with education, but beyond the high school level the increase is greatest in non church-related organizations. It was further expressed that effective participation obviously requires communicative and human relational skills which must be learned; hence those who are better educated would be better empowered for participation because their attitude would likely be favourable.

Asiabaka (1990) found that educated women participated more in the rural development programme of government (Better Life Programme). Education is a major determinant of effective participation in community development projects. The educated people would most likely appreciate community development better than the less educated. If the people appreciate community development his attitude towards participating in community development projects is likely to be favourable. Onu (1990) had reported the importance of education among rural development agents. The educated youths are potent agents in development in many rural and urban communities.
2.7 Influence of managerial involvement on community participating in development projects

According to Constantino (1982), experts from donor agencies always dominate as they are providing funds so they make decisions about developmental projects. Professional experts forget their role of facilitation and development. She examined that the professional experts always assume that they know about situation very well and their main duty is to transfer knowledge to deprived communities and they implement project with counting the developmental needs of local communities. The reason behind is that developmental practitioners trained in such a way that they always think that they are the only people who can empower the deprived communities and they always think well than others. This unexpected role of developmental experts impedes the skills the local people.

As these professional experts believe him as sole owner of developmental knowledge results this monopoly leads to consistently under rate the skills and capabilities of local societies and groups to decide for themselves. As in case of Pakistan, a study conducted by JICA (2005) on CCB’s elaborated the results as “Pakistan Community is not capable to implement Project themselves. The history of community development shows that Pakistani community has very little exposure to implement self-initiated projects”. Many authors raise the point that it is very difficult for professional experts to see the actual needs of community without being interaction with them. They have to shift the paradigms and have to see from the eyes of beneficiaries (Dudley, 1993; Heymans, 1994; Rowland’s, 1995).
In case of Citizen Community Boards (CCB’s) in Pakistan, fund was provided by World Bank and JICA. In some instance it feels that process of empowering communities through devolution initiative in Pakistan is not genuine as they are not allowed to access resource reserved for project freely but it feels that it is rather an attempt to implements those projects which already been conceived by professional experts. In some case people are allowed to participate after the preparation of project design and tendering. The communities have to accept already accessed and assembled projects rather than of desired projects. Such sort of efforts can only sway communities to accept what professional experts suggest best for them.

2.8 Review of empirical literature

A few research studies are conducted on people’s participation at development projects. The core findings of those studies are pointed out here. Though local level participatory planning is highly demanded from different corners of the society even from government publications for long but participatory planning friendly instructions is almost absent in different development projects guideline which is reflected in a government prescribed detailed guidebook for the union parishad named ‘Union Parishad Training Manual’ published by the National Institute of Local Government (NILG). In the sixth chapter of this book titled ‘Participatory planning at local level’, it was mentioned that, “Real development has not been achieved because in a top-down method, the demand felt by the people is not reflected and there is no participation and sharing of the people in making plans. In this context importance has been given in local level participatory planning as well as national planning” (NILG, 2003).
Ali *et al* (1983) found that people’s participation is the basic tool for achieving national goals of development. In order to implement governmental policies in right perspectives, the people -- the real clients of the governmental operations, are to be involved at all stages of development intervention. But because of bureaucratic preponderance and distrust by the successive governments to the people, people’s participation in a large scale in local development process remained beyond the reach of the ordinary people. Aminuzzaman (2008) notes that some invisible but serious issues characterize the quality and process of participation and governance of the rural local government. Most critical ones include: i) continued centralized control over the UP- maintained through the administration and the limited resources at its disposal; ii) the critical and often hidden role of the MPs and other political stakeholders in development planning and management; and iii) lack of effective institutional mechanism which gives poor and marginalized to take part in the development project planning, supervision and or implementation. All such factors have a direct impact on the level and quality of local level accountability and popular participation of the common people into the affairs of the development project. Aminuzzaman also observed that women and marginalized are excluded from major decision-making arenas in the rural power play and privileged distribution. Another study on people’s participation on development projects was conducted by Khan and Asaduzzaman (1995). This study revealed that people’s participation in development projects through local government is still a misnomer.

Nazneen (2004) found that the participation of the poor and the marginalized in rural development projects has not increased significantly rather some touts and intermediaries have enjoyed more access to those projects and grasped its fruits. In equalities as regards
involving, owning development projects and sharing project benefits between the community people and local elites is a ubiquitous reality in rural Bangladesh. There is a general assumption that the interest of the poor and the disadvantaged cannot be safeguarded in the exploitive social structure unless it is protected by legislation. In this context, the study revealed that despite the oversupply of legislations to protect the rights of the underprivileged, the rural elites have been consolidating their strong repressive influence on local development interventions. Legal coverage, therefore, does not provide any meaningful role in integrating local people into development project cycle.

Afsar (1999) in her study shows that poor people’s participation in local development activities is very limited; community participation in the decision-making process has been very minimal. Because of the over-class bias and widespread corruption there has been severe neglect of the poor and the disadvantaged in the decision-making process. Khan (2009) identifies bureaucratic domination in the local councils, lack of knowledge, and lack of expertise in technical matters are the root causes for non-participation. Local elites form connivance with local administration for their own interests and bypass the needs of the mass. So the scanty participation that exists is limited only to the rich and participation of the rural poor is minimal.

Hossain et al. (1978) examines that people’s participation in planning and implementation of development projects has been very limited. Siddiquee (1995) observes the same findings in his study. His study also reveals that poor people are hardly included in the projects. Committees are mostly dominated by people with strong socio-economic or political background. In addition, project committees have largely been used as mechanisms of patronage distribution. Development projects have been a means for
the local representatives to build a future for themselves. He further identifies that prevailing socio-economic and political contexts act as important deterrents to grassroots’ participation in the development process.

Asaduzzaman (2008) found that community participation in development projects is still an ‘elusive golden deer’ that the nation sought persistently but could not find during the last three decades or more. His study however, emphasized that clientelism which is a direct product of the undemocratic political culture of Bangladesh, is a major threat to people’s participation in local development programs/projects. In addition, the study also identifies political reluctance and bureaucrat resistance as major challenges to people’s participation in development intervention in Bangladesh.

Community participation in development programs/projects has been gaining momentum as a new strategy for development since 1970s. In post-independent Bangladesh, almost all the development projects ever taken at grass-root level have been initiated and implemented under the supervision of the project. But the livelihood of poor villagers, the real beneficiary or victim of development initiatives has not been significantly improved. The participatory culture has not been institutionalized at project level till today. One of the reasons behind this contention may be improper addressing of the issue through extensive research. In fact, there is lack of empirical evidence on the extent and status of community people’s participation in UP-led development projects and identification of the major factors for non-participation and its possible solutions. Therefore, the study is undertaken to fill up the gap of the knowledge of participatory practices in grass-root level development project cycle.
Conceptual Framework of the Study

Independent variable

- Involvement in Decision making
  - Airing view
  - Discussions
  - Ownership

- Social factors
  - Societal norms
  - Gender issues
  - Community attitude

- Managerial involvement
  - Active participation
  - Elective posts
  - Decision making

- Community involvement
  - Community perceptions
  - Community values
  - Community opinions

Moderating Variables

- Government policy on development projects

Dependent variable

- Effective implementation of development projects

Intervening variable

- Community ownership of the project

Figure 1: Conceptual framework.
CHAPTER THREE

RESEARCH METHODOLOGY

3.1 Introduction

This chapter presents an overview of methodology and procedures applied in this study. It describes the processes that were employed to collect and analyze data. It focuses on research design, target population, sample and sampling procedures, research instruments, and data collection and analysis.

3.1 Research Design

This study employed a descriptive survey research design. According to Orodho (2005), “descriptive survey design is used in preliminary and exploratory studies to allow researchers to gather information, summarize, present and interpret for the purpose of clarification.” (Best & Kahn, 1993) postulates that descriptive survey design is the most appropriate design in the behavioural sciences as it seeks to find out factors associated with occurrence of certain events and conditions of behaviour. Using this design the investigator does not control any variables but only describes the situation as it is at a particular point in time. This design therefore enabled the researcher to explore the determinants of community participation in the implementation of development projects a case of Garissa Sewerage Project.
3.2 Target population

Mugenda and Mugenda (2003) define population as an entire group of individuals, events or objects having common observable characteristics. Garissa County has a total population of 623,060. A male population of 334,939 and a female population of 288,121 (census 2009). The major economic activity in the county being livestock farming. The unit of analysis for this study is Garissa Sewerage project in Garissa township.

3.3 Sampling method

Brinker [2006] defines sampling as a systematic selection of representative cases from the larger population. The objective of sampling is to get accurate empirical data at a fraction of the cost that it would take to examine all possible cases. Simple random sampling technique was used, to select the respondent. The respondents were stratified in two categories e.g. the community members and local leaders. With a target population of 116953 sample sizes of 138 were be selected for the study. 128 were community members and 10 were local leaders. The sample size was determined based on Mugenda and Mugenda (2003) recommended as follows

\[ N = Z^2 \times \frac{q}{e^2} \]

Z=standard normal deviation at the required confidence level e.g. 95% or 1.96

P=proportion of population estimated to have characteristics being measured (10% as recommended by Mugenda and Mugenda (2003).

E=1-p(proportion of population without the characteristics)

F=level of statistical significance (Degree of freedom=0.05)

\[ N = 1.96^2 \times (0.1) \times (0.9)/0.05 = 0.34574/0.0025 = 138 \]
3.4 Data collection instruments

Data was collected through questionnaire and interview guide. The questionnaires were used for the community members and interviews with the local leaders were highly structured and were guided by questionnaires. However, unlike those in the community, these interviews took place under extreme time limits set by the local leaders. To supplement the questionnaire, interviews were conducted to get more information on how the project was planned, organized, implemented and how were the people involved.

3.5 Validity and reliability of the instruments

The validity and reliability of the instruments are discussed below:

3.5.1 Validity of the instruments

Both face validity and content validity was checked. Face validity referred the possibility that a question would be misunderstood or misinterpreted. Pre – testing was done during piloting stage to identify those items and hence the items were modified accordingly. This increases face validity. Borg and Gall (1985) points out that validity of an instrument is improved through expert judgment. The examiners during proposal defense and the supervisors therefore gave expert judgment which helped improve content validity. The necessary adjustments were made on the instruments to enhance their validity.
3.5.2 Reliability of the instruments

To determine reliability of the research, questionnaires were piloted on other community projects that had similar characteristic instruments with the ones used in this study, internal consistency technique was used. Reliability in this case was determined from scores obtained from a single test administered by the researcher to a sample of subjects. A score obtained in one item were correlated with scores obtained from other items. The internal consistency technique was used to calculate the reliability index. The reliability was computed using Cronbach’s Coefficient Alpha or KR 20 formula which is as follows:

\[
KR 20 = \frac{(K)(S^2 - \sum s^2)}{(S^2)(K-1)}
\]

Where \( KR 20 \) = reliability coefficient of internal consistency

\( K \) = Number of items used to measure the concept

\( S^2 \) = Variance of all scores

\( s^2 \) = Variance of individual items

3.6 Data Collection Procedure

The researcher obtained an introduction letter from the University of Nairobi to obtain a research permit from the National Council for Science and Technology. After this, the researcher obtained an introduction letter from the County Officer, Garissa County to operate in his area. The researcher then booked appointment with local leader’s in-charge of monitoring the community projects and to book appointment for interviews. The researcher then with the aid of research assistants visited the sampled respondents and administered the questionnaires.
The respondents were guided on how to respond and were assured of confidentiality after which they were given the questionnaires to fill within three days

3.7 Methods of Data Analysis

After all data is collected, the researcher conducted data cleaning, which involves identification of incomplete or inaccurate responses, which were corrected to improve the quality of the responses. After data cleaning, the data were coded and entered in the computer for analysis using the Statistical Package for Social Sciences (SPSS). The study yielded both qualitative and quantitative data. Qualitative data were analysed qualitatively using content analysis based on analysis of meanings and implications emanating from respondents information and documented data. As observed by Gay (2004) qualitative data provides rich descriptions and explanations that demonstrate the chronological flow of events as well as often leading to serendipitous (chance) findings. On the other hand, quantitative data were analysed using various statistics including measures of central tendency and dispersion. Simple descriptive statistics was employed to analyse quantitative data. The statistics used include frequency and percentages.
CHAPTER FOUR

DATA ANALYSIS, PRESENTATION AND INTERPRETATION

4.0 Introduction

Presented in this chapter are data analysis, presentation and interpretation of finding. The data presented in this chapter were processed using Statistical Package for Social Sciences (SPSS). All themes discussing the same research questions were presented and analyzed together. The analysis of data was presented in both tables and figures and narrative explained.

4.1 Response rate

Questionnaire return is the proportion of the questionnaires returned after they have been issued to the respondents. Out of the 138 local community members and local leaders sampled in the study, 135 members returned the questionnaires which were deemed adequate for data analysis.

4.2: Demographic data of the local community members

This section presents the demographic data of the local community members. The demographic data of the local community members was based on their gender, age, level of education and their occupation. To establish the gender of the local community members, they were asked to indicate their gender.
Table 4.1: Distribution of the local community members by gender

<table>
<thead>
<tr>
<th>Gender</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>57</td>
<td>42.2</td>
</tr>
<tr>
<td>Female</td>
<td>78</td>
<td>57.8</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Majority 78(57.8%) of the local members were female while 57(42.2%) of local members were male. Table tabulates age of the local community members. The data shows more representation of females than males but it will not have effect on the findings.

Table 4.2: Distribution of local community members by age

<table>
<thead>
<tr>
<th>Age /years</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>25 – 30</td>
<td>63</td>
<td>46.7</td>
</tr>
<tr>
<td>31 – 35</td>
<td>33</td>
<td>24.4</td>
</tr>
<tr>
<td>41 – 45</td>
<td>24</td>
<td>17.8</td>
</tr>
<tr>
<td>51 and above</td>
<td>15</td>
<td>11.1</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Data shows that 63(46.7%) of the local community members were aged between 25 and 30 years, 33(24.4%) of members were aged between 31 and 35 years, 24(17.8%) of members were between 41 and 45 years while 15(11.1%) of the members were above 51 years. The data shows that community members participating in the implementation of the project are relatively young and hence deemed as energetic and hence could
positively be involved in the project. When asked to indicate their education status, they responded as Table 4.3.

**Table 4.3 Local community members’ education status**

<table>
<thead>
<tr>
<th>Education</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>primary education</td>
<td>60</td>
<td>44.4</td>
</tr>
<tr>
<td>secondary education</td>
<td>35</td>
<td>25.9</td>
</tr>
<tr>
<td>Degree and above</td>
<td>17</td>
<td>12.6</td>
</tr>
<tr>
<td>Illiterate</td>
<td>23</td>
<td>17.0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>135</strong></td>
<td><strong>100.0</strong></td>
</tr>
</tbody>
</table>

Table 4.3 shows that 60(44.4%) of the members had primary education, 35(25.9%) of members had secondary education, 17(12.6%) of the members had acquired bachelor’s degree and above level while 23(17.0%) of the members were illiterate. The data shows that majority of the community members had lower level of education (primary) which could hinder their effective participation in the implementation of the project.

The study further sought to investigate the occupation of the local community members. Table 4.4 tabulates their responses.
Table 4.4: Occupation of the local community members

<table>
<thead>
<tr>
<th>Occupation</th>
<th>Frequency</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pastoralists</td>
<td>82</td>
<td>60.7</td>
</tr>
<tr>
<td>Business Service/Trade</td>
<td>32</td>
<td>23.7</td>
</tr>
<tr>
<td>Unskilled labors</td>
<td>9</td>
<td>6.7</td>
</tr>
<tr>
<td>Skilled</td>
<td>12</td>
<td>8.9</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>135</strong></td>
<td><strong>100.0</strong></td>
</tr>
</tbody>
</table>

Data shows that majority 82(60.7%) of the Local community members were pastoralists sector, 32(23.7%) of the members were in business services sector, 9(6.7%) were in labor while 12(8.9%) of the members were in education sector. The data shows that majority of the community members were pastoralist which is a dominant economic activity in the area.

4.3: Factors affecting community participation in Garissa Sewerage development projects

The purpose of this study was to investigate the determinants of community participation in the implementation of development projects a case of Garissa Sewerage Project. The participants in the study were therefore posed with a number of research questions that they were required to respond to. To establish the factors that affect community participation in Sewerage development projects, the researcher sought to establish whether the community members knew anything about the functions of the Sewerage project. Table 4.5 presents their responses.
Table 4.5: Local members’ responses on whether they knew anything about the functions of the Sewerage project

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>97</td>
<td>71.9</td>
</tr>
<tr>
<td>No</td>
<td>38</td>
<td>28.1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Data shows that majority 97(71.9%) of the community members were aware about the of the Sewerage project. When asked whether the development projects undertaken in their locality had been implemented through participation of all. They responded as Table 4.6

Table 4.6: Participation of the local community member’s in the implementation of development projects

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>30</td>
<td>22.2</td>
</tr>
<tr>
<td>No</td>
<td>105</td>
<td>77.8</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Findings shows that majority 105(77.8%) of the local members viewed that the development projects undertaken in their locality had not been implemented through participation of all. The data shows that members of the community were not involved in development projects. To establish whether the members participated in planning of development project, they were asked to respond to the same item.
Data shows that majority 114 (84.4%) of the members did not participate in planning of Garissa development project. The study also found that even other local people were not involved in project planning and implementation as indicated by majority 81 (60.0%) of the community members.

The study further sought to establish the local leaders understanding by community participation. The community leaders indicated that it means involvement of the community, in the project functioning and its implementation. They further said that it was a process of involving the local community in contributing to the project either in cash or in kind through consultation, changes in behavior, involvement in administration, management and decision-making.

The researcher posed question to local leaders asking them to indicate the role of the community in planning stage at the village level. They indicated that the community members if approached at the grassroots or bottom-up to approach solution to the problem would be reached easily. The local members were asked whether the opinions of every one were heard and respected. Table 4.8 shows their responses.

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>21</td>
<td>15.6</td>
</tr>
<tr>
<td>No</td>
<td>114</td>
<td>84.4</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Table 4.7 Community members’ responses on whether they participated in planning of Garissa development project
Table 4.8 Community members’ responses on whether opinions of every one were heard and respected

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>50</td>
<td>37.0</td>
</tr>
<tr>
<td>No</td>
<td>85</td>
<td>63.0</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Majority 85(63.0%) of the community members viewed that opinions of every one were not heard and respected. The study further sought to investigate the rate of participation of members of the community to the development project. This further implies that they were not fully involved in the implementation of development projects in the area. The community members were asked to respond to the same item. Table 4.9 shows the findings.
Table 4.9 Members ranking on the level of participation of community people at development project

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lowest</td>
<td>95</td>
<td>73.0</td>
</tr>
<tr>
<td>Medium</td>
<td>37</td>
<td>24.8</td>
</tr>
<tr>
<td>Highest</td>
<td>3</td>
<td>2.2</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>135</strong></td>
<td><strong>100.0</strong></td>
</tr>
</tbody>
</table>

Majority 95(73.0%) of the community members ranked the level of participation of community people at development project being low, 37(24.8%) of members said it was medium while a significant number 3(2.2%) of members said it was high.

4.4: Influence of decision making on community participation in Garissa Sewerage development project

To establish how involvement in decision making influenced community participation in Sewerage development project, the local were asked how long it took to put the people into discussion given their low level of understanding. The local leaders said that a lot of time needed to put the people into discussion as the most locals were illiterate and took time to understand the project was not provided.

The study further sought to establish whether the community around were involved in the management of Sewerage development projects. Table 4.10 tabulates local member’s responses.
Table 4.10 Community members responses on whether community around were involved in the management of Sewerage development projects

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>42</td>
<td>28.5</td>
</tr>
<tr>
<td>No</td>
<td>93</td>
<td>71.5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>135</strong></td>
<td><strong>100.0</strong></td>
</tr>
</tbody>
</table>

Majority 93(71.5%) of the local members indicated that the community around was not involved in the management of Sewerage development project. Asked whether Sewerage development project was run by people from other areas, they responded as Table 4.11.

Table 4.11 Local members’ responses on whether Sewerage development project was run by people from other areas

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agree</td>
<td>84</td>
<td>62.3</td>
</tr>
<tr>
<td>Disagree</td>
<td>38</td>
<td>28.1</td>
</tr>
<tr>
<td>Undecided</td>
<td>13</td>
<td>9.6</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>135</strong></td>
<td><strong>100.0</strong></td>
</tr>
</tbody>
</table>

Table 4.6 shows that majority 84(62.3%) of the local members agreed that Sewerage development project was run by people from other areas, 38(28.1%) of the members disagreed with the statement. To determine whether the local members had been called
for meeting concerning the Sewerage development projects, they were asked to indicate the same. Table 4.12 shows the responses.

Table 4.12 Local members’ responses on whether they had been called for a meeting concerning Sewerage development projects

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>56</td>
<td>41.50</td>
</tr>
<tr>
<td>No</td>
<td>79</td>
<td>58.5</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Table 4.12 shows that majority 79(58.5%) of the local members had never been called for a meeting concerning Sewerage development projects. Data from the interview guide of the local leaders indicated that the community had less educated people who would most likely appreciate community development better than the less educated and their attitudes towards participating in community development projects were not favorable.

4.5 Effect of social factors on community participation in Garissa Sewerage development projects

The study further sought to determine how social factors affect community participation in Sewerage development projects. The local community members were posed with items that sought to establish the extent to which social factors influenced the participation of the community in the development projects. Table 4.13 tabulates their findings.
Table 4.13 Community members rate on the extent at which social factors influenced the participation of the community in the development projects

<table>
<thead>
<tr>
<th>Social factors</th>
<th>High extent</th>
<th>Low extent</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>F</td>
<td>%</td>
</tr>
<tr>
<td>Educational level</td>
<td>96</td>
<td>71.1</td>
</tr>
<tr>
<td>Attitude of the community members</td>
<td>100</td>
<td>74.1</td>
</tr>
</tbody>
</table>

Table 4.13 shows that majority 96(71.1%) of local members indicated that education level influenced the participation of the community in the development projects at a high extent. Findings further shows that majority 100(74.1%) of the local members indicated that the attitude of the community members influenced to a high extent. This agreed with the community local leaders who during the interview said that educated people appreciated community development compared to less educated members of the community. The researcher further asked the local community members whether educated people participated more in the Sewerage development projects. Figure 4.7 shows the findings.
Table 4.14 Local members’ responses on whether educated people participated more in the Sewerage development projects

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agree</td>
<td>38</td>
<td>28.1</td>
</tr>
<tr>
<td>Disagree</td>
<td>84</td>
<td>62.3</td>
</tr>
<tr>
<td>Undecided</td>
<td>13</td>
<td>9.6</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Majority 84 (62.3%) of the local community disagreed that educated people participated more in the Sewerage development projects while 38 (28.1%) of the local members agreed with the statement.

4.6: Influence of managerial involvement of the community on Garissa Sewerage development projects.

The study further investigated whether the managerial involvement of community influenced their participation in the development projects. The local community members were asked whether they were involved in the operations and running of the Sewerage project. Data is tabulated in Table 4.15.
Table 4.15 Local community members’ responses on whether they were involved in the operations and running of the Sewerage project

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>125</td>
<td>92.6</td>
</tr>
<tr>
<td>Yes</td>
<td>10</td>
<td>7.4</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Majority 125(92.6%) of the local members indicated that they were not involved in the operations and running of the Sewerage project while 10(7.4%) of members were involved. The researcher sought to establish the group that made decisions about developmental projects. Table 4.16 shows the findings.

Table 4.16 Local member’s responses on the group that made decisions about developmental projects

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Donors</td>
<td>80</td>
<td>59.2</td>
</tr>
<tr>
<td>Professional experts</td>
<td>50</td>
<td>37.0</td>
</tr>
<tr>
<td>Community members</td>
<td>5</td>
<td>3.7</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Table 4.16 shows that donors made decisions about developmental projects as indicated by majority 80(59.2%) of the community members while a significant number 5(3.7%) of
local members indicated that community members made decisions about developmental projects.

**Table 4.17: Local members’ responses on whether the skills and capabilities of local societies and groups to decide for themselves about development projects were undermined**

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Strongly Agree</td>
<td>37</td>
<td>27.4</td>
</tr>
<tr>
<td>Agree</td>
<td>55</td>
<td>40.7</td>
</tr>
<tr>
<td>Disagree</td>
<td>38</td>
<td>28.1</td>
</tr>
<tr>
<td>Undecided</td>
<td>5</td>
<td>3.7</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Data shows that 55(40.0%) of the local members agreed that the skills and capabilities of local societies and groups to decide for themselves about the development projects were undermined while 38(28.1%) of members disagreed with the statement.
Table: 4.18 Local members’ responses on whether they felt satisfied with the decisions made by the management of the development projects.

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Strongly Agree</td>
<td>14</td>
<td>10.4</td>
</tr>
<tr>
<td>Agree</td>
<td>32</td>
<td>23.7</td>
</tr>
<tr>
<td>Disagree</td>
<td>66</td>
<td>48.9</td>
</tr>
<tr>
<td>Undecided</td>
<td>4</td>
<td>3.0</td>
</tr>
<tr>
<td>Strongly disagree</td>
<td>19</td>
<td>14.1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Findings shows that 66(48.9%) of the local members disagreed that they felt satisfied with the decisions made by the management of the development projects. Findings from the interview guide of the local leaders in the community reviewed that the funding agency did not involve the local community in the education on the development project to ensure that the project was understood, accepted and institutionalized, given the experiences of people about mistrust of some development agencies officials.

4.7 Challenges faced by the community in their involvement in the project

To investigate the challenges faced by the community in the participation in Sewerage development projects, the local members were asked whether there were any problems encountered in participation of the community. Table 4.19 presents the findings.
Table 4.19: Local members’ responses on the challenges encountered in participation of the project

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>90</td>
<td>66.7</td>
</tr>
<tr>
<td>No</td>
<td>45</td>
<td>33.3</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Majority 90(66.7%) of the members said that there were problems encountered in participation of the community. This agreed with the local leaders’ responses as they indicated that the resources to facilitate participatory planning were inadequate. The local leaders added that some of problems associated with community participatory as the technology adapted was complex hence less educated members could not actively participate. They further said that human and financial resources were vital when it comes to meeting operational and maintenance costs and hence the local members could not participate. They also indicated that there were challenges in establishing the amount of money used in the project. The locals further indicated that problems such as conflicts of interest among different social groups, cultural, and political constraints emerged in the cause of the project.

The local members were asked whether community participation in planning, implementation and management of Sewerage project could lead to effective and sustainable sanitation services. Majority 125(92.6%) of the members agreed as indicated by Table 4.20.
Table 4.20 Local members’ responses on whether community participation in planning, implementation and management of Sewerage project could leads to the effective and sustainable sanitation services

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>125</td>
<td>92.6</td>
</tr>
<tr>
<td>No</td>
<td>10</td>
<td>7.4</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Data shows that majority of the community were of the opinion that their participation in planning, implementation and management of Sewerage project could leads to the effective and sustainable sanitation services. Asked whether the project was helpful to the community they responses as Table 4.21

Table 4.21: Local members’ responses on whether project is helpful to the community

<table>
<thead>
<tr>
<th>Response</th>
<th>F</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>98</td>
<td>72.6</td>
</tr>
<tr>
<td>No</td>
<td>37</td>
<td>27.4</td>
</tr>
<tr>
<td>Total</td>
<td>135</td>
<td>100.0</td>
</tr>
</tbody>
</table>

Findings shows that majority 98(72.6%) of the local members said that the project was helpful to the community.
CHAPTER FIVE
SUMMARY OF FINDINGS, DISCUSSIONS, CONCLUSIONS AND RECOMMENDATIONS

5.1 Introduction

This chapter presents the summary of the study, summary of findings, discussions, conclusions, recommendations and suggestions for further study.

5.2 Summary of findings

The purpose of this study was to investigate the determinants of community participation in the implementation of development projects a case of Garissa Sewerage Project. Four research questions were formulated to guide the study. Research question one sought to establish how involvement in decision making influence community participation in Garissa Sewerage development project. Research question two aimed at determining how social factors affect community participation in Garissa Sewerage development projects. Research question three sought to establish the influence of managerial involvement on community participation in Garissa Sewerage development projects while the last research question aimed at establishing challenges faced by the community in the participation in Garissa Sewerage development projects. This study employed a descriptive survey research design. The sample comprised of 138. Data were collected through questionnaire and interview guide.
5.4 Discussions

Findings on the factors affecting community participation in Garissa Sewerage development projects revealed that majority 97(71.9%) of the community members were aware about the of the Sewerage project. This is in line with Kaufman and Alfonso (1997) who state that effective community participation is possible when the community is aware of the project and have developed ownership of the project. Majority 105(77.8%) of the local members were of the opinion that the development projects undertaken in their locality had not been implemented through participation of all. Findings further showed that majority 114(84.4%) of the members did not participate in planning of Garissa development project. The study also found that even the local leaders were not involved in project planning and implementation as indicated by majority 81(60.0%) of the community members. This agrees with According to Young and Kingle (1996) part of the principles of participation is the belief that the prospect for success in any attempt to change people’s behaviour depends on two factors. One is the readiness or otherwise of the target group to change and two, the method or an approval that the latter believe will enable them to change. The most obvious interpretation one can give to this is that participation is an important principle of behavior change. No principle of behaviour has greater recognize ability than the principle of participation. It was also revealed that majority 85(63.0%) of the community members viewed that opinions of every one were not heard and respected. Findings also showed that majority 85(63.0%) of the community members ranked the level of participation of community people at development project being low. Green 1986, Huff and Kline (1999) state that participation in a greater sense therefore, is the involvement of members of a particular
community in the formulation of public policy or its implementation and its usage. That is, it is the participation of local people in the development process as a whole.

Findings on the influence of involvement decision making on community participation in Garissa Sewerage development project showed that majority 83(61.5%) of the local members indicated that community around were not involved in the management of Sewerage development projects. Majority 84(62.3%) of the local members agreed that Sewerage development project was run by people from other areas while majority 79(58.5%) of the local members had never been called for a meeting concerning Sewerage development projects. It was also revealed that the community had less educated people who would most likely appreciate community development better than the less educated and their attitudes towards participating in community development projects were not favorable. The findings disagree with Becker (1997) who noted that project should encourage a maximum number of people in the participation of development projects. Such involvement should give the participants full inclusion in designing, organizing, and implementing activities and workshops in order to create consensus, ownership, and action in support of development projects in specific areas. It should include people and groups rather than exclude any individuals. As Mathbor (1990) notes, participation plays a major role in people’s management of their own affairs. Ownership and control of resources have a profound impact on participation in development projects. On the other Ferrer (1988) emphasized four areas to be worked toward in a participatory coastal resource management program: greater economic and social equality, better access to services for all, greater participation in decision making,
and deeper involvement in the organizing process resulting from the empowerment of people.

Findings on the effect of social factors on community participation in Garissa Sewerage development projects revealed that majority 96(71.1%) of local members indicated that education level influenced the participation of the community in the development projects at a high extent. Findings further showed that majority 100(74.1%) of the local members indicated that the attitude of the community members influenced at a high extent. Majority 84(62.3%) of the local community disagreed that educated people participated more in the Sewerage development projects while 38(28.1%) of the local members agreed with the statement. The findings disagrees with Angba et al.(2009) revealed that some relationship exist significantly between socio-demographic characteristics such as educational level and the attitude of youths towards community development projects.

It was also revealed that managerial involvement influenced community participation in Garissa Sewerage development projects. For example, majority 72(53.3%) of the local members indicated that they were not involved in the operations and running of the Sewerage project. It was also revealed that donors made decisions about developmental projects as indicated by majority 80(59.2%). Findings on the challenges faced by the community in the participation in Garissa Sewerage development projects revealed that majority 90(66.7%) of the members said that there were problems encountered in participation of the community. This agreed with the local leaders’ responses as they indicated that the resources to facilitate participatory planning were inadequate. The local leaders added that some of problems were associated with community participatory as the
technology adapted was complex hence less educated members participated. There were challenges related to human and financial resources. According to Constantino (1982), experts from donor agencies always dominate as they are providing funds so they make decisions about developmental projects. Professional experts forget their role of facilitation and development.

5.4 Conclusions

Based on the findings, the study concluded that there were factors affecting community participation in Garissa Sewerage development projects. The community members were not aware about the of the Sewerage project. The development projects undertaken in their locality had not been implemented through participation of all. The study also concluded that members did not participate in planning of Garissa development project. The study also concluded that even the local leaders were not involved in project planning and implementation as indicated by majority. It was also concluded that community members viewed that opinions of every one were not heard and respected. The study also concluded that decision making influenced community participation in Garissa Sewerage development project. The community around were not involved in the management of Sewerage development projects. The Sewerage development project was run by people from other areas while the local people did not appreciate community development and their attitudes towards participating in community development projects were not favorable.

The study also concluded that there were social factors that influenced community participation in Garissa Sewerage development projects. The local members indicated
that education level influenced the participation of the community in the development projects at a high extent. It was also concluded that managerial involvement influenced community participation in Garissa Sewerage development projects. The local members indicated that they were not involved in the operations and running of the Sewerage project. It was also revealed that donors made decisions about developmental projects.

There were challenges faced by the community in the participation in Garissa Sewerage development projects revealed that members said that there were problems encountered in participation of the community.

5.5 Recommendations

Based on the findings, the following were the recommendations of the study

The study has revealed that the community was not involved in decision making. The main contention behind people’s participation in development is that real development must be people-centered. As Jazairy (1989) notes that projects conceived and implemented by outside organizations have failed because adequate consideration was not given to the importance of local participation.

The study also revealed that lack of community empowerment was a factor that hindered them from their participation in the projects. The study recommended that the local community should be empowered through education so that they fully participated in development projects. The community people should be included in all phases of development projects from the process of needs assessment for the design and
development of a project, through project implementation to project monitoring and evaluation.

The study has revealed that without meaningful participation of the local people in the development process, sustainable improvement in the standard of living of the people cannot be achieved. In view of the findings of the study, it was recommended that the poor and the disadvantaged, characterized by social, economic and political backwardness, are traditionally and culturally neglected in planning and implementation of development projects. Some structural changes can bring awareness and power among the marginalized groups breaking the traditional patterns. However, desired outcomes may not come overnight. In this context, local NGOs working with the marginalized section in the rural areas need to be included in the local development process through public opinion mobilization, design and planning process. This may gradually help to institutionalize the participatory practices in the development process.

The study has revealed that the community has not been involved in the management of the projects. The elected community representatives at management level are mostly unaware about the benefits of participatory development approach. Lack of knowledge in this regard on their part may have contributed to their misunderstanding and misconception. Launching training programs or workshops may help change the mindset of the elected representatives regarding participatory practices in development interventions.

The study also recommends that the management should be empowered so that they are able to participate in development projects. Participatory budgeting needs to be
introduced in the projects with a view to integrating community people in the
development project cycle. In this context, representatives from different social groups
should be trained so that they can articulate their demands properly and make meaningful
contributions to local development planning.

5.6 Suggestions for further research

Taking the limitations and delimitations of the study, the following were suggestions for
further research

i. An analysis of the influence of the level of education on community participation
   in community development projects.

ii. A study on the influence of the community’s attitude on implementing
    community development projects.

iii. A study on community level of empowerment for the successful completion of
     community projects.

iv. A study on community preference of development projects and their participation
    in such projects.
REFERENCES


APPENDIX

APPENDIX A: TRANSMITTAL LETTER

Hussein Abdi Ali,
University of Nairobi
Department of Extra Mural studies
Garissa.

Dear Respondent,

RE: DETERMINANTS OF COMMUNITY PARTICIPATION IN THE IMPLEMENTATION OF DEVELOPMENT PROJECTS.

I am a postgraduate student of University of Nairobi, undertaking a research project on the determinants of community participation in the implementation of development projects. You have been selected to participate in this study. The information collected will be treated with utmost confidentiality and it will be used for educational research only.

Your participation in the study will be highly appreciated.

Thank you in advance.

Hussein Abdi Ali
RegNo.L50/77379/2012
0728772324
APPENDIX B

QUESTIONNAIRE FOR LOCAL COMMUNITY

This questionnaire is meant to collect data on the determinants of community participation in the implementation of development projects a case of Garissa Sewerage Project. Please do not write your name on the questionnaire. Kindly provide answers to the questions as honestly and precisely as possible. Indicate your choice by a tick (√).

Kindly answer all the questions

Section A: Demographic Information:

1. Please indicate your gender

Male [ ] Female [ ]

2. Indicate your age

25 – 30 years [ ]
31 – 35 years [ ]
36 – 40 years [ ]
41 – 45 years [ ]
46 – 50 years [ ]
51 and above [ ]

3. Educational Status

Illiterate { } Primary School { }
Secondary School { } Degree and Above { }

4. Occupation

Pastoralist { } Business Service/trade { }

61
Unskilled labors \{ \} \quad \text{Skilled labors \{ \}}

**Section A: Establish factors affecting community participation in Garissa Sewerage development projects**

5. Do you know anything about the functions of the Garissa Sewerage project?
   - Yes \{ \}
   - No \{ \}

6. Do you think that the development projects undertaken in your locality have been implemented through participation of all?
   - Yes \{ \}
   - No \{ \}

7. Do you participate in planning of Garissa development project?
   - Yes \{ \}
   - No \{ \}

8. Were other local people involved in project planning and implementation?
   - Yes \{ \}
   - No \{ \}

9. If the answer is ‘Yes’, how the local people are involved?

\begin{verbatim}
………………………………………………………………………………………………
………………………………………………………………………………………………
………………………………………………………………………………………………
\end{verbatim}

10. Were the opinions of every one heard and respected?
    - Yes \{ \}
    - No \{ \}

11. How do you rank the level of participation of community people at development project?
    - Lowest \{ \}
    - Medium \{ \}
    - Highest \{ \}
Section B: Establish how involvement in decision making influence community participation in Garissa Sewerage development project.

12. Is the community aware of the Garissa Sewerage development project?
   Yes { } 
   No { } 
   Not sure { } 

13. Do you think the community around your area is involved in the management of Sewerage development projects?
   Yes { } 
   No { } 
   Not sure { } 

14. Garissa Sewerage development project is run by people from other areas
   Strongly Agree { } 
   Agree { } 
   Disagree { } 
   Undecided { } 
   Strongly disagree { } 

15. Have you ever been called for a meeting concerning Garissa Sewerage development projects?
   Yes { } 
   No { } 
   Not sure { } 

16. Who had the final say in the public meeting……………………
Section C: Determine how social factors affect community participation in Garissa Sewerage development projects.

17. Which of the following characteristic mostly influence the participation of the community in the development projects

a) Educational level
   - High extent
   - Low extent

b) Attitude of the community members
   - High extent
   - Low extent

18. Educated people participate more in the Garissa Sewerage development projects.
   - Strongly Agree
   - Agree
   - Disagree
   - Undecided
   - Strongly disagree

Section D: Influence of managerial involvement on community participation in Garissa Sewerage development projects.

19. Are you involved in the operations of the Garissa Sewerage project?
   - Yes
   - No
20. Are you involved in the running of the Garissa Sewerage project?
Yes { } No { } 

21. Who make decisions about developmental projects?
Donors { } 
Professional experts { } 
Community’s members { } 

22. The skills and capabilities of local societies and groups to decide for themselves about the development projects are undermined
Strongly Agree { } 
Agree { } 
Disagree { } 
Undecided { } 
Strongly disagree { } 

23. I feel satisfied with the decisions made by the management of the development projects
Strongly Agree { } 
Agree { } 
Disagree { } 
Undecided { } 
Strongly disagree { }
Section E: Establish challenges faced by the community in the participation in Garissa Sewerage development projects.

24. Are there any problems encountered in participation of the community?

Yes { } No { }

25. If yes what are those problems?

_____________________________________________________________________
___________________________________________________
_______________________________________________________________

26. Do you think community participation in planning, implementation and management of Sewerage project leads to the effective and sustainable sanitation services?

Yes { } No { }

27. Do you think the project is helpful to the community?

28. Yes { } No { }

29. If the challenges are solved what areas do you think the project will have impact in the development?

_____________________________________________________________________
_____________________________________________________________________
_____________________________________________________________________

30. What are your suggestions to incorporate all walks of people in the development process?

_____________________________________________________________________
_____________________________________________________________________

Thank you for your cooperation
APPENDIX C

INTERVIEW SCHEDULE FOR THE LOCAL LEADERS

1. How many community projects are there in your region?
2. Out of these projects how many are complete?
3. What was the role of the community in planning stage at the village level?
4. To your understanding what does it mean by community participation?
5. What steps were taken by the funding agency to make sure that the project is understood, accepted and institutionalized, given the experiences of people about mistrust of some development agencies officials?
6. What communication methodologies are employed to communicate with the people during all stages of the project implementation?
7. How did community participate in the planning processes?
8. Were there enough resources to facilitate participatory planning? Explain.
9. How long does it take to put the people into discussion given their low level of understanding?
10. Were there problems associated with community participatory? If any, mention them

Thank you for your cooperation